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# STUDIA

# UNIVERSITATIS BABEŞ-BOLYAI



## MATHEMATICA

3



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ON AN EXPERIMENT IN SOLVING EQUATIONS USING GENETIC ALGORITHMS

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REZUMAT.- Asupra unui experiment de resolvare a ecuațiilor folosind algoritmi genetici. Articolul prezintă concluziile unui experiment de utilizare a unui algoritm genetic pentru rezolvarea ecuațiilor. Rezultatele sînt comentate în paralel cu prezentarea paşilor unui algoritm genetic general. Sînt de asemenea arătate unele soluții specifice clasei de probleme abordate precum și sugerate posibilități de îmbunătățire ale rezultatelor.

0. Introduction. Solving equations has been for a long time a central problem in numerical applications. The most important keywords in the field of equation solving are the domain of convergence, rate of convergence and complexity of the methods. Although there are many nice results in developing methods of rather high rate of convergence with acceptable complexity, most of them are strongly limited in what concerns their domain of convergence. Nevertheless in many such methods testing for the convergence domain is of a comparable complexity to computation itself. Another inconvenience of most of these methods is that of converging to a single solution (that is obviously induced by their nature) whereas there might be many other viable solutions around. These deficiencies of classical equation solving methods gave place to the searching for methods with "large" convergence domains (see [1]). Even if these type of methods are usually of a great complexity they may be used at least for isolating to a certain amount the solutions,

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giving then place to the "specialized" fast converging ones. Beside this approach in many cases these mathods can be improved by using some kind of domain specific properties as shown in [5]. We also should mention that the developing of these "unorthodox" methods was greatly encouraged by the development of computing techniques towards parallel processing. In the present paper we shall make some remarks on an experience in solving equations using genetic algorithms.

- 1.A short introduction to genetic algorithms. One of the striking ideas for designing optimum searching methods is that of using principles of organic evolution [3]. The approaches emerging from this idea (genetic algorithms and evolution strategies) rely upon imitating the adaptation and selection capacity of natural populations. Although the two main approaches are similar to a large extent in the followings we shall discuss in the terms of genetic algorithms.
- 1.1. General structure of genetic algorithms. Omitting the details we can say that genetic algorithms (GA) operate on a fixed size population of individuals of the same type creating new generations of it in successive cycles.

Analogously with natural populations the creation of a newgeneration is directed by a fitness criterion which conditions the perpetuation of the most viable individuals. In GA-s this is carried out through the following operations:

a.) evaluation of the fitness of each individual of the

current population;

- b.) formation of a gene pool built up from the most fit individuals:
- c.) creation of the new generation by recombination and mutation of elements in the gene pool.

The process of creating new generations is iterated until the average fitness of the population ceases to improve, by which time the population has converged to a few kinds of well performing individuals.

As stated above the creation of a new generation is carried out by recombination and mutation of elements in the current gene pool. Individuals contribute to the gene pool in a number depending on their fitness. The elements of the gene pool are codings of the best performing individuals (according to the fitness criterion) of the current population. Such a coding has to be a condensed representation of individuals which captures their defining features.

Recombination is performed through the crossover operator which randomly selects a fixed number of parent genes from the gene pool and creates an offspring gene by combining a randomly chosen part of them. Usually this combination is done either by combining the "tails" of the parent genes (one point crossover), or by combining some internel part of them (two point crossover). We should mention here that this crossover is not merely a random creation of a new gene since it preserves features of the parent genes.

Mutation is meant for preserving the diversity of the

population which prevents the algorithm from converging to local solutions as well as from omitting some solutions in the search space. It is esentially carried out by randomly changing some portion of a gene.

Now we can present an outline of a GA (after [3] and [5]). Let N be the fixed size of the population and f the function which measures the fitness of an individual (fitness function).

# procedure GA

## begin

t:=0; { t is the number (moment) of the generation }
create an initial population P\*t(;
evaluate the fitness of each individual in P\*t( using f;
while termination condition not satisfied do

begin

create a gene pool G\*t( bs coding the most fit
 individuals of P\*t(;

t:=t+1;

select parent genes from P\*t-1(;
recombine selected genes and build P\*t(;
evaluate the fitness of each individual in P\*t(
 using f;

## and

#### end.

In the followings we shall make our references to this description.

1.2. A short overview of some results concerning GA-s. We

shall mention the results and make some comments following the steps in the algorithm outlined in the previous section. Creation of the initial population. Although it is not explicitly required most impelmentations of genetic algorithms begin with a uniformly distibuted random initial population. This approach reasonalble since it intuitively prevents a possibly long-drawn phase of diversification of individuals convergence, but in some specific problems it has been shown that is not absolutely necessary. Evaluation of fitness of individuals. The most common way of treating fitness evaluation is by simple function evaluation. To do this the objective function o (the function to be optimized) has to be transformed by composition to a nonnegative number: f(.)=u(o(.)). This transformation may damage some desirable properties of the GA (see [5]).

Creation of the gene pool. In the creation of the gene pool one should handle the following problems: the selection of individuals from the current population, establishing the contribution of each selected individual to the gene pool and coding of the resulting set of individuals.

Let us start with the coding. As it results from the literature many contributors to GA-s' theory argue for binary coding but there are some who consider other representation more suited for special classes of problems [5]. Choosing binary coding, beside other features is apealing for its simplicity in implementing recombination on digital computers.

As already stated selection and establishing the

contribution of individuals to be used for building the gene pool depends on the fitness computed in the previous step of the algorithm. There are several selection algorithms (which also establish the contributions) of which the most used seems to be the proportional selection algorithm which computes the number of contributions of an individual as ratio of the individual's fitness and the average fitness of the current population. For this selection algorithm Holland gave a characterisation of the number of classes of individuals in the next generation (the Schema Theorem, see [5]). We omit further discussions here.

Selection of parent genes. Parent genes are selected randomly from the gene pool. The number of parents used for generating an offspring gene depends on the model used. As presented in [5] GA-s may use either a one parent or a manyparents model.

Recombination of genes. We have already mentioned that recombination of genes is performed through crossover and mutation. Crossover means combination (tipically exchanging) of a randomly chosen part of the parent genes. This operation is the main mechanism which creates new candidates for solution deriving them from old ones by preserving a significan part of their properties. In order this latter statement to be true the size of gene portions to be combined is kept small. In the previous section we gave the two alternative crossover types: one point and two point crossover.

It is not our goal to go in more details about GA-s here, the interested reader is suggested to read [5].

2. Notes on an experiment in solving equations. The main goal of the present paper is to report on some notes of the author made during an experiment in using a GA for solving various equations. In the followings we shall present some of them.

Our purpose was to try to develop a GA type method for approximatively solving equations of the form f(x)=0, where  $f:R^n\to R$ . Obviously this problem can easily be transformed into another, suited for application of a GA: Approximate the minimum points of function  $g:R^n\to R$  where g(x)=|f(x)| of which only those solutions  $x^*$  are solutions of the original problem for which  $g(x^*)=0$ . So we applied a GA to this problem. For the beginning we only studied one dimensional problems which also raised some difficulties.

In our implementation the population was made up of real numbers of the domain in which solutions were sought (i.e. an interval of the real axis). We varied the population size in different runnigns ranging from 10 to 200 individuals, noting that the convergence of the algorithm improved for population sizes over 100, by which time it correctly made distinction between more solutions.

Whether the the initial population was built by uniformly distributing numbers on the search segment or not seemed in our experiment of no significance - the number of steps in which the desired precision was achieved was about the same.

The coding used is a two level one which first mappes the search domain into the segment [0,1], then considered the first

k significant binary digits of the representation, where k depends on the desired precision. This coding is a simple one to one mapping from individuals to their representation which also has the advantage of easy reconstruction of an individual from a code. As all binary codings it also has the advantage of simplicity of implementing crossover.

For selection the proportional selection algorithm was used, with randomly distributing the unassigned positions in the gene pool to individuals in the current population. The only model tried was the two parents one.

The most problems in tuning the algorithm were raised by the recombination of genes and building of the new population.

Implementing crossover and mutation was thechnically speaking simple due to the binary coding used. A two point crossover was tried with length ranging from 1 to 8 bits of the representation. Here we can make the following notes:

- a.) The convergence speed of the algorithm was improved by making the probability with which the portion of genes to be combined was selected depend on the generation number in such a way that in "advanced" generations less significant digits were combined with greater probability than the more significant ones. This observation sounds intuitively fair since in advanced stages of the search the algorithm should work harder on improving the precision of the already approximated solutions but without excluding the possibility of finding new candidates.
- b.) When selecting a parent gene with a probability p it is essential to select the coparent gene with a probability 1-p

in order the two parents to be different. Probably this note isnot valid if crossover is done with other combination than simple exchanging.

For building the new population from the gene pool many variants have been tried.

At the beginning the new population was entirely constructed from the gene pool. With this approach for all the equations tried the method converged to just one (of the known) solutions even if in early stages of search all the solutions have had some approximation.

This fenomenon was eliminated when a generation was built up by preserving the most fit individuals from the previous one and generating just some new individuals from gene pool. This is however a known practice [5] even if we do not have sufficient data to support the one fifth principle.

In the figure below we present the outlines of the results obtained with our implementation for one of the equations studied.

Evolution of number of individuals (real numbers) around the solutions of equation sin 2x over (1.4) for a population size of 100.

	1.57	3.14	Total
Generation: 1	7.00%	7.00%	14.00%
Generation: 2	17.00%	26.00%	43.00%
Generation: 3	41.00%	8.00%	49.00%
Generation: 4	32.00%	14.00%	46.00%
Generation: 5	33.00%	32.00%	65.00%
Generation: 6	47.00%	20.00%	67.00%
Generation: 7	59.00%	25.00%	84.00%
Generation: 8	64.00%	29.00%	93.00%
Generation: 9	69.00%	24.00%	93.00%
Generation: 10	62.00%	31.00%	93.00%
Generation:11	65.00%	32.00%	97.00%

3. Conclusions. From the experience presented in the previous section we conclude that GA-s can be successfully used for solving equations although much care has to be taken in designing the implementation. The expected advantage of this approach is that of being applyabble even for equations which are defined by non continuous functions (not to speak about not differentiable ones), a quality which is not common for other known methods.

Obviously the implicit parallelism of the method is an other appealing property which should be exploited in implementations. As we did it in [1] we suggest the combining of the GA with specialized, fast solving methods in order to obtain both generality and speed.

In the followings we intend to work on improving the selection methods and building of the fitness function using, where possible properties of the equation defining function.

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#### EXTENDED B-TREE

#### F. M. BOIAN

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**REZUMAT.** B-arbore extins. In lucrare se prezintă o extindere a conceptului de B-arbore. Prin această extindere se permite accesul relativ în acest tip de structură de date. Prin acces relativ se înțelege posibilitatea de deplasare optimală în B-arbore peste n chei față de cheia curentă.

**DEFINITIONS.** In [3] B-tree was formally defined. We denote by m the order of the B-tree, and we denote by e the number of keys from the current node from B-tree. By p,  $p_0$ ,  $p_1$ ,  $p_2$  etc. We denote some pointers to nodes from B-tree. At last, by K, with possible subscripts, we denote value(s) of key(s) from B-tree.

If p is a pointer to a node from B-tree, we denote by S(p) the sub B-tree having the root in the node pointed by p.

**DEFINITION 1.** The possession of S(p) is the total number of keys from S(p). We denote this number by Z(p).

Let  $a=K_{i+1}K_{i+2}...K_{i+r}$  be the r succesive keys from the same node of B-tree. Let  $p_i$ ,  $p_{i+1}$ ,  $p_{i+2}$ , ...,  $p_{i+r}$  be the neighbours pointers for the keys from a.

Notations. By S(a) we denote the sub B-tree which has in its root only the keys from a and the descendents  $S(p_i)$ ,  $S(p_{i+1})$ ,  $S(p_{i+2})$ , ...,  $S(p_{i+r})$ .

We denote by Z(a) the possession of S(a).

By |a| we denote the number r (the number of keys from a).

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THEOREM 1. The following relations (with the above notations), holds:

$$Z(a) = r + Z(p_i) + Z(p_{i+1}) + Z(p_{i+2}) + ... + Z(p_{i+r})$$

For each j from 1 to r,

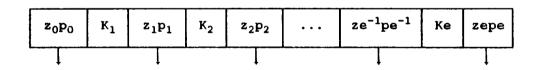
$$Z(a) = Z(K_{i+1}...K_{i+j}) + Z(K_{i+j+1}...K_{i+r}) - Z(p_{i+j})$$
 and

$$Z(a) = Z(K_{i+1}...K_{i+j-1}) + 1 + Z(K_{i+j+1}...K_{i+r})$$

The proof of this theorem immediately follows from the definition of possession.

With these considerations, we continue to define an extended B-tree.

**DEFINITION 2.** An Extended B-tree [1] is a B-tree having in its nodes the following information:



where 
$$z_i = Z(p_i)$$
,  $i = 0, 1, ...$ , e

An example. In fig. 1, an extended B-tree is presented. In each node, only values of keys are presented. For leafless nodes, there are two arrows near each key: one on the left and the other on the right. On the left of each arrow, in brackets, the value of possession appears, and on the right, the value of the pointer (here is the number of the node) appears.

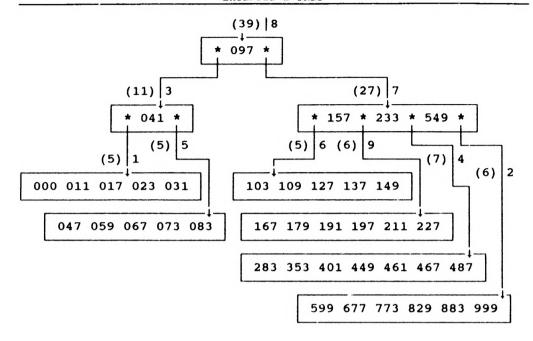


Figure 1. An extended B-tree

For example, S(7) has the nodes 7, 6, 9, 4, 2, and Z(7) = 27. If  $a = "157 \ 233"$ , then S(a) is S(7) without the key "549" and without the node 2, and Z(a) = 20.

Extended B-tree transformation. The operations with B-tree are presented in [3]. In [2] and [1] we have described some ideas to implement an extended B-tree. In figures 2, 3 and 4 three pairs of transformations are presented: rotate left /right, transform a node into two or reverse, transform two nodes into three or reverse. In these figures, we note by lower case  $(a, b, \ldots, h, i)$  the sequences, possibly empty, from consecutive keys (from the same node), and by an uppercase (C, E, G) a key from a node.

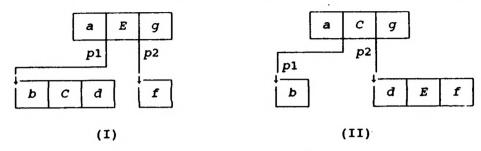


Figure 2 Rotate left / right

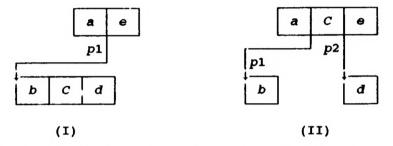


Figure 3 Transformation between one node - two nodes

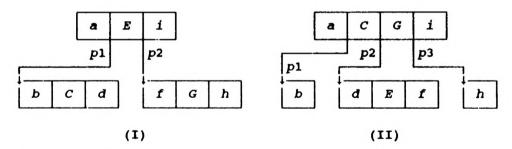


Figure 4 Transformation between two nodes - three nodes

When a transformation is applied, the possessions for new nodes must be computed only from the olds, without to see the others nodes from B-tree. In the following, for the fourth usualy transformations, the new possessions are:

1) From (I) to (II) of the fig. 2 (rotate to right):  $Z(p_1) := Z(b);$ 

$$Z(p_2) := Z(d) + 1 + Z(f);$$

2) From (II) to (I) of the fig. 2 (rotate to left):  $Z(p_1) := Z(b) + 1 + Z(d);$   $Z(p_2) := Z(f);$ 

3) From (II) to (I) of the fig. 3 (fusion of two nodes into
one):

$$Z(p_1) := Z(b) + 1 + Z(d);$$

4) From (I) to (II) of the fig. 4 (transformations two nodes into three):

$$Z(p_1) := Z(b);$$
 $Z(p_2) := Z(d) + 1 + Z(f);$ 
 $Z(p_3) := Z(h).$ 

We have used these four transformatios in [1] for implementation. If only these are used, at most two nodes are necessary for operations with B-tree.

When these transformations must be applieds? From [3] these are applied, possibily, after deleting a key or inserting a key, if after that the number of keys from the current node are less than  $m \neq 2$  or great m. If after a deletion, in node remain less than  $m \neq 2$  keys, then this event is called undersised. If after a insertion, in the node there are great m keys, then this event is called overflow. The following rules are applied, in this order:

```
1 If (|bCd| = m+1 \text{ (overflow) and } |f| < m) or (|f| = m / 2 - 1 \text{ (undersize) and } |bCd| > m / 2) then
```

b and d are choisen so that  $||bCd|| - |f||| \le 1$  and rotations on the right are applied (see I to II in fig 2).

2 If 
$$(|dEf| = m+1 \text{ (overflow) and } |b| < m)$$
 or  $(|b| = m / 2 - 1 \text{ (undersize) and } |dEf| > m / 2)$ 

then

d and f are choisen so that  $\left| \left| dEf \right| - \left| b \right| \right| \le 1$  and rotations on the left are applied (see II to I in fig. 2).

3 If undersize and |b| + |d| < m

two node join into one (see II to I in fig. 3).

4 If overflow and |bCd| + |fGh| = 2m+1then

transform two node into three other, with (approximate) the same numbers of keys: b, d, f and h are choise so that:

 $||b|-|dEf|| \le 1$  and  $||dEf|-|h|| \le 1$  and  $||b|-|h|| \le 1$  (see I to II in fig. 4).

Relative access in extended B-tree. Let  $K_c$  (current key) and  $K_t$  (target key) two keys from a B-tree. Suppose that between  $K_c$  and  $K_t$ , in ascendent order, there are other n-1 keys. The problem is to construct an algorithm so that to minimize the number of moves in B-tree to find  $K_t$  when  $K_c$  is the current key.

Let p be the pointer to the nearest node so that both  $K_c$  and  $K_t$  can be accessed from it. This node is called **common ancestor** from both keys. Its clear that all the n-1 keys between  $K_c$  and  $K_t$  are in S(p). Because each other ancestor of  $K_c$  and  $K_t$  is ancestor for their common ancestor, it results that minimal number of moves is from common ancestor to  $K_t$ .

To find common ancestor between current key and any other key, we purpose to create and update a stack. When a key  $K_c$  is found, for each ancestor of  $K_c$  an record is pushed in this stack. An record from stack has the following structure:

$$(p_i, j_i, zl_i, zr_i, Kl_i, Kr_i)$$

where:

i is the current level in B-tree (the root has the level 1);  $p_i$  is the pointer to the node;

In the following, we suppose that the node  $p_i$  has the form:

$$z_{i0}p_{i0}$$
  $K_{i1}$  . . .  $K_{ij}$   $z_{ij}p_{ij}$  . . .  $K_{ie}$   $z_{ie}p_{ie}$ 

 $\mathbf{j_i}$  is the index of the key  $K_c$ , if  $K_{ij} = K_c$ , or  $K_c$  is in  $S(p_{ij})$ , if  $K_{ij} \neq K_c$ ;

 $\mathbf{z}l_i = Z(K_{i1}...K_{ij}) - Z(p_{ij}) - 1$  (the possession to left of  $K_{ij}$ );

 $zr_i = Z(K_{ij}...K_{ie})$  (the possession to right of  $K_{ij}$ );

 $Kl_i$  is the minimum value from  $S(p_i)$ ;

 $Kr_i$  is the maximum value from  $S(p_i)$ ;

For example, in the B-tree from fig. 1, if  $K_{c}=211$ , the stack is:

i	рi	jі	zl i	zr i	Kl i	Kr i
1	8	1	11	28	-00	+00
2	7	1	5	22	097	+00
3	9	5	4	2	157	233

The fields of this stack can be completed during the search for a key. All informations for a record are known from the current node or from its father. The last record from stack corresponds to a node having the current key in it. The maximum size of this stack is very small (see [3] for details).

Now, suppose that the current key is  $K_c$  and we want to skip over n keys (forward or backward if n < 0). For that, we pop from stack until  $n \le zr_i$  when  $n \ge 0$ , or until  $-n \le zl_i$  when n < 0. The  $p_i$  from top of stack pointed to common ancestor to  $K_c$  and  $K_t$  over n keys over  $K_c$ .

This stack helps to reduce the number of nodes accessed when looking for a key having a value. For that, its suffices to pop from stack until the value of the new key is between  $Kl_i$  and  $Kr_i$ . In the most cases, the search a new key begins instead the root with an it's descendant for a same level.

# F.M. BOIAN

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#### PROGRAM TESTING IN LOOP-EXIT SCHEMES

# F.M.BOIAN and M. FRENŢIU

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REZUMAT. - Testarea schemelor Loop-Exit. În această lucrare se introduce noțiunea de drum complet într-o schemă Loop-Exit și se arată importanța drumurilor complete într-o schemă program pentru testarea programelor. De asemenea, se construiește un limbaj care generează multimea drumurilor complete.

1. Introduction. In this paper we consider the Loop-Exit Schemes as they were defined in [2]. Nevertheless, we impose a minor condition: there is an initial assignment  $a_0$  just at the begining (after START block in the corresponding flowchart), and a final assignment  $a_f$  at the end (in front of the STOP block). A and T are the sets of assignment and test symbols, respectively, and  $M = A \cup T$ . Also, we denote by SW(S) the skeleton word associated to S, and we denote by  $D(x\alpha y)$  the direct word from x to y (as in  $\{4\}$ ).

To each Loop-Exit Scheme S a language L(S) may be associated. More exactly, we have the following definition:

DEFINITION 1. The language L(S) associated to the Loop-Exit Scheme S is generated by the following context free grammar  $\{1,2,3\}$ :

$$G(S) = (N, \Sigma, \mathcal{P}, \nabla)$$

where

$$N = \{v\} \cup \{I_j | j>0\} \cup \{L_k | k>0\},$$
  
 $\Sigma = M \cup \{+,-\}$ 

 $I_j$  is a nonterminal for  $\operatorname{IF}_j$ , and  $L_k$  and  $B_k$  are two nonterminals

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for LOOP<sub>k</sub> from the definition of the Loop-Exit Scheme S,  $\nabla$  is a new symbol - the axiom of G(S), and the set  $\mathcal P$  of the productions is constructed by the following rules:

- a)  $\forall$  ---> SW(S)
- b) the following productions
  - b1) I, ---> b-
- b2)  $I_j$  --->  $b+{\rm SW}(\alpha)$  only if  $\alpha$  has not the form  $\alpha'{\rm EXIT}_k$  are in  ${\mathcal P}$  if

IF 
$$j$$
  $b$  THEN  $j$   $\alpha$  ENDIF  $j$  ;

is in S.

- c) the productions
  - c1)  $I_i \longrightarrow b+SW(\alpha)$  if  $\alpha \neq \alpha' EXIT_k$ ;
  - c2)  $I_i \longrightarrow b-SW(B)$  if  $B \neq B' EXIT_k$ ;

are in P if

$$\operatorname{IF}_{j}$$
 b  $\operatorname{THEN}_{j}$   $\alpha$   $\operatorname{ELSE}_{j}$   $\mathfrak{b}$   $\operatorname{ENDIF}_{j}$  ;

is in S.

d) if

$$\mathsf{LOOP}_k$$
  $\alpha_1\alpha_2\delta$   $\mathsf{ENDLOOP}_k$  ;

is in S then the productions

- d1)  $L_k \longrightarrow SW(\alpha_1\alpha_2\delta)L_k$
- d2)  $B_k$  --->  $SW(\alpha_1\alpha_2\delta)B_k$  |  $\epsilon$
- d3)  $L_k \longrightarrow D(LOOP_k \alpha_1 IF_j) b+SW(B)$

i f

$$\alpha_2 = \text{IF}_j \text{ b THEN}_j \text{ B EXIT}_k; \text{ ENDIF}_j;$$

or

$$\alpha_2 = \text{IF}_j \ b \ \text{THEN}_j \ \beta \ \text{EXIT}_k; \ \text{ELSE}_j \ \gamma \ \text{ENDIF}_j;$$

$$d4) \ L_k ---> D(\text{LOOP}_k \ \alpha_1 \ \text{IF}_j) \ b\text{-SW}(\beta)$$

if

 $\alpha_2 = \text{IF}_j b \text{ THEN}_j \gamma \text{ ELSE}_j \beta \text{ EXIT}_k; \text{ ENDIF}_j;$ are in  $\mathcal{P}$ .

Intuitively, L(S) contains the set of all sequences which can be met during the execution of the scheme.

2. The complete paths in a Loop-Exit Scheme. An important problem in software development is program testing. Testing may be done starting from the specification of the resolved problem, or starting from the text of the program. In the second alternative it is important to know all the paths from the START block to the STOP block of the corresponding flow chart. For this purpose we introduce the notion of complete path in a Loop-Exit Scheme.

DEFINITION 2. A word  $z = a_{i_1} X_{i_1} a_{i_2} X_{i_3} \dots a_{i_n} X_{i_n}$  is a section for

S if and only if there is  $w \in L(S)$  such that:

- a) w = xzy
- b)  $i_j < i_{j+1}$  for  $j=1,2, \ldots, s-1$
- c) if  $x \neq \epsilon$  then  $x = x'a_{i_0}X_{i_0}$  with  $i_0 > i_1$
- d) if  $y \neq \epsilon$  then  $y = a_{i_{g+1}} X_{i_{g+1}} y'$  with  $i_g > i_{g+1}$ .

The set of all sections is denoted by SEC(S).

The following theorem is proved in [2]:

THEOREM 1. For each S we have  $L(S) \subset (SEC(S))$ .

DEFINITION 3. A word  $z \in SEC(S)$  is a branch for S if and only if there is  $w \in L(S)$  such that w = zy. The set of all branches of S is denoted by BRA(S).

Next, an algorithm to construct the set BRA(S) is given.

Algorithm 1. Which constructs the set BRA(S), has the following steps:

**Step 1.** The grammar  $G_1$  has the productions obtained from the productions of G(S) by replacing the productions

$$B_k \longrightarrow \alpha B_k \mid \epsilon$$
,

with the production  $B_k$  -->  $\alpha$  and in all the other productions which have not this form the metasymbol  $B_k$  is replaced by  $\epsilon$ .

**Step 2.** Putting off the inaccesible and unseful metasymbols of  $G_1$  we obtained the grammar  $G_2$  [1];

**Step 3.** The grammar  $G_3$  is obtained from the grammar  $G_2$  by replacing the productions of the form

$$L_k \longrightarrow \alpha L_k$$

by the productions

$$L_k^a \longrightarrow \alpha$$

where  $L_k^d$  is a new metasymbol associated to  $L_k$ ;

**Step 4.** The grammar  $G_4$  is constructed from the grammar  $G_3$  by adding to the productions of  $G_3$  some new productions. If  $L_k$  is a recursive symbol in  $G_2$  and  $A \longrightarrow \alpha$   $L_k$   $\beta$  is in  $G_3$  then add the

production A -->  $L_k^a$  to  $G_4$ . Here  $L_k^a$  is the symbol associated

to Lk.

**Step 5.** One computes BRA(S) =  $L(G_4)$ .

To each metasymbol A of a grammar

$$G=(N,\Sigma,\mathcal{P},\nabla)$$

one can associate the grammar

$$G_{\lambda} = (N, \Sigma, \mathcal{P}, \lambda)$$

which has the metasymbol A as the axiom.

If BRA(A) is the result of the application of the algorithm 1 to the grammar  $G_{\mathbf{A}}$  then the following theorem holds [1].

THEOREM 3. If S is a Loop-Exit Scheme then

SEC(S) = BRA(S) 
$$\cup$$
 {BRA(A) | A is recursive in  $G_S^r$  },

where  $G_S^r$  is the reduced grammar of the scheme S.

DEFINITION 4. For each  $xy^nz\in L(S)$  with  $n\ge 0$  and  $y\in SEC(S)$  the words  $w_1=xz$  and  $w_2=xyz$  with  $x=a_0x_1$  and  $z=z_1a_f$  (i.e. which contains the assignments  $a_0$  and  $a_f$ ) are called complete paths of the Loop-Exit Scheme. The set of all complete paths of S is denoted by CP(S).

THEOREM 4. Let  $G_p$  be the grammar obtained from G in the following way: if A is a recursive symbol in G and

$$A \longrightarrow \alpha A \mid \beta_1 \mid \beta_2 \ldots \mid \beta_k$$

are all the A-productions of G then the A-productions of  $G_p$  are

$$A \longrightarrow \beta_1 \mid \beta_2 \ldots \mid \beta_k \mid \alpha \beta_1 \mid \alpha \beta_2 \ldots \mid \alpha \beta_k$$

The language generated by the grammar  $G_p$  generates CP(S).

The proof of this theorem follows imediatelly from the definition 4.

To ilustrate these we consider the following Loop-Exit Scheme:

$$a_1$$
  $a_2$ 
 $LOOP_1$ 

$$IF_1 \ a_3 \ THEN_1 \ EXIT_1 \ ENDIF_1$$

$$IF_2 \ a_4 \ THEN_2 \ a_5$$

$$ELSE_2 \ a_6 \ a_7 \ a_8 \ ENDIF_2$$

$$ENDLOOP_1$$

The grammar G(S) and the reduced grammar  $G_S^{\Gamma}$  are

$$G(S)$$
  $G_S^T$ 

$$v = ---> a_1 a_2 L_1 a_9$$
  $v = ---> a_1 a_2 L_1 a_9$   $L_1 = ---> I_1 I_2 L_1 | a_3 + L_1 = ---> I_1 I_2 L_1 | a_3 + L_1 = ---> a_3 - I_2 = ---> a_4 + a_5 | a_4 - a_6 a_7 a_8$   $I_2 = ---> a_4 + a_5 | a_4 - a_6 a_7 a_8$ 

For this Loop-Exit Scheme we have

$$BRA(S) = \{ a_1a_2a_3+a_9 , a_1a_2a_3-a_4+a_5 , a_1a_2a_3-a_4-a_6a_7a_8 \}$$

and

$$SEC(S) = BRA(S) \sqcup \{ a_3+a_9 , a_3-a_4+a_5 , a_3-a_4-a_6a_7a_8 \}$$

The grammar  $G_p$  has the following productions:

$$\nabla$$
 --->  $a_1 \ a_2 \ L_1 \ a_9$ 

$$L_1 ---> I_1 I_2 a_3 + | a_3 +$$

$$I_1 ---> a_3 -$$

$$I_2 ---> a_4 + a_5 \mid a_4 - a_6 a_7 a_8$$

and the set of the complete paths is

$$CP(S) = \{ a_1a_2a_3+a_9, a_1a_2a_3-a_4+a_5a_3+a_9, a_1a_2a_3-a_4-a_6a_7a_8a_3+a_9 \}.$$

3. Testing a Loop-Exit Program Scheme. Similarly to [6] any Loop-Exit Scheme becomes a Program Scheme if the assignments and test symbols are defined as follows.

Let

$$V = \{v_1, v_2, \dots, v_m\} = I \cup W \cup O$$

be a set of variables, where I is the set of input variables, W is the set of working variables, and O is the set of the output variables. We may suppose, as in  $\{9\}$ , that the set I, W and O are mutually disjoint. Let

$$F = \{f_1, f_2, \ldots, f_n\}$$

be a set of functional symbols. We suppose that each assignment  $a \, \epsilon \, A$  is of the form

$$v := f(y_1, y_2, \ldots, y_k)$$

where  $f \in F$ ,  $k \ge 0$ ,  $y_1$ ,  $y_2$ , ...,  $y_k \in I \cup W$ , and  $v \in W \cup O$ .

Further, let

$$T = \{t_1, t_2, \ldots, t_r\}$$

be a set of test symbols. We suppose that each test symbol of the

Loop-Exit Scheme is of the form

$$t(y_1, y_2, \ldots, y_k)$$

where  $t \in T$ ,  $k \ge 0$ , and  $y_1$ ,  $y_2$ , ...,  $y_k \in I \cup W$ .

DEFINITION 5. A Loop-Exit Scheme S is a Loop-Exit program Scheme if the symbols  $a \in M$  are defined as above, and for any  $w \in L(S)$  and any  $v \in W$  if  $w = w_1 a X w_2$  and a is of the form  $t(\ldots, v, \ldots)$  or  $u := f(\ldots, v, \ldots)$  then there is a' $\in A$  of the form  $v := f(y_1, y_2, \ldots, y_k)$  such that  $w = w'a'w''a X w_2$ .

As an example, from the Loop-Exit Scheme given above we obtain the following Program Scheme:

LOOP<sub>1</sub>

 $IF_1 d=1 THEN_1 EXIT_1 ENDIF_1$ 

IF<sub>2</sub> d>i THEN<sub>2</sub> d:=d-i

 $ELSE_2$  t:=i; i:=d; d:=t  $ENDIF_2$ 

ENDLOOP<sub>1</sub>

div:=d

In other words, the definition 5 asks that any working variable is first initialized and then this variable may be used in computation.

The condition of the definition 5, taken from [6], is very strong. An example of a Loop-Exit Program Scheme which do not satisfy this condition but all variables receive their values before their use, is given in [4]. Also, in [4] is shown that a scheme S is a Program scheme if and only if this condition holds for any  $z \in BRA(S)$ . It follows that if a variable does not satisfy this condition for every  $z \in BRA(S)$  then it is certainly an

uninitialised variable. This fact is very important for the verification of the program corectness. Also, it is important for the programmer to be informed about all the uninitialised variables on some branches of the program.

Testing a program [7] means to observe the results obtained if the program is run for some testing data. A run is needed for each complete path. Therefore, for program testing it is very important to know all of its complete paths.

Knowing a complete path is also useful for choosing the coresponding testing data. If the input variables receives these data the program follows this path. That is, all test conditions met in this path are satisfied.

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# PARALLEL PRE-PROCESSING IN EXTRAPOLATION METHODS FOR SOLVING ORDINARY DIFFERENTIAL EQUATIONS

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Abstract: – The work deals with the parallel implementation of the pre-processing stage of the polynomial/rational extrapolation techniques for solving initial value problems in ordinary differential equations. The multiple use of the Euler method is analysed in the case of three step number sequences  $(n_k)$ :  $n_k=ak+b$ ,  $n_k=2^{n_k}k$ , and  $n_{k+1}=n_k+n_{k-1}$ ,  $k=0,\ldots,K$ . We make use of the PRAM model of parallel computation and propose a specific parallel algorithm for each type of sequence. It is assumed that the number of processors is a factor of K. The performances concerning the parallel processing time and the effectiveness of processor utilization are established. Some conditions ensuring the optimality of the proposed algorithms are also given.

**Reywords:** ordinary differential equations, polynomial and rational extrapolation, parallel algorithms.

1. Introduction. The parallel implementation of the polynomial and rational extrapolation techniques ([10], [12-13]) for solving initial value problems in ordinary differential equations (ODE's) is discussed in [8] where two types of systolic arrays are proposed.

We consider here a complementary task, namely, the design of parallel algorithms for computing the initial data input to the systolic arrays in [8].

The stages involved by the extrapolation methods for solving initial value problems in ODE's are described in section 2. In section 3, we present three parallel algorithms to compute the data entering the extrapolating process, and consider Euler's

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method applied to three types of step number sequences. The performances concerning the parallel processing time and the effectiveness of processor utilization are established. Some comments are given in the last section.

2. Extrapolation methods for solving ODE's. Consider the initial value problem

$$y'=f(t,y), t_0 \le t \le b, y(t_0) = y_0;$$
 (2.1)

and let us suppose that we need to compute  $y(t_0+H)$ ,  $t_0+H \le b$ . Let y(t) be the true solution of (2.1) and y(t,h) be the approximate solution obtained by using step length h,  $h \le H$ , and a suitable numerical method which is applied many times. Let us take some step-number sequence  $n_0 < n_1 < n_2 < \dots$ , put  $h_k = H/n_k$  and define

$$Y(k,0) = Y(t_0 + H, h_k),$$
 (2.2)

the numerical solution obtained by performing  $n_k$  steps with step size  $h_k$ ,  $k=0,2,\ldots,K$ . The computation of the Y(k,0)-values represents the pre-processing stage of the extrapolation.

Let us suppose that y(t,h) admits an asymptotic expansion in h of the following form

$$y(t,h) = y(t) + d_1 h^{r(1)} + d_2 h^{r(2)} + \dots + d_m h^{r(m)} + \dots$$
 (2.3)  
where  $0 < r(1) < r(2) < \dots < r(m), d_1, d_2, \dots$  are independent of  $h$ .

2.1. Polynomial and rational extrapolation. If we consider r(i)=ir in (2.3) then following [13] the polynomial extrapolation involves the computing of the Y-values table given by  $Y(k,m)=Y(k+1,m-1)+[Y(k+1,m-1)-Y(k,m-1)]/[(n_{k+m}/n_k)^T-1]$  (2.4) for  $k=0,1,\ldots,K-m$  and  $m=1,2,\ldots,K$ . The computation given by (2.4)

is represented (for K=5 and m=5) in Figure 1.

For 
$$n_k = H/(h_0 b^k)$$
,  $b \in (0,1)$ , (2.4) becomes 
$$Y(k,m) = Y(k+1,m-1) + [Y(k+1,m-1) - Y(k,m-1)]/[1/b^{mr}-1],$$
 (2.5) 
$$k = 0, 1 \dots, K-m \quad m = 1, 2, \dots, K.$$

Usually, r=1 or r=2. The process is stopped ([13]) when  $abs(Y(0,m)-Y(0,m-1)) \le to1, \qquad (2.6)$ 

where tol is a prescribed tolerance and Y(0,m) approximates  $y(t_0+H)$ .

The rational extrapolation ([10]) is defined by

$$R(k,-1)=0$$
;  $R(k,0)=Y(k,0)$ ; (2.7)

 $R(k,m) = R(k+1,m-1) + [R(k+1,m-1)-R(k,m-1)]/{(h_k/h_{k+m})^2}[1-$ 

$$(R(k+1,m-1)-R(k,m-1))/(R(k+1,m-1)-R(k+1,m-2))]-1$$
 (2.8)

for  $m\geq 1$ . This scheme is illustrated (for K=5) in Figure 2. The process is stopped ([10]) when

abs
$$(R(k-m,m)-R(k-m+1,m))$$

for some K and m. If (2.9) holds then the result R(k-m+1,m) could be accepted.

**2.2.** Basic pre-processing methods. In the case of non-stiff equations ([10], [13]), for r=1 the basic method to compute the Y(k,0)-values is the Euler method

$$y_{i+1} = y_i + h_k f(t_i, y_i), t_{i+1} = t_i + h_k, i = 0, \dots, n_k - 1,$$
 (2.10)  
 $Y(k, 0) = y_{nk}.$ 

For r=2, a numerical integration formula for which (2.3) holds is the second order Gragg's method described by

$$z(t_0, h_k) = y_0,$$
 (2.11a)

$$z(t_1,h_k)=y_0+h_kf(t_0,y_0);$$

$$t_i = t_0 + ih_k$$
,  $z(t_{i+1}, h_k) = z(t_{i-1}, h_k) + 2h_k f(t_i, z(t_i, h_k))$ ; (2.11b)  
 $i = 1, \dots, n_{k-1}$ :

$$Y(k,0) = [z(t_nk-1,h_k)+z(t_nk,h_k)+h_kf(t_nk,z(t_nk,h_k))]/2$$

Extrapolation of implicit methods can be used for stiff equations. Some symmetric and non-symmetric methods which are of interest are described in [12]. In this case, for each Y(k,0)-value, we must solve  $n_k$  nonlinear equations, and consequently the computing time cannot be predicted. However, a lower bound to this time could be given by the time needed by Gragg's method. In what follows this lower bound will be used instead of the exact time.

3. Parallel pre-processing algorithms. This section deals with the parallel computation of Y(k,0),  $k=0,\ldots,K$ . We consider the case of explicit methods. The entire computational diagraph of the polynomial extrapolation is illustrated for K=5 in Figure 3. The computation required by Y(k,0) is represented by the sequence of vertices denoted by seq(k). Each vertex in this sequence represents just one step of the Euler method, while an arc (v,v) denotes the sending of the y-value from v to v. The first vertex in each sequence requires  $Y_0$  as the starting value.

For each fixed k, Y(k,0) is obtained by performing  $n_k$  steps with the step size  $h_k$ . It is reasonable to suppose that each step

requires an amount of time which depends on the applied explicit method and the complexity in evaluating f(t,y), and does not depend on the step size  $h_k$ .

Let t(0) be the time to perform the operation  $0\epsilon(+,*,/)$  and define the time unit (TU) as t(/). Let us denote by c the time to execute just one step of the Euler's method (2.10). The dominant part of c is represented by the time to compute f. In the case of formula (2.10), Y(k,0) requires  $cn_k$  TUs.

If Gragg's method is used then Y(k,0) is computed in  $c+(n_k-1)[c+t(+)]+(c+t(+)+t(*))=n_k+1$  TUs, because t(+)<< c. Therefore, the use of the Gragg's method for computing the initial Y-values, can be studied by considering  $n_k=n_k+1$ . In the case of  $n_k=ak+b$  this can be simply done by taking b:=b+1. Some problems arise for  $n_k=2^k$  and  $n_{k+1}=n_k+n_{k-1}$ . In each case,  $n_k$  exponentially increases and  $n_k$  does not differ significantly from  $n_k$ . So, we can reduce the discussion to Euler's method applied to the above step number sequences. Also, we can suppose that c=1.

We make use of the idealized model of parallel computation known as the PRAM ([11]). We shall suppose that S processors,  $P_S$ .  $s=1,\ldots,S$ , are available. We consider the parallel execution of the sequences seq(k),  $k=0,\ldots,K$ , where K+1>S. The processors execute the same program implementing Euler's method for the same function and initial condition, but for different step sizes and number of steps. We remark that the use of the PRAM model is motivated by the necessity to consider functions of arbitrary complexity and not by the intrinsec structure of the numerical method computing the Y(k,0)-values. We note that if f is a

polynomial both in t and y, or is given by a small size arithmetic expression including elementary functions, then a soft/hard-systolic implementation is also possible ([2-6], [14-15], [17-18]).

**3.1.** Case  $n_k=ak+b$ . Let Q and  $Q_0$  be two positive integers so that  $K+1=(2S)Q+Q_0$ , with  $Q_0<2S$ .

The K+1 sequences are organized in Q bands  $B(q), q=1, \ldots, Q$ , of 25 succesive sequences and the band B(Q+1) of  $Q_0$  sequences. The bands B(q),  $q=1,\ldots,Q+1$ , are processed in a serial fashion, while the sequences of each band are processed in parallel, as it is illustrated in Figure 4 for S=4. The q-th band is formed by  $seq((q-1)2S+j-1), j=1,\ldots,2S, q=1,\ldots,Q$ .

The processors  $P_1, \ldots, P_S$  begin the execution of B(q) at the same time, say  $\operatorname{ts}(q)$ .  $P_r$  executes  $\operatorname{seq}((q-1)2S+r-1)$  and then  $\operatorname{seq}((q-1)2S+2S-r)$ ,  $r=1,\ldots,S$ , and this computation takes  $\operatorname{Tp}(q)=\operatorname{t}((q-1)2s+r-1)+\operatorname{t}((q-1)2s+2s-r)=a[4(q-1)s+2s-1]+2b$  TUs.

This time does not depend on r, thus the processors terminate the processing of B(q) at the same time, ts(q)+Tp(q). A serial algorithm takes Ts(q)=S\*Tp(q), then the efficiency of processor utilization is Ec(q)=Ts(q)/(STp(q))=1 i.e. the strategy to process each band is optional.

The execution of B(q+1) starts at ts(q+1)=ts(q)+Tp(q) and is done in the same manner. If  $Q_0=0$ , then the parallel processing time for Q bands is

$$Tp=ts(1)+Tp(1)+...+Tp(Q)=Q[2b+a(2S-1)+2aS(Q-1)],$$
 (3.1)

for ts(1)=0. The total time required by a serial algorithm is

$$Ts=Ts(1)+...+Ts(Q)+S[Tp(1)+...+Tp(Q)]=S*Tp,$$
 (3.2)

therefore the global efficiency Ec=1.

Now, let us suppose that  $Q \neq 0$  and B(Q+1) is processed like the previous bands.

First, if  $1 \le Q_0 \le S$  we use only  $Q_0$  processors to process seq(2QS-1+j),  $j=1,\ldots,Q_0$ . Therefore

$$Tp'(Q+1)=t(2QS-1+Q_0)=t(K)=aK+b\ TUs,$$

while

$$Ts'(Q+1) = t(2QS) + t(2QS+1) + \dots + t(2QS+Q_0-1) =$$
  
=  $[a(2QS-1)+b]Q_0+aQ_0(Q_0+1)/2$ .

Thus, we obtain that the effectiveness of processor utilization for the last band is

$$Ec'(Q+1)=1-a(Q_0-1)/[2(aK+b)].$$

On the other hand,

$$Tp'=Tp+Tp'(Q+1)$$
,

$$Ts'=Ts+Ts'(Q+1)$$
,

and from (3.1) and (3.2) we obtain the effectiveness of processor utilization for the entire process as

$$Ec'=Ts'/(STp')=$$

$$=1-\left[ aQ_{0}^{2}/2-Q_{0}\left( aK+b+a/2\right) +S\left( aK+b\right) \right] /S\left( Tp+aK+b\right) \right] <1\,,$$

and Ec' has the greatest value for  $Q_0=S$  when

$$Ec^{\dagger}=1-a(S-1)/[2(Tp+aK+b)].$$

Second, if  $S+1\leq Q_0\leq 2S-1$ , we have that S processor execute the sequences  $\mathrm{seq}(2QS-1+j)$ ,  $j=1,\ldots,Q_0$ . Let us take  $Q_\delta\epsilon$   $(1,\ldots,S-1)$ , so that  $Q_0=S+Q_\delta$ . For the sake of regularity we use the same strategy for peocessing this last band. Therefore,  $P_j$  performs

only

seq(2SQ-1+j),  $j=1,2,\ldots,S-Q_{\delta}$  while  $P_h$  executes seq(2QS-1+h) and then seq(2QS+2S-h),  $h=S-Q_{\delta}+1,\ldots,S$ . Consequently, the parallel processing time for the last band is

$$Tp''(Q+1) = t(2QS-1+S)+t(2QS+S) = a(4QS+2S-1)+2b$$
  $TUs$ ,

while the corresponding sequential time is

$$Ts"(Q+1) = Ts'(Q+1)$$

and we obtain that

$$Ec^{**}(Q+1) = (Q_0/S) \left[ a \left( K+1-Q_0-1 \right) + b + a \left( Q_0+1 \right) /2 \right] / \left[ a \left( 2 \left( K+1-Q_0 \right) + 2S-1 \right) + 2b \right].$$

In this case, the total parallel processing time is

$$Tp^{n}=Tp+Tp^{n}(Q+1)$$
,

and the corresponding sequential time is

$$Ts"=Ts+Ts"(Q+1)$$
,

and from (3.1) and (3.2), the obtained effectiveness of processor utilization for the entire process is

As a conclusion of the above analysis we can state

THEOREM 1. Under the above assumptions, if  $n_k=ak+b$ , k=0,...K and S processors are used to compute Y(k,0), k=0,...,K, then the following assertions are true:

(i) if K+1=2SQ then the algorithm is optional with respect to processor utilization and the parallel processing time is

$$Tp=Q\{2+a(2S-1)+2aS(Q-1)\};$$

(ii) if  $Q_0 = K + 1 - 2SQ$  and  $1 \le Q_0 \le S$  then the parallel processing time is

$$Tp'=Tp+aK+b$$

and the effectiveness of processor utilization is  $Ec'=1-\left[aQ_0^2/2-Q_0\left(aK+b+a/2\right)+S\left(aK+b\right)\right]/\left[S\left(Tp+aK+b\right)\right]$   $\leq 1-a\left(S-1\right)/\left[2\left(Tp+aK=b\right)\right],$ 

while the equality holds for  $Q_0=S$ ;

(iii) if  $Q_0$ =K+1-2SQ and S+1 $\leq Q_0$  $\leq$ 2S-1 then the parallel processing time is

$$Tp^{n}=Tp+a(4QS+2S-1)+2b$$
,

and the effectiveness of processor utilization is  $Ec^{n}=1-\{a[-2S^{2}(1+2Q)+S(1+2QQ_{0})+Q_{0}(Q_{0}-1)/2]+b(-2S+Q_{0})\}/ \\ \{S[Tp+a(4QS+2S-1)+2b]\}.$ 

The above discussion could be extended in the following way. Let m be a positive integer, 1 < m < K+1, with K+1=m\*N, and define  $C_p = \{ seq(p+jm)/j=0,...,N-1 \}, p=0,...,m-1. Also, consider the$ positive integers  $a_p$  and  $b_p$ ,  $p=0,\ldots,m-1$ . A mixed pre-processing strategy is to compute Y(k,0) in accordance to the step number sequence  $a_p*k+b_p$ , as it is required in the case of stiff equations ([12]). A desirable property of the parallel processing scheme is that whenever k < k', the Y(k,0)-value is obtained before Y(k'.0), because in this case the stopping condition (2.6) can be efficiently used to save time and hardware. On the other hand, the precedence constraints appearing in the extrapolation stage do not require such a property (see Figures 1-2). It results that we could relaxe the above condition by requiring that it holds only for a given number of succesive Y(k,0)-values. So, an acceptable compromise is to assign seq(p+jm), p=0,...,m-1, to processor  $p_{j+1}$ , j=0.,...S-1, S>N, and to continue with  $P_1$ ,

 $P_2, \ldots$  for j=S,  $S+1, \ldots$  and so on. This solution is compatible with the necessity to adopt a local synchronization technique ([19], [16]) for interfacing the set of S processors and the systolic arrays in [8].

3.2. Case  $n_k=2^k$ . If S processors are available to compute seq(k), k=0,...,K, the obtained efficiency is

$$Ec(S) = N(K) / (S*Tp(S))$$
,

where  $N(k)=n_0+\ldots+n_k$  and Tp(S) is the corresponding parallel processing time. Since  $N(k)=2n_k-1$  and

$$Tp(S) \ge \max\{n_k/k=0,1,\ldots,K\} = n_K$$

we obtain

$$Ec(S) = (2n_K-1) / (Sn_K) \le (2n_K-1) / (2n_K)$$
.

This upper bound does not depend on S and because

$$Ec(2) = (2n_K - 1) / (2n_K)$$

we conclude that it is fruitless (from the efficiency point of view) to use more than two processors to execute seq(k),  $k=0,1,\ldots,K$ . If the processors are  $P_1$  and  $P_2$ , then  $P_1$  executes seq(k),  $k=0,\ldots,K-1$  in N(K-1) TUs and  $P_2$  performs seq(K) in  $n_K=N(K-1)+1$  TUs as it is illustrated in Figure 5. If we need to extend the activity of  $P_i$ , i=1,2, to seq(k),  $k=K+1,\ldots,K+R+1$ , and  $P_1$  executes seq(k),  $k=K+1,\ldots,K+R$ , while  $P_2$  acts on seq(K+R+1), then the obtained efficiency is

$$Ec(2) = n_{K+1}N(R) / (2n_{K+R+1}) = 1-1/2^{R+1}$$
.

Now,  $P_1$  works in  $n_{K+1}N(R-1)=2^{K+R+1}-2^{K+1}$  TUs, while  $P_2$  needs  $n_{K+R+1}$  TUs.

These results suggest the following strategy. Let us suppose that S=2S' and the sequence seq(k), k=0,...,K are divided into M bands B(m), m=1,...,M of equal size, and band B(m) is divided into S' subbands SB(m,s), s=1,...,S of equal size R, i.e. K+1=MS'R. Therefore B(m) contains seq((m-1)RS'+j-1),  $j=1,\ldots,RS'$ , and SB(m,s) consists of  $seq((m-1)RS^{*}+(s-1)R+r-1)$ ,  $r=1,\ldots,R$ ,  $s=1,\ldots,S'$ ,  $m=1,\ldots,M$ . The processing begins with B(1) so that the S' pairs of processors start at the same time. The s-th pair of processors, say (P(s,1),P(s,2)), acts on SB(1,s),  $s=1,\ldots,S'$ so that P(s,1) executes the first R-1 sequences and P(s,2) the R-th sequence. As soon as P(s,j) terminates its work for SB(m,s)it passes to the corresponding sequence(s) of SB(m+1,s), and so on. This strategy is illustrated in Figure 6. It results that P(s,1) executes seq((m-1)RS'+(s-1)R+r-1), r=1,...,R-1, whileP(s,2) acts on seq((m-1)RS'+sR-1), m=1,...,M, s=1,...,S'. Let  $t_0$ be the time when P(s,j),  $s=1,\ldots,s'$ , j=1,2, start the activity for B(1). Also, let us denote by tf(k) (tin(k)) the time when the execution of seq(k) is terminated (initiated). Clearly, for each  $s \in \{1, ..., S^n\}$ , from the activity of P(s, 2), we have that

$$tf(sR-1)=t_0+2^{sR-1}$$
,

 $tf((h-1)RS^{*}+sR-1)=tf((h-2)RS^{*}+sR-1)+2^{(m-1)RS^{*}+sR-1}, \quad h=2,\ldots,m,$  and consequently, we obtain

$$tf((m-1)RS'+sR-1)=t_0+2^{sR-1}(2^{mRS'}-1)/(2^{RS'}-1), m=1,\ldots,M.$$

On the other hand,

$$tin((m-1)RS'+sR-1)=tf((m-1)RS'+sR-1)-2^{(m-1)RS'+sR-1}=$$

$$tf((m-2)RS'+sR-1), m=1,...,M.$$

Now, let us analyse the activity of P(s,1),  $s \in \{1,\ldots,S'\}$ . This processor executes in the order  $((seq((m-1)RS'+(s-1)R+r-1), r=1,\ldots,R-1), m=1,\ldots,M)$ . Let TB(s,m) be the time in which P(s,1) executes the sequences belonging to B(m),  $m=1,\ldots,M$ . Clearly,

$$TB(s,m) = \sum_{r=1}^{R-1} 2^{(m-1)RS'+(s-1)R+r-1} =$$

$$= (2^{R-1} - 1) 2^{(m-1)RS'+(s-1)R}$$

Also, let TF(s,m) be the time when the last sequence of B(m) associated to P(s,1) is terminated. Clearly,

$$TF(s,1)=t_0+TB(s,1)$$
,

TF(s,h)+TF(s,h-1)+TB(s,h), h=1,...,m.

Thus,

$$TF(s,m) = t_0 + \sum_{h=1}^{m} TB(s,h)$$

$$t_0 + 2^{(s-1)} (2^{R-1} - 1) (2^{mRS'} - 1) / (2^{RS'} - 1)$$
.

If TS(s,m) denotes the time when P(s,1) begins the execution of its first sequence from B(m), then TS(s,m)=TF(s,m)-TB(s,m).

For a better analysis, we compute  $tf((m-1)RS^{*}+(s-1)R+r-1)$ ,  $r=1,\ldots,R-1$ ,  $m=1,\ldots,M$ . Clearly, we have while

$$tin((m-1)RS'+(s-1)R)=TS(s,m)$$

$$tf((m-1)RS'+(s-1)R+r-1)=TS(s,m)+\sum_{h=1}^{r}2^{(m-1)RS'+(s-1)R+h-1}$$

$$=TS(s,m)+(2^{r}-1)2^{(m-1)RS'+(s-1)R}.$$
(3.3)

and

$$tin((m-1)RS'+(s-1)R+r-1)=tf((m-1)RS'+(s-1)R+r-2), r=2,...,R-1.$$

Now, let us compute the effectiveness of processor utilization, Ec(S',R).

The time Ts required by a sequential algorithm is

$$Ts=n_0+...+n_K=2^{K+1}.$$

The number Np=2S' of processors leads to the parallel processing time Tp whose value is obtained by analysing the activity of (i) p(s,1),  $s=1,\ldots,S'$  and (ii) P(s,2),  $s=1,\ldots,S'$ .

(i) From (3.3) we obtain the equivalent form of the final time  $tf((m-1)RS'+(s-1)R+r-1)=t_0+(2^{R-1}-1)(2^{mRS'}-1)2^{(s-1)R}/(2^{RS'}-1)-1$ 

$$(2^{R-1}-1) 2^{(m-1)RS'+(s-1)R}+$$
  
 $(2^{r}-1) 2^{(m-1)RS'+(s-1)R},$   
 $r=1,\ldots,R-1, m=1,\ldots,M.$ 

The last task executed by P(s,1) is obtained for m=M and r=R-1 and has the ending time  $tf_{s,1}$  given by

$$tf_{s,1} = tf((M-1)RS' + (s-1)R + R - 2) = t_0 + (2^{R-1}-1)(2^{K+1}-1)2^{(s-1)R}/(2^{RS'}-1),$$

because K+1=MRS'.

(ii) The last task executed by P(s,2) has an end time  $tf_{s,2}$  obtained from tf((m-1)RS'+sR-1) by taking m=M, i.e.  $tf_{s,2}=t_0+(2^{K+1}-1)2^{sR-1}/(2^{RS'}-1).$ 

But

$$Tp(S',R) = \max\{tf_{s,1}, tf_{s,2}/s=1, \ldots, S'\}-t_0 = \max\{tf_1, tf_2\},$$

where

$$tf1=\max\{tf_{s,1}/s=1,\ldots,S'\}-t_0=tf_{S',1}-t_0=$$

$$(2^{R-1}-1)(2^{K+1}-1)2^{(S'-1)R}/(2^{RS'}-1),$$

while

$$tf2=\max\{tf_{s,2}/s=1,\ldots,S'\}-t_0=tf_{s',2}-t_0=$$

$$(2^{K+1}-1)2^{RS'-1}/(2^{RS'}-1),$$

and finally, we obtain

$$Tp(S',R) = (2^{K+1}-1)2^{RS'-1}/(2^{RS'}-1)$$
.

Therefore,

$$Ec(S',R) = Ts/(NpTp(S',R)) = (1-1/2^{RS'})/S'.$$
 (3.4)

As it was expected, by taking S'=1 and R=K+1 in (3.4), it results

$$EC(1,K+1)=1-1/2^{K+1}$$

and

$$Ec(1,K+1) \geq EC(S',R)S'$$
.

Also, for M=1 it holds Ec(1,K+1)=Ec(S',R)S'.

On the other hand,  $Tp(1,K+1)=2^K$  and

$$Tp(S',R)/Tp(1,K+1) = (1-1/2^{K+1})/(1-1/2^{(K+1)/N}).$$
 (3.5)

By taking M=1 in (3.5), Tp(S',R)=Tp(1,K+1) results. If M>1 consider  $f(x)=(1-x^N)/(1-x)$ , with 0< x<1/2. A simple calculation shows that  $1< f(x)<2-1/2^{M-1}$  and therefore we obtain that

$$Tp(1,K+1) < Tp(S',R) < Tp(1,K+1)(2-1/2^{K-1})$$
.

In fact, we have proved the following

THEOREM 2. Under the above assumptions if  $n_k=2^k$ ,  $k=0,\ldots,K$ , and S processors are used to compute Y(k,0),  $k=0,\ldots,K$ , then the following assertion are true:

(i) if Ec(S) is the effectiveness of processor utilization

for an arbitrary parallel algorithm then  $Ec(S) \le Ec(2)$ , for  $S \ge 2$ ;

(ii) if S=2S' and K+1=MRS' and the above strategy is used then

(ii.i) the parallel processing time is 
$$Tp(S',R) = (2^{K+1}-1) 2^{RS'-1} / (2^{RS'}-1);$$

(ii.ii) the effectiveness of processor utilization is  $Ec(S',R) = (1-1/2^{RS'})/S';$ 

(ii.iii) if M=1 then

$$Tp(1,K+1)=Tp(S',R)$$

and

$$Ec(1,K+1) = Ec(S',R)S';$$

(ii.iv) if M>1 then  $Tp(1,K+1) < Tp(S',R) < Tp(1,K+1) (2-1/2^{N-1})$  and

$$Ec(1,K+1)>Ec(S',R)S'$$
.

3.3. Case  $n_{k+1}=n_k+n_{k-1}$ . Let us consider the case when  $(n_k)$  is a Fibonacci sequence, for some prescribed  $n_0$  and  $n_1$ . The reason to consider this sequence is that it is possible to determine a, b,  $n_0$  and  $n_1$  such that  $ak+b \le n_k \le 2**k$  holds for  $k \ge k_0$ , where  $k_0$  is a prescribed rank. We suppose again that S=2S'. We split the set of K+1 sequences into S' bands B(s),  $s=1,\ldots,S'$ . The band B(s) splits into the subbands SB(s,r),  $r=1,\ldots,R$ , where SB(s,r) consists of three succesive sequences, i.e. K+1=3RS' and  $SB(s,r)=\{seq((s-1)3R+3(r-1)+h)/h=0,1,2\}$  as it is shown in Figure T(a). The pair of processors (P(s,1),P(s,2)) acts on B(s),  $s=1,\ldots,S'$  and all pairs begin the activity at the same time  $t_0$ 

(Figure 7(b)). The processor P(s,1) executes the sequences ((seq((s-1)3R+3(r-1)), seq((s-1)3R+3(r-1)+1), r=1,...,R) in this order, while P(s,2) executes (seq((s-1)3R+3(r-1)+2), r=1,...,R) in this order. Therefore P(s,1) needs t(s,1) time, where

$$t(s,1) = \sum_{r=1}^{R} (n_{(s-1)3R+3(r-1)} + n_{(s-1)3R+3(r-1)+1}),$$

while the time required by P(s,2) is

$$t(s,2) = \sum_{r=1}^{R} n_{(s-1)3R+3(r-1)+2}, \qquad (3.6)$$

and because  $(n_k)$  is a Fibonacci sequence it results that t(s,1)=t(s,2). Therefore, the time to execute B(s) is t(s,2) and the parallel processing time is

$$Tp=\max\{t(s,2)/s=1,\ldots,S'\}=t(S',2)=$$

$$\sum_{r=1}^{R} n_{(S'-1)3R+3r-1}TUs.$$

If  $q_1$  and  $q_2$  are the roots of the equation  $x^2-x-1=0$ , then  $n_k=c_1q_1^{\ k}+c_2q_2^{\ k}, \qquad \qquad (3.7)$ 

where  $c_j$ , j=1,2, are determined by  $n_0$  and  $n_1$ . Using this form of  $n_k$  and taking into account that  ${q_j}^3-1=2q_j$ , j=1,2, from (3.6) we obtain

$$t(s,2) = \sum_{i=1}^{2} c_{i} \sum_{r=1}^{R} q_{i}^{(s-1)3R+3(r-1)+2} = 0.5 \sum_{i=1}^{2} c_{i} q_{i}^{(s-1)3R+1} (q_{i}^{3R}-1).$$
(3.8)

Therefore, it is obtained that

$$Tp=0.5 \sum_{i=1}^{2} c_{i}q_{i}^{(S'-1)3R+1}(q_{i}^{3R}-1).$$

On the other hand, the time required by a sequential algorithm is

$$Ts = 2 \sum_{s=1}^{s'} t(s,2)$$
,

and from (3.8) we produce

$$Ts = \sum_{i=1}^{2} C_{i} q_{i} (q_{i}^{K+1} - 1) ,$$

while from (3.7) and the fact that K+1=3RS', we obtain

$$Tp=0.5(n_{K+2}-n_{K+2-3R})$$

and

$$Ts=n_{K+2}-n_1$$
.

Thus, the effectiveness of processor utilization is

$$Ec=Ts/\left(S*Tp\right)=3R\left(n_{K+2}-n_{1}\right)/\left\{\left(K+1\right)\left(n_{K+2}-n_{K+2-3R}\right)\right\}.$$

Let us remark that S'=1 yields k+1=3R and  $n_{R+2-3R}=n_1$ , consequently Ec=1.

As a consequence of the above analysis we can state

THEOREM 3. Under the above assuptions, if  $\{n_k\}$  is a Fibonacci sequence, a number of S=2S' processors are used to compute Y(k,0), k=0,...,K, and the above parallel algorithm is used, then:

(i) the parallel processing time is

$$Tp=0.5(n_{K+2}-n_{K+2-3R})$$
 TUs;

- (ii) the effectiveness of processor utilization is  $Ec=3R(n_{K+2}-n_1)/[(K+1)(n_{K+2}-n_{K+2-3R})];$
- (iii) if S=2 then the parallel algorithm is optimal with respect to the processor utilization.

Now, we are able to give a better motivation to our work. A serial implementation of the extrapolation stage requires  $O(K^2)$  amount of time. The pre-processing stage needs  $O(cK^2)$  time for  $n_k=ak+b$ , and  $O(cq^K)$  time, where q=2 for  $n_k=2^k$  and  $q=\max(q_1,q_2)$  for Fibonacci sequence, where c includes the time complexity in the evaluation of f. The comparison still indicates that a parallel approach of the pre-processing stage is well suited. A similar situation appears in the case of Romberg's extrapolation method for numerical integration ([1], [7]).

4. Final remarks. There are two contradictory aspects in any attempt to parallelize the extrapolation methods for solving initial value problema in ODE's.

The first aspect refers to the adaptive feature of the method which allows us to stop the first stage computation as soon as it is obtained the desired accuracy. A considerable amount of time can be saved in this way. Clearly, the best way to accomplish the adaptive task is to use a serial algorithm, which starts the computation of Y(k,0) value only if Y(h,0),  $h=0,\ldots,k-1$ , do not lead to a true value of the convergence test.

The second aspect concerns the fact that if we wish to obtain a short parallel computing time, then the parallel algorithm must anticipate the computation of some Y(k,0)-values before knowing that these values are necessary or not to obtain

the desired tolerance. If they aren't then an useless computation was performed, and this comes as a price. In compensation, a certain gain of accuracy could be obtained if the extrapolation stage continues while it consumes the Y(k,0) values whose computation was already started and does not need much time to be finished.

The proposed algorithms accomplished a serial processing of the bands, while the parallel processing addresses to the tasks within of each band. For this reason, they seem to be a good compromise between opposing restrictions.

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Figure 1: Polynomial extrapolation data dependencies.



$$R(1,-1)=0 \xrightarrow{Y(0,0)=R(0,0)} \xrightarrow{\times} R(0,1) \xrightarrow{\times} R(0,2) \xrightarrow{\times} R(0,3) \xrightarrow{\times} R(0,4) \xrightarrow{\times} R(0,2) \xrightarrow{\times} R(0,3) \xrightarrow{\times} R(0,4) \xrightarrow{\times} R(0,5) \xrightarrow{\times} R(0,3) \xrightarrow{\times} R(0,4) \xrightarrow{\times} R(0,5) \xrightarrow{\times} R(0,3) \xrightarrow{\times} R(0,4) \xrightarrow{\times} R(0,5) \xrightarrow{\times} R(0,4) \xrightarrow{\times} R(0,5) \xrightarrow$$

Figure 2: Rational extrapolation data dependencies.

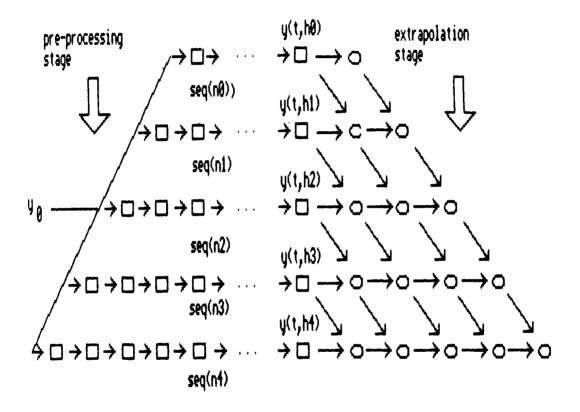


Figure 3: Polynomial extrapolation including the pre-processing stage (K=5).

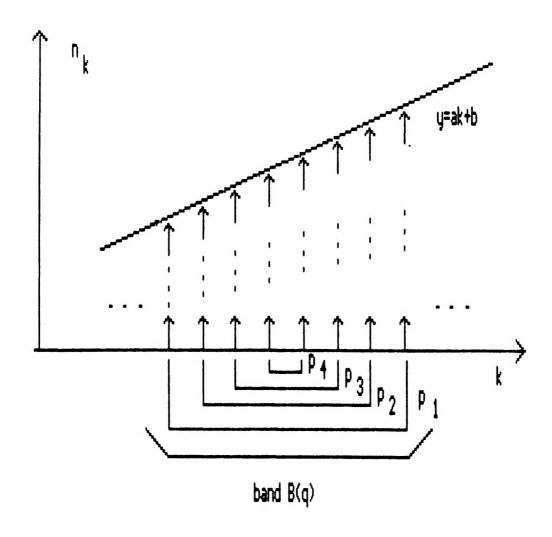


Figure 4: Parallel pre-processing for  $n_k=ak+b$ .

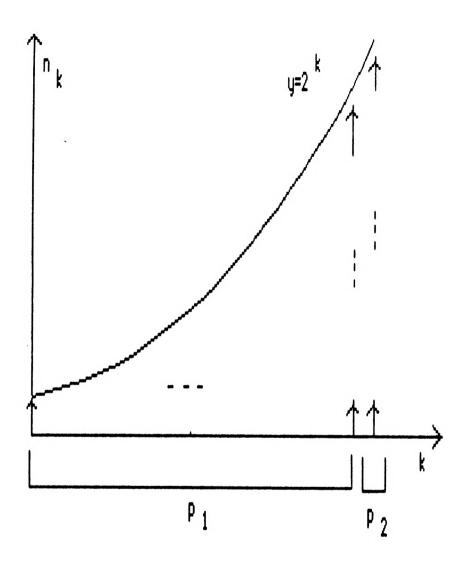


Figure 5: Two processors executing the pre-processing stage for  $n_k \! = \! 2 \! * \! * \! k \! \; .$ 

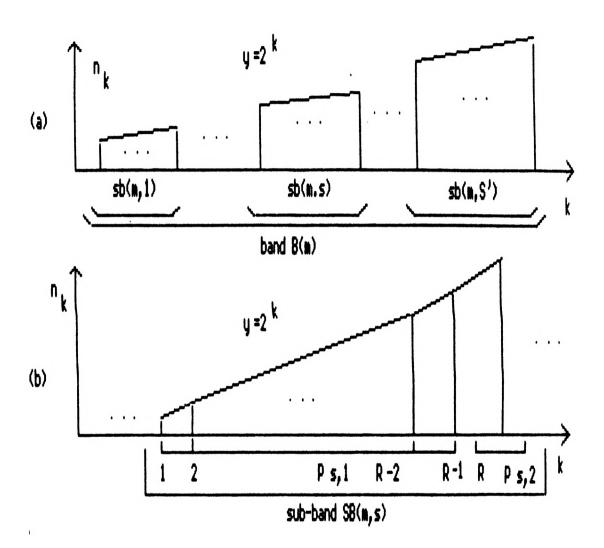


Figure 6: Parallel pre-processing for  $n_k=2**k$ :

(a) band structure of the sequence;

(b) the execution of SB(m,s) by the pair (P(s,1), P(s,2)).

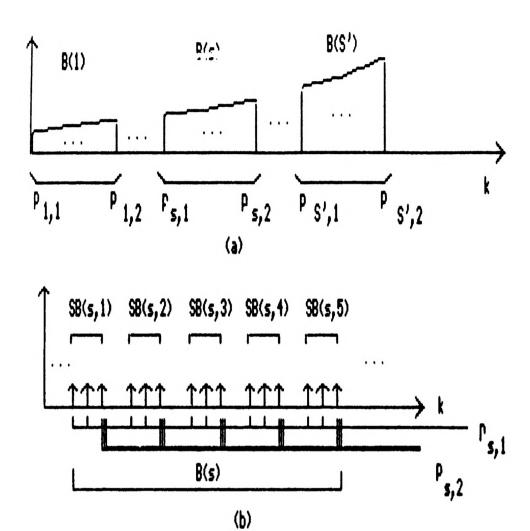


Figure 7: (a) The band structure of the K+1 sequences (b) The sequences assigned to P(s,1) and P(s,2) (R=5) when  $n_{k+1}=n_k+n_{k-1}$ .

### ON A NONLINEAR-FRACTIONAL OPTIMIZATION PROBLEM IN GRAPHS

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RESUNAT. Asupra unei probleme neliniar-fractionară de optimisare în grafe. Fie G(N,A) un graf finit și neorientat. Asociem fiecărei muchii a grafului două ponderi pozitive: costul  $c_{ij}$  și capacitatea  $k_{ij}$ . Notăm cu Y mulțimea tuturor arborilor de acoperire ai grafului G. Pentru TeY definim costul C(T) și capacitatea k(T) a arborelui T. Scopul acestei lucrări este de a rezolva următoarea problemă de optimizare: Să se determine un arbore TeY care minimizează C(T)/k(T) pe mulțimea Y. În articol se prezintă și un algoritm aproximativ de determinare a soluției optimale.

1. General problem. Let G(N,A) be a finite undirected graph, where N is the vertex set and  $A \subset \{(i,j): i,j \in N\} \setminus \{(i,i): i \in N\}$  is the edge set.

We associate to each edge (i,j) in A two positive integer pounds, that is a cost  $c_{ij}$  and a capacity  $k_{ij}$  .

Let Y be a given set of subgraphs of G(N,A). For every subgraph T in Y we define:

$$c(T) = \sum_{(i,j)\in T} c_{ij} \quad \text{and} \quad k(T) = \min \{ k_{ij} : (i,j) \in T \},$$

representing, the cost and the capacity of the subgraph T, respectively.

We consider the following general fractional problem:

(P). Find a subgraph T' in Y minimizing the ratio c(T)/k(T) over the set Y, namely:

min { c(T)/k(T) :  $T \in Y$  }.

The particular case of problem (P) , when Y is the set of

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paths between two given vertex of the graph G was studied by Martins [3]. In the paper [5], we gave a generalization of Martins algorithm.

The purpose of this paper is to apply this general algorithm for a particular case of the set Y. Namely, we take Y to be the set of all spanning trees of the graph G. In this case, we solve problem (P) by the perturbation of its cost coefficients. We obtain an approximate optimal solution for the initial problem and we give an evaluation of the deviation from the optimal value.

2. Basic properties. First we introduce the "nondomination" relation on the set Y of spanning trees.

DEFINITION 1. Let  $T,T'\in Y$  be two distinguished spanning trees of G(N,A). T dominates T' if and only if  $c(T)\leq c(T')$  and  $k(T)\geq k(T')$  and the strict inequality holds at least once.

The fact that T dominates T' we denote by T D T'.

Let  $Y_D = \{T \in Y : \exists T' \in Y \text{ such that } T' \ D \ T \}$  be the set of dominated spanning trees.

DEFINITION 2.  $Y_N = Y - Y_D$  is the set of nondominated spanning trees.

From the above definition it results that  $Y_N$  can be viewed as a set of optimal solutions for a bicriterion problem associated to (P).

The algorithm that will be presented for solving problem (P), is based on the concept of nondominated spanning tree. This concept is inspired by the procedures of solving the bicriterion

problem.

Further on, we present without proof some theorems and propositions which represent the theoretic support for the algorithm. In the general case, when Y is an arbitrary set of subgraphs of G, we allready proved all these results (see, [5]).

Let  $Y_0$  be the set of optimal solutions of problem (P). The following theorem establishes a relationship between  $Y_0$  and  $Y_N$ .

THEOREM 1. ([5]) Any optimal solution for (P) is a non-dominated spanning tree, that is  $Y_0 \in Y_N$ .

DEFINITION 3. The subset  $Y_N^{\ \ }$  of  $Y_N^{\ \ }$  is a selection of  $Y_N^{\ \ }$  if and only if for any T in  $Y_N^{\ \ }$  there exists a unique spanning tree  $T^{\ \ }\in Y_N^{\ \ \prime}$  such that  $c(T)=c(T^{\ \prime})$  and  $k(T)=k(T^{\ \prime})$ .

PROPOSITION 1. ([5]) Let  $Y_N'$  a selection of  $Y_N$ . If T' and T'' are two distingueshed spanning trees such that  $T', T'' \in Y_N'$  then c(T') is not equal with c(T'') and k(T') is not equal with k(T'').

From Proposition 1, it follows that the number of elements belonging to  $Y_N$ ' is bounded by the number m' of edges with distinct capacities from G(N,A). Hence, a selection  $Y_N$ ' can be computed in O(m'C(m,n)) time, where m is the number of edges of G(N,A) and C(m,n) is the time needed for determining the minimum cost spanning tree.

It follows that the complete determination of a selection Y' can be done in polynomial time. Therefore, the execution of an exhaustive search for  $Y_N'$  (using Theorem 1), in order to compute an optimal solution of (P) is not unrealistic. However, in the algorithm that we present, we need not to find an entire set  $Y_N'$ . As a consequence the number of executions of a minimum

cost spanning tree algorithm is minimized.

PROPOSITION 2. ([5]) Let  $Y_N' = \{T_1, T_2, \ldots, T_r\}$  ( r < m) be a selection of  $Y_N$ . Then  $Y_N'$  can be ordered such that  $c(T_i) < c(T_{i+1})$  and  $k(T_i) < k(T_{i+1})$  for  $i=1,2,\ldots,r-1$ .

We consider now the following set:

 $Y_N$ " =  $\{T_1, \ldots, T_h\}$   $\epsilon$   $Y_N$ ' where  $T_1, \ldots, T_h$  are the first h elements of  $Y_N$ ' and  $Y_N$ ' is a selection which has the elements ordered in sense of proposition 2.

THEOREM 2. ([5]) Let  $k' > \max \{k(T) : T \in Y \}$ . If the element  $T_j \in Y_N'$  verifies the following conditions:

- i)  $c(T_i)/k(T_i) = \min \{ c(T)/k(T) : T \in Y_N' \},$
- ii)  $c(T_h) < [c(T_j)/k(T_j)] k',$
- iii)  $T_j$  is not in  $Y_0$ ,

then exists  $T_g$  in  $Y_0$  and  $(Y_N'-Y_N'')$  such that:

$$k(T_s) > [k(T_i)/c(T_i)] c(T_h).$$

3. Algorithm for the problem of the spanning tree with minimum cost/capacity ratio. The basic scheme of the algorithm that we propose is the same as the algorithm for the MINSUM-MAXMIN bicriterion problem. Let us assume that  $T_s \in Y_N$  was just determined with such an algorithm. Then, as  $k(T_{s+1}) > k(T_s)$ , the edges  $(i,j) \in A$ , for which  $k_{ij} < k(T_s)$ , can be deleted from G(N,A). In the resulting graph, the subgraph  $T_{s+1}$  is determined as the spanning tree with minimum cost and maximal capacity relatively to the set of all spanning trees with minimum cost.

In fact, the difficulty is that we haven't an algorithm to

determine the whole set of minimum cost spanning trees. The algorithm of Kruskal find only one of these spanning trees. These difficulty doesn't appear when Y is the set of all paths between two given vertex of G(N,A) or Y is the set of assignements in a bipartite graph (see, e.g.  $\{1\}$ ,  $\{2\}$ ,  $\{3\}$ ).

We avoid this dificulty by using the following method.

Let  $C = \{c_1, c_2, \ldots, c_m\}$  be the sequence of cost values for the edges of G(N,A) in a nondecreasing order, and let  $E = \{(i_1,j_1),\ldots,(i_m,j_m)\}$  be the sequence of corresponding edges of G(N,A). Further on we suppose that  $c_1 < c_2$ . Otherwise we have  $c_1 = c_2 = \ldots = c_m$  and (P) degenerates to the usual problem of determining the maximum capacity spanning tree of a graph G(N,A).

The following algorithm generates some perturbation pounds  $d_{ij}$  and perturbated pounds  $c_{ij}{}'=c_{ij}+d_{ij}$ , ordered in the sequences  $D=\{d_1,\ldots,d_m\}$  and  $C'=\{c_1{}',\ldots,c_m{}'\}$ , respectively, corresponding to the same edges as the elements of C.

#### Algorithm 1.

Step 1. Take k = 1 and p = 0.

Step 2. If k+1 > m, then  $d_m = 0$  and go to Step 11. Otherwise, go to Step 3.

Step 3. If  $c_k = c_{k+1}$ , go to Step 4. Otherwise, set  $d_k = 0$ , take k+1 instead of k and go to Step 2.

Step 4. Set  $d_k = 0$ .

Step 5. Take p+1 instead of p and go to the next step.

Step 6. If k+p = m, then go to Step 10. If k+p < m, then go to Step 7.

Step 7. If  $c_k = c_{k+p}$ , then go to Step 5. Otherwise, go to Step 8. Step 8. For q from 1 to p do  $d_{k+q} = q \cdot 10^{-L} \cdot (c_{k+p} - c_k)/p$ , where L is a natural number choosen such that  $10^L > m$ .

Step 9. Take k+p instead of k and go to Step 2.

Step 10. For q from 1 to m-k do

$$d_q = q \cdot 10^{-L} \cdot (m-k)^{-1} \max \{ c_{h+1} - c_h : h=1, ..., m-1 \}.$$

Step 11. For q from 1 to m do  $c_q{}^!=c_q+d_q$  .

Step 12. Stop.

This algorithm modifies the costs of those edges from G(N,A) which have the same cost. More exactly, the algorithm adds to the costs of these edges a positive quantity in order to differentiates their costs. In this way, all values of costs associate to edges are different. In this case, the spanning tree of minimum cost is unique.

The following theorem estimates the maximum error, due to this method, in the determination of the spanning tree with minimum cost/capacity ratio.

Let 
$$e = 10^{-L} \max \{ c_{h+1} - c_h : h = 1, ..., m-1 \}$$
 and  $g = \min \{ k_{ij} : (i,j) \in A \}.$ 

THEOREM 4. The maximum error caused by Algorithm 1 in finding the spanning tree with minimum cost/capacity ratio is (n-1) e/g.

**Proof.** Let suppose that  $T^*$  is the spanning tree with minimum cost/capacity ratio. Then, from the definition of e and g, it follows:

$$\frac{c'(T^*)}{k(T^*)} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} (c_{ij} + d_{ij})}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}} = \frac{\sum_{(i,j) \in T^*} c_{ij}}{\min\{k_{ij} : (i,j) \in T^*\}}$$

$$= \frac{\sum\limits_{(i,j)\in T^*} c_{ij}}{\min\left\{\left(k_{ij}:(i,j)\in T^*\right)\right\}} + \frac{\sum\limits_{(i,j)\in T^*} d_{ij}}{\min\left\{\left(k_{ij}:(i,j)\in T^*\right\}\right\}} \leq$$

$$\leq \frac{c(T^*)}{k(T^*)} + \frac{(n-1)e}{g}$$

Since, for any (i,j) in A,  $d_{ij} > 0$ , from the above relations, it results:

$$0 \le \frac{c'(T^*)}{k(T^*)} - \frac{c(T^*)}{k(T^*)} \le \frac{(n-1)e}{g}$$

which means that the conclusion of the theorem is true.

Further we will present an adjustement of Martins's algorithm. Theorems 2 and 3 are used as an attempt to decrease the number of spanning trees that have to be determined.

Three working variables are used in the algorithm: T,  $c^*$  and z. T' keeps the best spanning tree that was determined until the curent iteration,  $c^*$  keeps the value of (c(T')/k(T')) k' and z keeps the value of c(T')/k(T'). Foregoing k' is a parameter of the algorithm which is fixed such that  $k' > \max\{k(T): T \in Y\}$ .

## Algorithm 2.

Step 1. Apply the algorithm 1 for G(N,A), and let denote by  $c_{ij}$  the perturbed costs.

Step 2. Set  $c^* = INF$ , z = INF and the graph H(N,B) = G(N,A), where INF is integer positive number such that:

INF > 2 max 
$$\{c(T)/k(T) : T \in Y\}$$
.

Step 3. Find T" the spanning tree with minimum cost from

 $Y(H) = \{ T C Y : T \text{ spanning tree for } H(N,B) \}, \text{ that is } :$ 

$$C'(T'') = \min \{ C'(T) : T \in Y(H) \}.$$

Step 4. If Y(H) is an empty set or  $c'(T'') > c^*$ , then finish the algorithm. In the opposite case go to Step 5.

Step 5. If c'(T'')/k(T'') < z, then perform the following operations:

- i) Take T' = T''.
- ii) Take  $c^* = [c'(T'')/k(T'')] k'$  and z=c'(T'')/k(T'').
- iii) Take x=k(T") and go to Step 7.

If c'(T'')/k(T'') > z, then go to Step 6.

Step 6. Set x = c'(T'')/z and go to Step 7.

Step 7. Eliminate from H(N,B) all the edges (i,j) which has  $k_{ij} < x$ . After this the new set of edges B is :

 $B := B - \{(i,j) \in A : k_{ij} < x \}.$  Go to Step 2.

In order to clarify the implications of theorems 2 and 3 we explain the algorithm step by step.

The first step modifies, if it is necessary, the costs associated to edges of G(N,A) such that these became distinct.

In the second step the working variables  $c^\star$  and z are initialized .

In the third step we determined a nondominated spanning tree  $T^{**}$ . This step is the most complex step of the algorithm because each iteration requires the solving of an optimization problem on the spanning trees set Y(\*\*). We can use in this step Kruskal's algorithm (see, e.g., [4], [2]).

In the fourth step, we check a stop condition of the algorithm. Thus the algorithm stops with an optimal solution T' when either in Y(H) there is no elements or it is verified the condition of theorem 2.

Step 5 is performed when the spanning tree T" determined in Step 3 is "beter" then the best spanning tree determined until that moment. Also in this step we actualize T',  $c^*$  and z. If  $T^*$ is worse than T', Step 6 is performed. In Step 5 is used Theorem 3, to justify the elimination (in Step 6) of some edges from the auxiliary graph H(N,B). In this way, it can be possible to avoid some nondominated spanning trees which not belong to  $Y_0$ .

In Step 7 the edges having the capacities smaller than x are eliminated from H(N,B). We must note that x=k(T') when the Step 4 is performed. But when Step 5 is executed the value of x is determined by the Theorem 3.

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## FILES D'ATTENTE DANS DES SYSTÈMES DISTRIBUÉES DES SERVICES

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RESUMAT. - Cosi de așteptare în sisteme distribuite de servicii. Se consideră un sistem de așteptare distribuit ce are o topologie particulară determinată de matricea de rutaj dată. În anumite ipoteze ale sistemului de așteptare au loc teoremele 1 și 2 ce dau evaluări pentru unele caracteristici numerice mai importante.

L'étude des systèmes distribueés des services exécutées à l'aide des ordinateurs a actuellement une importance de plus en plus grande. La réalisation des certains services distribuées à l'aide des ordinateurs est possible grace au développement de la technologie de construction des ordinateurs basée sur l'utilisation de microprocesseurs de plus en plus performants. Plusieurs systèmes distribuées des services correspondent aux réseaux des ordinateurs ayant des topologies connues.

Un système distribué des services exécutées à l'aide des ordinateurs suppose l'existence des certaines composants physiques (réseaux des ordinateurs) et des programmes assurant le traitement le transfert, le contrôle et la protection des données. Dans le transfert des donnés et des informations, qui est fait par certaines procédées de comutation, l'exécution des services suppose la consideration du caractère aléatoire de la réalisation des certains événements.

Dans ce qui suit on suppose que l'unité qui doit être traîté dans un noeud du système distribué est le job.

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Nous faisons les hypothèses suivantes:

- II. Les jobs arrivent aléatoirement à aux ordinateurs du réseau en formant un flux poissonnéen.
- 12. Le temps d'éxecution de n'importe quel job est aléatoire, ayant une répartition exponentielle.
- 13. Pour tous les ordinateurs du réseau les temps des services et les inter-arrival temps sont des variables aléatoires indépendantes.

Dans les hypothèses faites les ordinateurs qui éxistent dans les noeuds du réseau des ordinateurs sont les stations de service dans des files d'attente M/M/1.

Considérons un système distribué formé par les noeuds  $C_0$ ,  $C_1,\ldots,C_n$  et supposons conues les probabilités  $p_{ij}$  q'un job qui a été éxécuté dans le noeud  $C_i$  est dirijé vers le noeud  $C_j$ , pour  $i,j=\overline{0,n}$ .

La matrice  $P=(p_{ij})$ ,  $i,j=\overline{o,n}$  s'appele la matrice de routage du réseau.

Dans le processus de passage des jobs d'un noeud a l'autre des agglomerations seront crées dans certains noeuds. Pour étudier cettes agglomerations nous considérons le réseau des ordinateurs comme un réseau d'attente exponentiel ayant les stations dans les noeuda et ayant la matrice de routage P. Ce réseau d'attente sera considéré en régime stationaire.

Il est utile de trouver le nombre des jobs qui attends à être éxécutés dans les noeuds du réseau des ordinateurs.

Un état du réseau d'attente sera le vecteur  $k=(k_0,k_1,\ldots,k_n)$  où  $k_i$  est le nombre des jobs en cours d'éxécution on

qui attendent à être éxécutés par l'ordinateur  $C_i$ ,  $i = \overline{0,n}$ .

Pour les réseaux exponentielles d'attente a lieu la théorème de forme produit du Jackson ([1], [2]). Donc, la probabilité que le réseau d'attente se trouve dans l'état k est

$$P(k) = \prod_{i=0}^{n} P_i(k_i)$$

où  $P_i(k_i)$  est la probabilité que dans le système d'attente de l'ordinateur  $C_i$  se trouvent  $k_i$  jobs,  $i = \overline{0,n}$ . Dans le régime stationaire ces probabilités ne dependent pas de temps.

Soit a le paramètre du flux poissonnéen des arrivées des jobs à l'ordinateur  $C_0$ , soit  $b_i$  le paramètre du temps de service exponential de l'ordinateur  $C_i$ ,  $i = \overline{1,n}$  et soit  $p_i$  la probabilité que le job éxécuté à l'ordinateur C; quitte le réseau,  $i = \overline{0,n}$ .

Içi nous nous limitons au cas  $p_{ij} = 0$  pour |i-j| > 1 et  $p_{i0} = 0$  pour  $i = \overline{1,n}$ .

Les probabilités ci-dessous satisfont les relations

$$\begin{cases} p_0 = 1 - \sum_{i=1}^{n} p_{0i} \\ p_1 = 1 - p_{12} \\ p_j = 1 - (p_{j,j-1} + p_{j,j+1}) , j = 2, n-1 \\ p_n = 1 - p_{n-1} \end{cases}$$

Si a notte le paramètre du flux d'entrée dans le file d'attente de l'ordinateur  $C_i$ ,  $i = \overline{0,n}$ , alors [3]

$$\begin{cases} a_0 = a \\ a_1 = p_{01}a_0 + p_{21}a_2 \\ a_j = p_{0j}a_0 + p_{j-1,j} \ a_{j-1} + p_{j+1,j} \ a_{j+1}, \ j=2,n-1. \\ a_n = p_{0n} \ a_0 + p_{n-1,n} \ a_{n-1} \end{cases}$$
Le facteur de service de l'ordinateur  $C_i$  sera

 $r_i = \frac{a_i}{b_i}$  ,  $i = \overline{0,n}$  . L'existence du régime stationaire exige que

$$r_i < 1$$
,  $i = \overline{0,n}$ .

Pour ce type de système distribué ont lieu les théorèmes suivants.

THÉORÈME 1. Dans les hypothèses  $\hat{\mathbf{I}}_1$  -  $\mathbf{I}_3$  et en régime stationaire on a

1) 
$$P(k_0, k_1, k_2) = \frac{a^{k_0 + k_1 + k_2}(b_0 - a)}{b_0^{k_0 + 1} b_1^{k_1 + 1} b_2^{k_2 + 1} (1 - p_{12} p_{21})^2}$$

. 
$$[b_1 (1-p_{12} p_{21}) - a(p_{01} + p_{02} p_{21})]$$
.

$$[b_2(1-p_{21}p_{12})-a(p_{02}+p_{01}p_{12})]$$

ii) L'espérance mathématique du nombre des jobs à l'ordinateur  $C_i$  est

$$N_i = \frac{r_i}{1 - r_i}$$
 ,  $i = 0, 1, 2$ 

iii) Le facteur de nonutilisation de l'ordinateur  $C_i$  est

$$\theta_i = 1 - r_i$$
 ,  $i = 0, 1, 2$ 

iv) L'espérance mathématique de nombre des jobs dans le réseau est

$$N = \frac{r_0}{1 - r_0} + \frac{r_1}{1 - r_1} + \frac{r_2}{1 - r_2}$$

v) Si la discipline d'attente est FIFO, l'espérance mathématique du temps d'attente d'un job à l'ordinateur  $C_i$  est

$$T_i = \frac{1}{b_i(1-r_i)}$$
 ,  $i = 0,1,2$ .

THÉORÈME 2. Dans les hypothèses  $I_1$  -  $I_3$  et en régime stationaire ou

a

i) 
$$P(k_0, k_1, \ldots, k_n) = \prod_{i=0}^{n} (1-r_i) r_i^{k_i}$$

ii) L'espérance mathématique du nombre des jobs à l'ordinateur  $\mathcal{C}_i$  est

$$N_i = \frac{r_i}{1 - r_i} \quad , \quad i = \overline{0, n}$$

iii) Le facteur de nonutilisation de l'ordinateur  $c_i$  est

$$\theta_i = 1 - r_i$$
 ,  $i = \overline{0, n}$ 

iv) L'espérance mathématique du nombre des jobs dans le réseau est

$$N = \sum_{i=1}^{n} \frac{r_i}{1-r_i}$$

Si la discipline d'attente est FIFO, l'espérance mathématique du temps d'attente d'un job à l'ordinateur C; est

$$T_i \approx \frac{1}{b_i(1-r_i)} \quad i = \overline{0,n}$$

Les approximations données correspondent aux approximations

$$a_i = \frac{A_i}{B_i}$$
  $i = \overline{1, n}$ 

οù

$$A_i = \sum_{j=1}^n \ell_{ij} \quad , \quad i = \overline{1,n}$$

$$\ell_{ij} = \begin{cases} p_{0i} \prod_{s=1}^{j+1} p_{s,s-1} &, pour j < i \\ p_{0i} &, pour j = i \\ p_{0i} \prod_{s=1}^{j-1} p_{s,s+1} &, pour j > i \end{cases}$$

et

$$B_{i} = \left\{ \begin{array}{l} 1 - p_{12} \ p_{21} \quad , \quad pour \ i = 1 \\ 1 - p_{i,i+1} \ p_{i-1,i} - p_{i,i+1} \ p_{i+1,i} \quad , \quad pour \ i = \overline{2, n-1} \\ 1 - p_{n,n-i} \ p_{n-1,n} \quad , \quad pour \ i = n \end{array} \right.$$

Les démonstrations des deux théorèmes consistent dans la résolution des équations de Chapmann-Kolmogoroff d'un file d'attente M/M/1 en régime stationaire et la résolution du système des équations satisfaites par  $a_i$ ,  $i=\overline{0,n}$ .

Le cas particulier du théorème 1 est presenté en detail dans [4].

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#### VARIOUS KINDS OF INHERITANCE

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RESUMAT. Diverse tipuri de moștenire. Lucrarea de față se vrea un mic studiu comparativ, în funcție de avantajele și dezavantajele lor, a diverselor tipuri de moștenire implementate în limbajele orientate obiect. Studiul s-a făcut în principal pe limbajele Smalltalk, Beta și CLOS deoarece exemplifică cel mai bine moștenirea simplă, multiplă și în CLOS introducerea claselor abstracte numite mixin.

1. Introduction: A variety of inheritance mechanisms have been developed for object-oriented programming languages. These mechanisms range from Smalltalk single inheritance to the complex and powerful multiple inheritance combination of CLOS.

These languages have similar object models and also share the view that inheritance is an incremental modification mechanism, but they differ widely in the kind of incremental changes supported.

Inheritance is a hierarchical incremental modification mechanism that transform a parent P with a modifier M into a result R: R = P + M as in figure 1.

The parent P, modifier M and result R have a set with finite number of attributes:

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$$P = (P_1, P_2, \ldots, P_p)$$

$$M = (M_1, M_2, \ldots, M_m)$$

$$R = (R_1, R_2, \ldots, R_r)$$

When the attributes of the modifier M differ from those of the parent P then the result R has p+m attributes, contains the union of the P and M attributes. For the overlapping attributes, the modifier attributes redefine the parent attributes, in the same way as the identifiers declared in an inner encapsulated module redefine those declared outside the module.

The inheritance in Smalltalk, Beta, CLOS are representative of three kinds of inheritance. The inheritance mechanisms seem to be very different but they have a common structure. This mechanism combines two sets of attributes P and M such that duplicate attribute definitions are given a value from one set.

2. Single Inheritance: If we consider single inheritance, each class has at most one superclass, the determination of the class procedure list is trivial: we only need to traverse a linear path to the most general superclass of its inheritance hierarchy.

Inheritance in Smalltalk is a mechanism for incremental derivation of classes, it is a single inheritance and was adopted from Simula.

In Beta the inheritance is single, too and is designed to provide security from substituting of a method by a completely different method. Inheritance is supported by prefixing of definitions.

These two mechanisms are the same, only the direction of modification is different. In Smalltalk the new attributes are favored and may replace the inherited ones, in Beta the original attributes are favored.

3. Multiple Inheritance: The real world has in many situations to deal with multiple inheritance. The natural inheritance comes from two parents more than from one.

Let's consider a class T which inherits from superclasses  $T_1, T_2, \ldots, T_n$ :

class T inherits  $(T_1, T_2, \ldots, T_n)$  in T - body.

Some multiple inheritance systems, as CLOS extend the inheritance hierarchies, i.e. by ordering  $T_1, \ldots, T_n$  in a linear order from left to right.

The problem of the multiple inheritance is at the moment of invoking a method. If a method is defined in more than one superclass which of them should be invoked? There must be no conflict between characteristics inherited from independent classes (even if these characteristics have the same name).

There are some algorithms for linearization the hierarchy, for reaching a method but each of them has some disadvantages.

There are two kinds of strategies to solve the conflict problem in multiple inheritance: linear strategy and graph-oriented strategy.

The principle of linear strategy is that the inheritance graph should be transformed to a linear structure, without duplicates and treat the resulting graph as a single inheritance

one. This goal is realised ordering the superclasses list of a class using depth-first search or breadth-first search.

The graph-oriented strategy works directly on the inheritance graph without modifying it, allowing to access each inherited characteristic. When a conflict occurs the superclass from which we want to inherit must be specified. We mention that this class is not necessary the one which defines the characteristic. A technic which is used in extended Smalltalk is the selector composition. A composed selector is a selector preceded by the class name.

Using linearization, a CLOS multiple inheritance hierarchy could be reduced to a collection of inheritance chains, each of which can be interpreted using single inheritance.

4. Mixins: A mixin is an abstract subclass that may be used to specialize the behavior of a variety of parent classes. In contrast to classes, mixins are no objects which can create own instances. In mixins we may define new methods that perform some actions and then call the coresponding parent methods, but these methods are only textually. When a class is defined, the methods of its mixins will be defined for that class.

Stroustrup [6] had the following argument for using multiple inheritance: "it might be useful to have class B inheriting from two classes  $A_1$  and  $A_2$ ...". This is not possible using mixins but if we use factorization we obtain a solution for this.

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using multiple inherita	ince	using	mixins and
factorization			

fig. 2

CLOS supports mixins and it seems to be not so difficult to extend Beta and Smalltalk to support mixins and generalized inheritance.

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#### ALGEBRAIC SPECIFICATION OF PROGRAMMING LANGUAGE SUBSETS

### Ilie PARPUCEA

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REZUMAT. Specificarea algebrică a subseturilor unui limbaj de programare. În această lucrare autorul încearcă aplicarea unui model algebric de specificare, în definirea subseturilor unui limbaj de programare. Modelul se bazează pe ierarhia algebrelor eterogene. Cîteva rezultate teoretice cît și un exemplu concret de specificare sînt redate amănunțit pe parcursul întregii lucrări.

1. Introduction. The algebraic modelling of programming language specification is subjected to an intense research, with significant results. Partial or "relatively total" solutions were found, having the category theory [ADJ73, ADJ77], partial or total heterogeneous universal algebras [BR082, BR087], algebras with operator schemes, or even context-free algebras [RUS72] as algebraic departure point. These solutions concerned many times only certain aspects of the programming language specification.

The ADJ group of authors attempts to develop a concept of programming language within the framework of the category theory. It is interesting as to the mathematical object in its own self, constituting a strong source of inspiration for subsequent results. In [WAG89] the author presents a model of algebraic specification of a language for abstract data type specification. This model of language belongs to the object-oriented language set.

The total or partial heterogeneous algebras seem to be ever

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more used to the complex approach of programming language specification. Lots of papers (e.g. [BRO82, BRO87]) constitute interesting approaches, from both theoretical and practical viewpoints. They present algebraic models for defining abstract types of partial data and hierarchical data types. Very interesting is the pure algebraic model concerning the specification of programming language semantics, using particular models of abstract data types [BRO87].

Both the heterogeneous algebras [BIR70] and those with scheme of operators [HIG63] constituted the main theoretical source for the elaboration of HAS hierarchy [RUS80] and introduction of context-free algebras [RUS72]. It is to be noticed that the HAS hierarchy concept allows a unitary approach of the programming language specification, from both semantic and syntactical viewpoint.

The specification of a programming language implies the existence of a set of data (which constitutes the data base of the language), and a set of operation defined on this data base (which constitutes the instruction set). The data base and the instruction set associated to a language constitute the so-called "computing reality". The concept of program will allow the identification of that subset from the "computing reality" which needs transformations. Within the framework of a specified programming language, a program will constitute a well defined entity from two points of view: semantic and syntactical.

We shall consider a language which gathers together all "computing realities" corresponding to a given set of languages.

This language will be called universal language (UL). Its restriction to a certain "computin reality" will constitute a subset of the universal language. Such an approach allow to consider the programming languages hierarchically as to their specification and implementation.

2. Example of simple language. In order to exemplify our model, we shall consider the following simple language. This language includes three data types: numerical, array and record. On the numerical type there are defined the arithmetic operations (+,-,\*,/,\*\*) and the relational operations (<,<=,>,>=,==,/=). One defines the instruction IF and the assignment instruction. The syntax of data types and arithmetic expressions is given in BNF by:

<TYPE\_DECLARATION>::=type <TYPE\_NUME> is <TYPE\_DEFINITION>

<TYPE\_DEFINITION>::=<NUMERICAL\_TYPE>/<ARRAY\_TYPE>/<RECORD\_TYPE>

<CONSTRAINT>::= range <RANGE>

<RANGE>::=<SIMPLE\_EXPRESSION>..<SIMPLE\_EXPRESSION>

<simple\_expression>::=<factor>{<arithmetical\_operator><factor>}

<FACTOR>::=<VARIABLE>/<CONSTANT>

<VARIABLE>::=<IDENTIFIER>/<INDEXED\_COMPONENT>/

<SELECTED\_COMPONENT>

<RELATION>::=<SIMPLE\_EXPRESSION><RELATIONAL\_OPERATOR>

<SIMPLE EXPRESSION>

<ARITHMETICAL\_OPERATOR>::=+/-/\*///\*\*

<RELATIONAL\_OPERATOR>::=</<=/>/>=/==//=

<SUBTYPE\_DEFINITION>: =subtype<SUBTYPE\_NAME>

is<TYPE DESIGNER>[<CONSTRAINT>]

<TYPE DESIGNER>::=<TYPE\_NAME>/<SUBTYPE\_NAME>/

<PREDEFINITION\_TYPE>

<NUMERICAL TYPE>::=<CONSTRAINT>/new<PREDEFINITION\_TYPE>

<CONSTRAINT>

<PREDEFINITION TYPE>::=<INTEGER>/<FLOAT>

<OBJECT DECLARATION>::=<IDENTIFIER LIST>:<TYPE DESIGNER>

[:=<SIMPLE EXPRESSION>];

<IDENTIFIER LIST::=<IDENTIFIER>{,<IDENTIFIER>}

<RECORD\_TYPE>::=record<COMPONENT\_LIST> end record.

<COMPONENT LIST>::=<OBJECT DECLARATION>{,<OBJECT DECLARATION>}

<ARRAY TYPE>::=array(<INDEX>{,<INDEX>}) of <TYPE DESIGNER>

<INDEX>::=<RANGE>/<INTEGER>

The syntax of assignment and IF instructions is given also in BNF by:

<ASSIGNMENT\_STATEMENT>::=<VARIABLE>:=<SIMPLE\_EXPRESSION>

<EL IF>::=<RELATION> then <SEQUENCE>

<IF\_LIST>::=<EL\_IF>/<IF\_LIST>elseif<EL\_IF>

<IF>::=if<IF LIST>endif/if<IF LIST>else<SEQUENCE>endif

<SEQUENCE>::=<ASSIGNMENT STATEMENT>{;<ASSIGNMENT STATEMENT>}

Remark. The symbols +, -, \*, /, \*\* correspond to the arithmetic operations of addition, substraction, multiplication, division, powering, while the symbols <, <=, >, >=, ==, /= correspond to the relational operations of smaller than, smaller than or equal to, greater than, greater than or equal to, equal to, different from, respectively.

In the above defined language the numerical types <INTEGER>

and <FLOAT> are considered to be predefined. We renounced the definition of the following syntactical categories: <INDEXED-COMPONENT>, <SELECTED-COMPONENT>, <IDENTIFIER>, <CONSTANT>, <TYPE-NAME> and <SUBTYPE-NAME>. Neither the theoretical aspect, nor the examples we shall present will be altered by these restrictions.

A context-free grammar is considered to be a quadruple  $\underline{\mathbf{G}} = \langle \ V_n \ \cup \ V_t \ , \ V_t \ , \ P \ , \ V_n \ \rangle, where$ 

$$P = \{ A \rightarrow s_0 A_1 s_1 A_2 s_2 \dots s_{n-1} A_n s_n \mid s_0, s_1, \dots, s_n \in V_c^*, A, A_1, A_2, \dots, A_n \in V_n \}$$

 $V_n$  is the nonterminal symbol set, while  $V_t$  is the terminal symbol set. A specification base  $\underline{B} = \langle V_n \cup V_t \rangle$  is made to correspond to this context-free grammar, where

$$\sum S = \{ \delta = \langle n, s_0 s_1 \dots s_n, A_1 A_2 \dots A_n A \rangle \mid A - s_0 A_1 s_1 \dots s_{n-1} A_n s_n \in P \}.$$

The context-free algebra [RUS83] specified by  $\underline{B}$  can be written in the form of the triple

$$\underline{\underline{A}} = \langle (W)_{A \in V_B} = W_A (V_t, \Sigma S)_{A \in V_B}, \Sigma S_B, F \rangle,$$

where  $W_A(V_t, \Sigma S) = \langle x \in V_t^* \mid A \rightarrow x \rangle$ , and for every  $W_k \in W_{A_k}(V_t, \Sigma S)$ ,

 $k=1,2,\ldots,n$ , and  $\delta=\langle n,s_0s_1\ldots s_n,A_1A_2\ldots A_nA\rangle$  we have  $F_\delta(w_1,w_2,\ldots,w_n)=s_0w_1s_1\ldots s_{n-1}w_ns_n\in W_A(V_t,\Sigma S).$ 

F is the symbol of a function which associates to every operation scheme  $\delta \in \Sigma S$  a heterogeneous operation specific to the context-free algebra  $\underline{A}$ . If  $\delta = \langle n, s_0 s_1 \dots s_n, A_1 A_2 \dots A_n A \rangle$ , then  $F_\delta$  is the function

```
F_{\delta}: W_{A_1} \times W_{A_2} \times \ldots \times W_{A_n} \rightarrow W_{A_n}
```

The action law of the function is the above mentioned one. We present further down the operation schemes  $\delta$   $\epsilon$   $\Sigma S$ :

 $\sigma_1 = \langle 2, \text{ type is, TYPE_NAME TYPE_DEFINITION TYPE_DECLARATION} \rangle$ 

 $\sigma_2 = \langle 1, \rangle$ , NUMERICAL TYPE TYPE DEFINITION>

 $\sigma_3 = \langle 1, \rangle$ , ARRAY TYPE TYPE DEFINITION>

 $\sigma_A = \langle 1, \rangle$ , RECORD TYPE TYPE DEFINITION>

 $\sigma_5$  = <1, range ,RANGE CONSTANT>

 $\sigma_6 = \langle 2, \dots, \text{SIMPLE\_EXPRESSION SIMPLE\_EXPRESSION RANGE} \rangle$ 

 $\sigma_7 = \langle 1, \rangle$ , FACTOR SIMPLE\_EXPRESSION>

 $\sigma_8$  = <3, , FACTOR ARITHMETICAL\_OPERATOR SIMPLE\_EXPRESSION SIMPLE EXPRESSION>

 $\sigma_{Q} = \langle 1, \rangle$ , VARIABLE FACTOR>

 $\sigma_{10} = \langle 1, \rangle$ , CONSTANT FACTOR>

 $\sigma_{11}$ = <1, ,IDENTIFIER VARIABLE>

 $\sigma_{12}$ = <1, ,INDEXED\_COMPONENT VARIABLE>

 $\sigma_{13}$ = <1, ,SELECTED\_COMPONENT VARIABLE>

 $\sigma_{14}$ = <3, ,SIMPLE\_EXPRESSION RELATIONAL\_OPERATOR

# SIMPLE EXPRESSION RELATION>

 $\sigma_{15}$ = <0, + ,ARITHMETICAL\_OPERATOR>

 $\sigma_{16}$ = <0, - ,ARITHMETICAL\_OPERATOR>

 $\sigma_{17}$ = <0, \* ,ARITHMETICAL\_OPERATOR>

 $\sigma_{18}$ = <0, / ,ARITHMETICAL\_OPERATOR>

 $\sigma_{19}$ = <0, \*\* ,ARITHMETICAL\_OPERATOR>

 $\sigma_{20}$ = <0, < ,RELATIONAL\_OPERATOR>

 $\sigma_{21}$ = <0, <= , RELATIONAL\_OPERATOR>

 $\sigma_{22}$ = <0, > , RELATIONAL\_OPERATOR>

```
\sigma_{23}= <0, >= , RELATIONAL OPERATOR>
                 , RELATIONAL OPERATOR>
\sigma_{2A} = < 0, ==
\sigma_{25}= <0, /= , RELATIONAL_OPERATOR>
\sigma_{26}= <2, subtype is ,SUBTYPE NAME TYPE DESIGNER
SUBTYPE DEFINITION>
\sigma_{27}= <3, subtype is , SUBTYPE NAME TYPE DESIGNER CONSTRAINT
                           SUBTYPE DEFINITION>
\sigma_{28} = <1,
                 ,TYPE NAME TYPE DESIGNER>
                 , SUBTYPE NAME TYPE DESIGNER>
\sigma_{29} = <1,
\sigma_{30} = <1,
                 , PREDEFINITION TYPE TYPE DESIGNER>
                 , CONSTRAINT NUMERICAL TYPE>
\sigma_{31} = <1,
                 , PREDEFINITION TYPE CONSTRAINT NUMERICAL TYPE>
\sigma_{32}= <2, new
\sigma_{33} = \langle 1,
                 ,INTEGER PREDEFINITION TYPE>
\sigma_{3A} = <1,
                 ,FLOAT PREDEFINITION TYPE>
\sigma_{35}= <2, :
                , IDENTIFIER LIST TYPE DESIGNER
                     OBJECT DECLARATION>
\sigma_{16}= <3, : := ,IDENTIFIER LIST TYPE DESIGNER SIMPLE EXPRESSION
                   OBJECT DECLARATION>
\sigma_{37} = <1,
                 , IDENTIFIER IDENTIFIER LIST>
                 , IDENTIFIER LIST IDENTIFIER IDENTIFIER LIST>
\sigma_{38} = < 2,
\sigma_{39}= <1, record end record, COMPONENT LIST RECORD TYPE>
\sigma_{40} = <1,
                 ,OBJECT_DECLARATION COMPONENT LIST>
\sigma_{41}= <2, , , COMPONENT_LIST OBJECT_DECLARATION
                     COMPONENT LIST>
                 ,INDEX INDEX LIST>
\sigma_{42} = <1,
\sigma_{43}= <2, , ,INDEX LIST INDEX INDEX LIST>
\sigma_{44} = <2,array( ) of ,INDEX LIST TYPE DESINGER ARRAY TYPE>
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 $\sigma_{A5} = <1$ , , INTEGER INDEX>  $\sigma_{46} = <1$ ,  $\sigma_{47}$ = <2, := ,VARIABLE SIMPLE\_EXPRESSION ASSIGNMENT\_STATEMENT>  $\sigma_{48}$ = <2, then ,RELATION SEQUENCE EL IF>  $\sigma_{AQ} = \langle 1,$ ,EL\_IF IF\_LIST>

 $\sigma_{50}$ = <2, elsif ,IF\_LIST EL\_IF IF\_LIST>

 $\sigma_{51}$ = <1, if endif, IF\_LIST IF>

 $\sigma_{52}$ = <2, if else endif, IF\_LIST SEQUENCE IF>

, RANGE INDEX>

,ASSIGNMENT\_STATEMENT ASSIGN\_LIST>  $\sigma_{53} = <1$ ,

 $\sigma_{54}$ = <2, , , ASSIGN\_LIST ASSIGNMENT\_STATEMENT ASSIGN LIST>

, ASSIGN LIST SEQUENCE>  $\sigma_{55}=<1$ ,

concepts. Let  $\underline{B} = \langle I \cup S, \Sigma S, \alpha \rangle$ 3. Basic be the specification base for an arbitrary programming language. B is organized under the form of a homogeneous algebra. I is the set of all object names, S is the reserved word set, such that  $S \cap$ I = o;  $\Sigma S$  is the set of operation schemes represented as triples:  $\Sigma S = \{\sigma = \langle n, s_0 s_1 \dots s_n, i_1 i_2 \dots i_n i \rangle \};$  wis the set of axioms given as couples of words  $(w_1, w_2)$ . These words are generated by the operations specified by the operation schemes  $\sigma \epsilon \Sigma S$ . For an operation scheme  $\sigma \in \Sigma S$ ,  $\sigma = \langle n, s_0 s_1 \dots s_n, i_1 i_2 \dots i_n i \rangle$ , the function

$$F_{\sigma}: A_{i_1} X A_{i_2} X \ldots X A_{i_n} \rightarrow A_{i}$$

specifies a signature for a heterogeneous operation in the language specified by  $\underline{B}$ . Consider an arbitrary subset  $J \subseteq I$ , to which we shall refer in what follows.

DEFINITION 1. The operation scheme  $\sigma' = \langle n, s_0 s_1 \dots s_n \rangle$ 

 $j_1j_2...j_ni>$ ,  $j_k\in J$ , k=1,2,...,n, is called restriction of the operation scheme  $\sigma \in \Sigma S$ ,  $\sigma = \langle n,s_0s_1...s_n, i_1i_2...i_ni \rangle$  to the subset J if there exists the function  $F_{\sigma'}: A_{j_1} \times A_{j_2} \times ... \times A_{j_n} \to A_i$  which is a restriction of the function  $F_{\sigma}: A_{i_1} \times A_{i_2} \times ... \times A_{i_n} \to A_i$ .

For a given subset J, an operation scheme  $\sigma$  can have one or several restrictions. We denote by  $\sigma|_J$  the set of all restrictions of  $\sigma$  to J, namely:

 $\sigma|_{J} = \{ \sigma' | \sigma' \text{ is a restriction of the operation scheme} \\ \sigma \text{ to the subset } J \}.$ 

Remark. If  $\sigma' = \langle n, s_0 s_1 \dots s_n, j_1 j_2 \dots j_n i \rangle$  is a restriction of the operation scheme  $\sigma = \langle n, s_0 s_1 \dots s_n, i_1 i_2 \dots i_n i \rangle$  to the subset J, then  $A_{j_k} \subseteq A_{i_k}$ ,  $\forall_{j_k}$ ,  $k=1,2,\ldots,n$ .

DEFINITION 2. Let  $\Sigma' = \{\sigma_1, \sigma_2, \dots, \sigma_m\}$  be a set of operation schemes. A restriction of  $\Sigma'$  to the subset J is defined as follows:

$$\sum'|_{J} = \{\sigma'_{i} | \sigma'_{i} \in \sigma_{i}|_{J}, i = \overline{1, m}\}$$

DEFINITION 3. The restriction of the specification base  $\underline{B} = \langle I \cup S \Sigma S, \alpha \rangle$  to the subset J is the specification base  $\underline{B}|_J = \langle J \cup S, \Sigma S|_J, \alpha|_J \rangle$ , where  $\alpha|_J$  is that subset of the axiom set  $\alpha$  which consists of the axioms satisfied by the operations specified by  $\Sigma S|_J$  (restriction of the operation scheme set  $\Sigma S$  to the subset J).

DEFINITION 4. An interpretation [RUS83] of the specification base  $\underline{R} = \langle I \cup S, \Sigma S, \alpha \rangle$  is the triple  $\langle A, \rho, \overline{Y} \rangle$ , where  $A = (A_i)_{i \in I}$  is a family of sets indexed by the set I of the supports of  $\underline{R}$ ;  $\varphi$ 

is a function  $\varphi: I \rightarrow A$  such that  $\varphi(i)$  is an element of A for every  $i \in I$ ;  $\Psi$  is the function  $\Psi: \Sigma S \rightarrow OP(A)$ , where OP(A) is the set of all operations defined on A.

The interpretation  $\Delta = \langle A, \varphi, \psi \rangle$  of the specification base  $B = \langle I \cup S, \Sigma S, \alpha \rangle$  fulfils the following conditions:

- C1.  $\varphi(i) = A_i$  for every  $i \in I$ ;  $A_i$  is called the support set for the name of the object i.
- C2. For every  $\sigma \in \Sigma S$ ,  $\sigma = \langle n, s_0, s_1, \ldots s_n, i_1 i_2, \ldots i_n i \rangle$ ,  $\Psi(\sigma) : \varphi(i_1) \times \varphi(i_2) \times \ldots \times \varphi(i_n) \rightarrow \varphi(i)$ , or in other words  $\Psi(\sigma) : A_{i_1} \times A_{i_2} \times \ldots \times A_{i_n} \rightarrow A_i$ , such that if  $\sigma = \langle 0, s, i \rangle$  then  $\Psi(\sigma) : \phi \rightarrow \varphi(i)$  or  $\Psi(\sigma) : \phi \rightarrow A_i$ , namely  $\Psi(\sigma)$  is in this case the injection of the element  $\Psi(\sigma)$ , which will be denoted by s, in the set  $A_i$ .
- C3. Every axiom  $(W_1, W_2)$  is considered to be satisfied in the interpretation  $\Delta = \langle A, \varphi, \psi \rangle$ ; this means that it is satisfied by operations from OP(A).
- We shall hereafter denote by  $\underline{I}(\underline{B})$  the set of interpretations of a specification base  $\underline{B}=< I\cup S$ ,  $\Sigma S$ ,  $\alpha>$ . If  $\underline{A}\in \underline{I}(\underline{B})$ , then it can be denoted  $\underline{A}=< A=(A_1)_{1\in I}, \Sigma S, \psi>$ , where  $\varphi(i)=A_1$  for every  $i\in I$ , and
- $\psi(\sigma): \phi(i_1) \times \phi(i_2) \times \ldots \times \phi(i_n) \rightarrow \phi(i) \text{ or } \psi(\sigma): A_{i_1} \times A_{i_2} \times \ldots \times A_{i_n} \rightarrow for \text{ every } \sigma \in \Sigma S, \ \sigma = \langle n, s_0 s_1 \ldots s_n, i_1 i_2 \ldots i_n i \rangle. \text{ In other words, every interpretation } \underline{A} \text{ of the specification base } \underline{B} \text{ is a heterogeneous algebra.}$

DEFINITION 5. Let  $\underline{B} = \langle I \cup S, \Sigma S, \alpha \rangle$  be a specification base, and let  $\underline{A} = \langle A, \varphi, \psi \rangle$  be an interpretation; then  $\underline{A}|_J = \langle A|_J, \varphi|_J, \psi|_J \rangle$  is called restriction of the interpretation  $\underline{A} \in \underline{I}$  ( $\underline{B}$ ) to the subset J, where:  $A|_J$  is the family of sets  $(A_J)_{J \in J}$ ;  $\varphi|_J$  is the restriction of the function  $\varphi$  to the subset J;  $\Psi|_J$  is the restriction of the function  $\Psi$  to the operation scheme set  $\Sigma S|_J$ .

PROPERTY 1. Let  $\underline{B} = \langle I \cup S, \Sigma S, \alpha \rangle$  be a specification base, and let  $\underline{A} \in \underline{I}(\underline{B})$  an arbitrary interpretation. Then the restriction of  $\underline{A}$  to the subset J,  $\underline{A}|_J = \langle A|_J$ ,  $\varphi|_J$ ,  $\psi|_J \rangle$ , is an interpretation of the restriction of  $\underline{B}$  to the subset J,  $\underline{B}|_J = \langle J \cup S, \Sigma S|_J$ ,  $\alpha|_J \rangle$ .

Proof. The proof results immediately from Definitions 3,4
and 5.

For a given specification base  $\underline{B}=< I \cup S$ ,  $\Sigma S$ ,  $\alpha >$ , an important interpretation is the so-called word-algebra or the heterogeneous W-algebra [RUS83] specified by the base  $\underline{B}$ . This is obtained as follows:

- (i) One constructs the family of all words specified by the base  $\underline{B}$  in the form  $W=(W_i)_{i\in I}$ , where every  $W_i$  is defined as follows:
  - r1. If  $\sigma = \langle 0, s, i \rangle, \sigma \in \Sigma S$ , then  $s \in W_i$ .
  - r2. If  $\sigma \epsilon \Sigma S$ ,  $\sigma = \langle n, s_0 s_1 \dots s_n, i_1 i_2 \dots i_n i \rangle$ , and if  $w_1, w_2, \dots, w_n$  are words of the types  $i_1, i_2, \dots, i_n$ , respectively, namely  $(w_1, w_2, \dots, w_n)$   $\epsilon$   $W_{i1} \times W_{i2} \times \dots \times W_{in}$ , then

 $w = s_0 w_1 s_1, \dots, s_{n-1} w_n s_n \in W_1.$ 

r3. The rules r1 and r2 describe all the words of the type i, which will sometimes be denoted by W(i), too.

- (ii) For the given specification base  $\underline{B}$  one constructs the following interpretation:
  - p1. For every  $i \in I$ ,  $\varphi(i) = W(i)$ .
  - p2. For every  $\sigma \in \Sigma S$ ,  $\sigma = \langle n, s_0 s_1 \dots s_n, i_1 i_2 \dots i_n i \rangle$ , the function  $\P(\sigma) : W(i_1) \times W(i_2) \times \dots \times W(i_n) \to W(i)$  acts according to the law: if  $W_k \in W(i_k)$ ,  $k = 1, 2, \dots, n$ , then

 $\P(\sigma) (w_1, w_2, \dots, w_n) = s_0 w_1 s_1 \dots s_n w_n \in W(1).$ 

(iii) Interpreting the specification axioms as formal identities [RUS83], follows that the family  $W=(W(1))_{i \in I}$  together with the above defined functions  $(\phi, \psi)$  form an interpretation of the considered specification base. This interpretation is written under the form

$$\underline{W}(\underline{B}) = \langle W = (W(i))_{i \in I}, \Sigma S, \psi \rangle$$

Let  $\underline{A}_1 = \langle A = (A_i)_{i \in I}, \Sigma S, \Psi_1 \rangle$ ,  $\underline{A}_2 = \langle B = (B_i)_{i \in I}, \Sigma S, \Psi_2 \rangle$  be two similar heterogeneous algebras such that  $\underline{A}_1, \underline{A}_2 \in \underline{I}(\underline{B})$ .

DEFINITION 6. A family of functions  $f=(f_i)_{i\in I}$ ,  $f_i:A_i\to B_i$ , indexed by the set I is called similarity morphism or homomorphism from  $\underline{A}_1$  to  $\underline{A}_2$  if for every operation scheme  $\sigma \in \Sigma S$ ,  $\sigma=< n$ ,  $s_0s_1...s_n$ ,  $i_1i_2...i_ni>$  and for every

$$(a_1, a_2, \ldots, a_n) \in A_{i_1} \times A_{i_2} \times \ldots \times A_{i_n}$$

we have

$$f_i(\psi_1(\sigma)(a_1,a_2,\ldots,a_n)) = \psi_2(\sigma)(f_{i_1}(a_1),f_{i_2}(a_2),\ldots,f_{i_n}(a_n)).$$

DEFINITION 7. Let  $\underline{B}=< I\cup S$ ,  $\Sigma S$ ,  $\alpha>$  be a given specification base. A model of  $\underline{B}$  is an interpretation  $\underline{W}\in \underline{I}(\underline{B})$  with the

property that for every other interpretation  $\underline{A} \in \underline{I}(\underline{B})$  there exists exactly one homomorphism  $f:\underline{W} \to \underline{A}$ .

In [RUS83] there is shown that for every specification base  $\underline{B} = \langle I \cup S, \Sigma S, \alpha \rangle$  there exists a model of this base. This model is the word algebra  $\underline{W}$  ( $\underline{B}$ ) =  $\langle W = (Wi) \rangle_{i \in I}$ ,  $\Sigma S$ ,  $\overline{Y} > .$  If  $\underline{W}_1(\underline{B})$  and  $\underline{W}_2(\underline{B})$  are two models of the base  $\underline{B}$ , then they are isomorphic.

Since the model  $\underline{W}(\underline{B})$  belongs to the set of interpretations of  $\underline{B}$ , according to Definition 5 one can consider the restriction of the model  $\underline{W}(\underline{B})$  to the subset J, written as

$$\underline{\underline{W}}(\underline{B}) \mid_{\mathcal{J}} = \langle \underline{W} |_{\mathcal{J}} = (\underline{W}(\underline{J}))_{\underline{J} \in \mathcal{J}}, \ \underline{\Sigma} S |_{\mathcal{J}}, \ \underline{\psi} |_{\mathcal{J}} \rangle.$$

Property-1 also holds for the model  $\underline{W}(\underline{B})$ , but may be reformulated as follows:

PROPERTY 2. Let  $\underline{B} = \langle I \cup S, \Sigma S, \alpha \rangle$  be a specification base. Then the restriction  $\underline{W}(\underline{B}) \mid_J$  of the model is equal to the model of the restriction of  $\underline{B}$ ,  $\underline{W}(\underline{B}) \mid_J$ .

THEOREM 1. Let  $\underline{B}=< I\cup S$ ,  $\Sigma S$ ,  $\alpha>$  be a specification base, and let  $\underline{W}(\underline{B})$  be a model of this base. For every  $J\in \mathcal{P}(I)$ ,  $J\neq \emptyset$ , there exists a restriction  $\underline{B}|_J$  of  $\underline{B}$  whose model is  $\underline{W}(\underline{B})|_J$  (here  $\mathcal{P}(I)$  stands for the set of the subsets of I).

Proof. Consider  $J \in \mathcal{P}(I)$ ,  $J \neq \emptyset$ , and construct the restriction  $\underline{B}|_J$ . Let  $\underline{W}(\underline{B})$  be the model of the specification base  $\underline{B}$ , and let  $\underline{W}(\underline{B})|_J$  be the restriction of the model  $\underline{W}(\underline{B})$  to the subset J. According to Property 2, follows that  $\underline{W}(\underline{B})|_J = \underline{W}(\underline{B}|_J)$ .

For a given specification base  $\underline{B} = < I \cup S$ ,  $\Sigma S$ ,  $\alpha >$ , the construction of an interpretation  $\underline{A} \in \underline{I}(\underline{B})$  supposes the choice of both the family of sets A and the operation set OP(A) defined on this family. The construction of an interpretation for a

specification base corresponds to the construction of the level one (HAS<sub>1</sub>) from the HAS (heterogeneous algebraic structure) hierarchy [RUS80] of a language specification. According to the principles of construction of a HAS hierarchy, the level one (HAS<sub>1</sub>) will constitute the specification base for the level two (HAS<sub>2</sub>). The transit from level one to level two is performed by constructing a new interpretation of the specification base HAS<sub>1</sub>. This process can continue until the specified language satisfies the requests of the specifier. The new theoretical concepts presented in this paper are valid for every level HAS<sub>1</sub> from a language specification. For simplicity reasons we discussed only the level one from the HAS hierarchy.

Let  $LB_1 = \langle A_1, \phi_1, \psi_1 \rangle$ ,  $LB_2 = \langle A_2, \phi_2, \psi_2 \rangle$  be two programming languages specified by the same base  $\underline{B}$ .

DEFINITION 8. The language  $LB_1$  is subset of  $LB_2$  if  $A_1 \subseteq A_2$ , and, if  $w_1 \in OP(A_1)$ , then either  $w_1 \in OP(A_2)$  or  $w_1$  is a restriction of an operation  $w_2 \in OP(A_2)$ .

COROLLARY. Let  $=\underline{B}=< I\cup S$ ,  $\Sigma S$ ,  $\alpha >$  be a specification base. The language  $LB|_J$  specified by the restriction  $\underline{B}|_J$  of the base  $\underline{B}$  is a subset of the language LB specified by the base B.

Proof. This is evident by virtue of Theorem 1.

One can construct in this way a large specification base  $\underline{B}$  able to generate a subset of the object specified by  $\underline{B}$ , by means of the operation of restriction to a given subset J. Denoting by UL the language (object) specified by the base  $\underline{B}$ , a subset of UL can be obtained as an object specified by a restriction of  $\underline{B}$ .

4. Examples. The examples which follow concern the simple language specified from a syntactical point of view in Section 2. The set I consists of all words written in capitals from the context-free grammar of the language, while the set S consists of all words written in small letters from the same grammar.

Example 1. Consider  $J_1=I\setminus\{\text{PREDEFINITION\_TYPE}\}$ . Remove from  $\Sigma S$  the operation schemes  $\sigma_{33}$ ,  $\sigma_{34}$ , which are PREDEFINITION\_TYPE. Replace the operation scheme  $\sigma_{30}$  by a restriction of this one to the subset  $J_1$ , denoted  $\sigma_{30}'=<1$ , ,INTEGER TYPE\_DESIGNER>, and replace the operation scheme  $\sigma_{32}$  by a restriction of this one to the same subset  $J_1$ , denoted  $\sigma_{32}'=<2$ , new , INTEGER CONSTRAINT NUMERICAL\_TYPE>. The restriction of the specification base  $\underline{B}$  to the subset  $J_1$  is  $\underline{B}|_{J1}=< J_1\cup S$ ,  $\Sigma S|_{J1},\alpha|_{J1}>$ , where  $\Sigma S|_{J1}=(\Sigma S\setminus\{\sigma_{30},\sigma_{32},\sigma_{33},\sigma_{34}\})\cup\{\sigma_{30}',\sigma_{32}'\}$ . The following numerical-type declaration

type REAL\_MIC is new FLOAT range -10.0..10.0 is correct in the language LB specified by  $\underline{B}$ , but not in the language  $LB|_{J1}$  specified by  $\underline{B}|_{J1}$ . This last language admits the following numerical-type declaration

type INTEGER\_MIC is new INTEGER range -10 .. 10 which is a restriction of the first declaration admitted by LB. Neither the operation scheme  $\sigma_{30}$  nor its restriction  $\sigma_{30}$  contribute directly to the specification of objects of LB or  $LB|_{J1}$ , respectively. The objects of the type TYPE\_DESIGNER specified by  $\sigma_{30}$  will contribute to the specification of objects of the type ARRAY\_TYPE, as we shall see in the next example. The axiom set  $\alpha|_{J1}$  remains the same as the axiom set  $\alpha$ . In these

conditions the language  $LB|_{J1}$  is a subset of the language LB.

Example 2. Consider  $J_2=J_1\backslash\{\text{TYPE\_DESIGNER}\}$ . Remove from  $\Sigma S|_{J1}$  the operation schemes  $\sigma_{28},\ \sigma_{29},$  which are TYPE\_DESIGNER. We choose the following restrictions for  $\sigma_{26}$ 

 $\sigma_{26}^{'}$ =<2, subtype is ,subtype\_NAME INTEGER SUBTYPE\_DEFINITION>, and for  $\sigma_{27}^{}$ 

 $\sigma_{27}$ , =<3, subtype is ,subtype\_NAME INTEGER CONSTRAINT SUBTYPE DEFINITION>.

For  $\sigma_{35}$  and  $\sigma_{36}$  we choose respectively the restrictions  $\sigma_{35}'=<2, :, \text{INTEGER\_LIST INTEGER OBJECT\_DECLARATION},$   $\sigma_{36}'=<3, ::=, \text{IDENTIFIER\_LIST INTEGER SIMPLE\_EXPRESSION}$  OBJECT DECLARATION>.

Lastly, one chooses only one restriction for  $\sigma_{44}$ :  $\sigma_{44}'=<2, \text{ array() of ,INDEX_LIST INTEGER ARRAY_TYPE>.}$ In these conditions,  $\underline{B}|_{J_1}=< J_2\cup S, \sum S|_{J_2}, \alpha|_{J_2}>, \text{ where}$ 

 $\sum S|_{J_{3}} = (\sum S|_{J_{3}} \setminus \{\sigma_{26}, \sigma_{27}, \sigma_{26}, \sigma_{29}, \sigma_{35}, \sigma_{36}, \sigma_{44}\} \cup \{\sigma_{26}', \sigma_{27}', \sigma_{35}', \sigma_{36}', \sigma_{44}'\}.$ 

The following array-type declaration

type ARR\_TY is array (1..10, 1..10) of FLOAT is correct in the languages LB and  $LB|_{J1}$ , but not in  $LB|_{J2}$ . The language  $LB|_{J2}$  admits the definition of an array-type restriction (reduced from) whose elements are of integer-type. So, above array-type definition reduces in the language  $LB|_{J2}$  to

type ARR\_TY is array (1..10, 1..10) of INTEGER.

The following record-type declaration

type COMPLEX is

record

REAL : FLOAT;

IMAG : FLOAT;

end record.

is correct in the languages LB and  $LB|_{J1}$ . The language specified by  $J_2$  ,  $LB|_{J2}$ , admits only a restriction of the object COMPLEX, namely

type COMPLEX is

record

REAL : INTEGER;

IMAG : INTEGER;

end record.

Also in this example the axiom sets  $\alpha|_{J2}$  and  $\alpha$  coincide. In these conditions the language  $LB|_{J2}$  is a subset of the language  $LB|_{J1}$ .

Example 3. Consider  $J_3=J_2\backslash\{\text{IF\_LIST}\}$ . Remove from  $\Sigma S|_{J2}$  the operation schemes  $\sigma_{49}$  and  $\sigma_{50}$ , which are of the type IF\_LIST. We choose respectively for  $\sigma_{51}$  and  $\sigma_{52}$  the restrictions

 $\sigma_{51}'$  = <1, if endif, EL\_IF IF>,

 $\sigma_{52}'$  = <2, if else endif, EL\_IF SEQUENCE IF>.

In these conditions  $\underline{B}|_{J_3} = \langle J_3 \cup S, \sum S|_{J_3}, \alpha|_{J_3} \rangle$ , where

 $\sum S|_{J_3}=(\sum S|_{J_2}\backslash \{\;\sigma_{49},\sigma_{50},\sigma_{51},\sigma_{52}\;\}\;)\;\cup\; \{\;\sigma_{51}^{'},\sigma_{52}^{'}\;\}$  . An instruction of the

form

if RELATION then

SEQUENCE

{elsif RELATION then

SEQUENCE }

[else

### SEQUENCE ]

endif.

is admitted in the languages LB,  $LB|_{J1}$ ,  $LB|_{J2}$ . The language  $LB|_{J3}$  admits the following reduced forms

if RELATION then SEQUENCE endif,

if RELATION then SEQUENCE

## else SEQUENCE

#### endif.

The axiom set  $\alpha|_{J3}$  coincides with  $\alpha$ , too. In these conditions the language  $LB|_{J3}$  is a subset of the language  $LB|_{J2}$ .

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## ALGEBRAIC SPECIFICATION OF PSP

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REZUMAT. - Specificarea algebrică a RSP. În lucrare se prezintă specificarea algebrică a tipurilor de date folosite în procesorul simbolic Poisson PSP ([Pâr89]). Se folosește un model ierarhic, bazat pe semantica denotațională (algebrică).

O. Introduction. Algebraic specification of PSP (Poisson Symbolic Processor, see [Pār89]) data types is made by means of an hierarchical model, from simple to complex types. The abstract data structure of PSP is viewed as a hierarchy of abstract data types.

### A Poisson series have the form:

$$S = \sum_{i=0}^{n} C_i x_1^{j_1} x_2^{j_2} \dots x_m^{j_m} \sup_{\cos} (k_1 y_1 + k_2 y_2 + \dots + k_n y_n), \qquad (1)$$

where:  $C_i$  are numerical coefficients;  $x_1$ ,  $x_2$ , ...,  $x_m$  are monomial variables;  $y_1$ ,  $y_2$ , ...,  $y_n$  are trigonometric variables;  $j_1$ ,  $j_2$ , ...,  $j_m$  and  $k_1$ ,  $k_2$ , ...,  $k_n$  are exponents, and, respectively, coefficients. The summation index i covers the set of all possible combinations of the exponents j and coefficients k  $(j \in \mathbf{Z}^m, k \in \mathbf{Z}^n)$ .

The form (1) of Poisson series can be briefly written as follows:

$$S = \sum_{i=0}^{\infty} T_i, \tag{2}$$

in which T<sub>i</sub> is a term of this series:

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$$T_i = C_i P_i F_i$$
,

where the polynomial part P; has the form:

$$P_i = x_1^{j_1} x_2^{j_2} \dots x_m^{j_m}, \tag{3}$$

while the trigonometric part F; is:

$$F_1 = \frac{\sin(k_1 y_1 + k_2 y_2 + \dots + k_n y_n)}{\cos(k_1 y_1 + k_2 y_2 + \dots + k_n y_n)}. \tag{4}$$

In practice, one does not operate with Poisson series, but with partial sums of these ones, called *Poisson expressions*, of the form:

$$S = \sum_{i=0}^{N} T_i, \quad N \in \mathbb{N}. \tag{5}$$

PSP operates with Poisson expressions of the form (5). The hierarchical model of its algebraic specification consists of five levels:

- 1) numerical coefficients specification;
- trigonometric part specification;
- 3) polynomial part specification;
- 4) term specification;
- 5) Poisson expression specification.
- 1. Numerical coefficients specification. The coefficients  $C_1$  from (1) are considered rational numbers, of the form M/N, with  $M,N\in\mathbb{Z}$ . The definition of the abstract data type RAT follows the chain:

where:

BOOL - represents the primitive boolean type;

NAT - represents the hierarchical natural type (including zero value);

```
INT - represents the hierarchical integer type;
```

RAT - represents the hierarchical rational type.

In the specification of the NAT type we use the NAT\* subtype of NAT, which corresponds to the natural numbers without zero value. The above listed types are specified as follows:

```
1.1. The primitive BOOL type
type BOOL ■
     SORT bool,
     CONS
          true: --> bool.
          false: --> bool,
     OPNS
          .not.: bool --> bool,
          .and.: bool, bool --> bool,
          .or.: bool, bool --> bool,
     VARS
           X,Y: bool,
     AXIOMS
           true * false,
           .not. true = false,
           .not. false = true,
           true .and. X = X,
           false .and. X = false,
           X \cdot or. Y = .not.((.not. X) \cdot and. (.not. Y))
endoftype.
     1.2. The NAT and NAT+ types
     The NAT type uses the primitive type BOOL:
type NAT =
     SORT bool, nat,
     CONS
          zero: --> nat,
          succ: nat --> nat,
          pred: (nat x: x noteq zero) --> nat,
     OPNS
          *: nat, nat --> nat,
          eq: nat, nat --> bool,
          +: nat, nat --> nat,
          noteq: nat, nat --> bool,
          < : nat, nat --> bool,
          [_,_]: nat, (nat x: x noteq zero) --> nat,
          GCD(_,_): nat, nat --> nat,
     VARS
          R, P, M, N: nat,
     AXIOMS
          N eq M = M eq N,
```

```
zero eq zero = true,
          zero eq succ(N) = false,
          succ(N) eq succ(M) = N eq M,
          N \text{ noteg } M = \text{not } (N \text{ eg } M),
          pred(succ(N)) = N,
          [N,1] = N,
           (\exists R, P: nat : R < M, N = P * M + R) ==> [N, M] = P,
          GCD(N, 0) = N,
          U < V \Longrightarrow GCD(V, U) = GCD(U, V - U * [V, U]),
          GCD(U, V) = GCD(V, U),
          N * 0 = 0 = 0 * N,
          N * succ(M) = (N * M) + N = succ(M) * N,
                       = (N * M) - N = pred(M) * N,
          N * pred(M)
          N + O = O + N = N,
          N + succ(M) = succ(N + M) = succ(M) + N,
          N + pred(M) = pred(N + M) = pred(M) + N,
          (0 < succ(0)) = true,
           (pred(N) < succ(N)) = true,
           (N < succ(N)) = true,
                         = true,
           (pred(N) < N)
          N < M \implies (succ(N) < succ(M) \implies true),

N < M \implies (pred(N) < pred(M) \implies true),
          N - 0 = N,
          IF M < N THEN N - succ(M) = pred(N - M),
          IF M < N THEN N - pred(M) = succ(N - M),
endoftype.
We define NAT*, subtype of the NAT type:
type NAT* =
     SORT bool, nat*,
     CONS
          one: --> nat*,
          succ: nat* --> nat*,
          pred: (nat* x: x noteq one) --> nat*,
     OPNS
          +: nat* --> nat*,
          -: nat* --> nat*,
          *: nat*, nat* --> nat*,
          eq: nat*, nat* --> bool,
          noteq: nat*, nat* --> bool,
     VARS
          M, N: nat*,
     AXIOMS
          The axioms of NAT type marked with * are axioms of the
           subtype NAT*, with the following modifications:
          one eq one = true,
one eq succ(N) = false,
          N * 1 = 1 * N = N,
          N + 1 = 1 + N = succ(N),
          IF 1 < N THEN N - 1 = pred(N),
endoftype.
```

## 1.3. The INT type

The specification of INT type uses BOOL and NAT as primitive types:

```
type INT =
     SORT bool, int, nat,
     CONS
          zero: --> int,
          succ: int --> int,
          pred: int --> int,
     OPNS
          eq: int, int: --> bool,
          noteq: int, int --> bool,
          +: int, int --> int,
          -: int, int --> int,
          *: int, int --> int,
          <: int, int --> bool,
          | |: int --> nat,
     VARS
          N, M: int,
     AXIOMS
          N eq M = M eq N,
          zero eq zero = true,
          succ(N) eq succ(M) = N eq M,
          N \text{ noteq } M = \text{not } (N \text{ eq } M),
          pred(succ(N)) = N,
          succ(pred(N)) =
                             N.
          | 0 | = 0,
          (pred(0) < 0)
                         = true,
          The axioms of INT which refer to the operations +, -
             and < are inherited from NAT type;
endoftype.
```

Remark. The INT type contains the zero value and two unary operations, succ and pred. The integer number n (n>0) is obtained by n successive applications of the succ operation to 0. Analogously, the integer number -n (n>0) is constructed with pred, starting with 0.

### 1.4. The RAT type

The specification of RAT type uses INT and NAT\* as primitive types:

```
type RAT ≡
SORT int, nat*, rat,
CONS
```

```
_/_: int, nat* --> rat,
            +_: rat, rat --> rat,
           *: rat, rat --> rat,
           _:_: rat, rat --> rat,
           _-_: rat, rat --> rat,
     VARS
           M/N, P/Q: rat,
           S, T: nat*,
     AXIOMS
            (M/N) + (P/Q) = ((M * Q) + (P * N)) / (N * Q),
           (M/N) * (P/Q) = (M * P) / (N * Q),
            (M/N) : (P/Q) = (M * Q) / (N * P),
           (M/N) - (P/Q) = ((M * Q) - (P * N)) / (N * Q),
(\exists S: nat*, \exists T: nat*, :
               M = S * GCD(|M|,|N|) \wedge N = T * GCD(|M|,|N|)) \rightarrow
               \rightarrow M/N = S/T
endoftype.
```

2. Trigonometric part specification. The definition of the trigonometric parts  $F_i$  from (4) follows the chain:

where:

SET - represents a symbol set;

FLIN - represents the abstract type of linear forms;

TTR - represents the abstract type of trigonometric parts.

#### 2.1. The SET type

The SET type consists of a set of symbols. This type is used as primitive type for the specification of FLIN type.

```
type SET =
    SORT set,
    CONS

    X<sub>1</sub> : --> set,
    X<sub>2</sub> : --> set,
    X<sub>n</sub> : --> set,
    Y<sub>1</sub> : --> set,
    Y<sub>2</sub> : --> set,
    Y<sub>2</sub> : --> set,
    Y<sub>2</sub> : --> set,
    endoftype.
```

### 2.2. The FLIN type

The FLIN type defines the linear forms over the types SET and INT.

```
type FLIN =
       SORT int, set, flin,
       CONS
       oPNS -- (concatenation) : int, set --> flin,
               _+_: flin, flin --> flin,
_-_: flin, flin --> flin,
              _*: int, flin --> flin,
       VARS
              X, Y, Z: set,
M, N, K: int,
       AXIOMS
              X \cdot 1 = 1 \cdot X = X
              X \cdot 0 = 0 \cdot X = 0,
              N \cdot X = X \cdot N
              X \cdot (N + M) = (N + M) \cdot X = N \cdot X + M \cdot X,
              N \cdot \dot{X} + M \cdot \dot{Y} = \dot{M} \cdot \dot{Y} + \dot{N} \cdot \dot{X},
               (N \cdot X + M \cdot Y) + K \cdot Z = N \cdot X + (M \cdot Y + K \cdot Z),
              N \cdot X + 0 = 0 + N \cdot X = N \cdot X,
              N * 0 = 0 = 0 * N,
              1 * X = X * 1 = X,
              N * (M \cdot X) = (N * M) \cdot X,
              N + (M \cdot X \pm K \cdot Y) = (N + M) \cdot X \pm (M + K) \cdot Y
endoftype.
```

The FLIN type contains linear combinations of the form:

$$N_1 \cdot Y_1 + N_2 \cdot Y_2 + \ldots + N_k \cdot Y_k$$

which can be used as arguments for sine and cosine functions in trigonometric parts  $F_i$ . The three operations (+, \* and concatenation), together with the axioms, are used for the specification of the trigonometric part.

### 2.3. The TTR type

3. Polynomial part specification. The definition of the polynomial parts  $P_i$  from (3) follows the scheme:

MPOL --> PPOL

where:

MPOL - represents the set of monomials;

PPOL - represents the set of polynomials.

## 3.1. The MPOL type

The MPOL type defines the set of monomials of the form  $X^N$ , where X is in SET and N is of INT type.

# 3.2. The PPOL type

The PPOL type defines the set of polynomials of the form (3):

```
type PPOL =
    SORT mpol, ppol
    CONS

-*: mpol, mpol --> mpol,

VARS

XM , XM , YM , ZK : ppol,

AXIOMS

XM * XM = XM * XM = XM+M ,

XM * YM = YM * XM ,

XM * 1 = XM = 1 * XM ,

XM * (YM * ZK ) = (XM * YM ) * ZK

endoftype.
```

4. Term specification. The terms T; from (2) are defined as follows: type TERM ≡ SORT rat, ttr, ppol, term, (concatenation): rat, ttr, ppol --> term, N/M : rat, cosY, sinY: ttr, X: ppol, \* \* We denote with Y the linear form and with X the polynomial \* \* form AXIOMS sinY  $(N/M \cdot \{ \}) \cdot X = N / M \cdot (\{ \}) \cdot X$ cosY cosY sinY sinY sinY  $N/M \cdot \{$  }  $\cdot X = \{$  }  $\cdot N/M \cdot X = \{$  }  $\cdot X \cdot N/M \cdot X = \{$  }  $\cdot X \cdot N/M \cdot X = \{$  }  $\cdot X \cdot N/M \cdot X = \{$ sinY  $= X \cdot N/M \cdot \{ \} ,$ cosY  $0 \cdot 0 \cdot X = 0$ , 1/1 · 1 · X = X,  $0 \cdot \{ \} \cdot X = N/M \cdot 0 \cdot X = 0$ cosY

5. Poisson expression specification. Taking into account the above definitions, the Poisson expressions (5) will be defined in the following form:

endoftype.

$$= (N/M \pm P/Q) \cdot X \cdot \{ cosY \\ (N/M \cdot cosY_1 \cdot X_1) * (P/Q \cdot sinY_2 \cdot X_2) = \\ = (1/2 * N/M * P/Q) \cdot X_1 \cdot X_2 \cdot sin(Y_1+Y_2) + \\ + (1/2 * N/M * P/Q) \cdot X_1 \cdot X_2 \cdot sin(Y_2+Y_1) , \\ (N/M \cdot sinY_1 \cdot X_1) * (P/Q \cdot sinY_2 \cdot X_2) = \\ = (1/2 * N/M * P/Q) \cdot X_1 \cdot X_2 \cdot cos(Y_1-Y_2) - \\ - (1/2 * N/M * P/Q) \cdot X_1 \cdot X_2 \cdot cos(Y_1+Y_2) , \\ (N/M \cdot cosY_1 \cdot X_1) * (P/Q \cdot cosY_2 \cdot X_2) = \\ = (1/2 * N/M * P/Q) \cdot X_1 \cdot X_2 \cdot cos(Y_1+Y_2) , \\ (N/M \cdot cosY_1 \cdot X_1) * (P/Q \cdot cosY_2 \cdot X_2) = \\ = (1/2 * N/M * P/Q) \cdot X_1 \cdot X_2 \cdot cos(Y_1+Y_2) , \\ (N/M \cdot Y_1 + Y_2 \cdot Y_2 + \dots + Y_k \cdot Y_k + \dots + Y_1 \cdot Y_1) + \\ + (1/2 * N/M * P/Q) \cdot X_1 \cdot X_2 \cdot cos(Y_1-Y_2) , \\ cos(N_1 \cdot Y_1 + N_2 \cdot Y_2 + \dots + N_k \cdot Y_k + \dots + Y_1 \cdot Y_1) + \\ - \frac{\partial}{\partial Y_k} \cdot sin(N_1 \cdot Y_1 + N_2 \cdot Y_2 + \dots + N_k \cdot Y_k + \dots + Y_1 \cdot Y_1) + \\ \times X_1^{M1} \cdot \dots \cdot Y_k^{Mk} \cdot \dots \cdot X_h^{Mh}) = \\ = N*M_k/M \cdot \left\{ sin(N_1 \cdot Y_1 + N_2 \cdot Y_2 + \dots + N_k \cdot Y_k + \dots + N_1 \cdot Y_1) + \\ \cdot X_1^{M1} \cdot \dots \cdot Y_k^{Mk-1} \cdot \dots \cdot X_h^{Mh} \right\} \times \\ \times N*N_k/M \cdot \left\{ sin(N_1 \cdot Y_1 + N_2 \cdot Y_2 + \dots + N_k \cdot Y_k + \dots + N_1 \cdot Y_1) + \\ \cdot X_1^{M1} \cdot \dots \cdot Y_k^{Mk-1} \cdot \dots \cdot X_h^{Mh} \right\} , \\ \times N^{N}_k \cdot \left\{ cos(N_1 \cdot Y_1 + N_2 \cdot Y_2 + \dots + N_k \cdot Y_k + \dots + N_1 \cdot Y_1) + \\ \cdot X_1^{M1} \cdot \dots \cdot X_k^{Nk} \cdot \dots \cdot X_h^{Mh} \right\} , \\ \cdot X_1^{M1} \cdot \dots \cdot X_k^{Nk} \cdot \dots \cdot X_h^{Mh} \right\} , \\ \cdot X_1^{M1} \cdot \dots \cdot X_k^{Nk} \cdot \dots \cdot X_h^{Mh} \right\} , \\ \cdot X_1^{M1} \cdot \dots \cdot X_k^{Nk} \cdot \dots \cdot X_h^{Mh} \right\} , \\ \cdot X_1^{M1} \cdot \dots \cdot X_k^{Nk} \cdot \dots \cdot X_h^{Mh} \right\} , \\ \cdot X_1^{M1} \cdot \dots \cdot X_k^{Nk} \cdot \dots \cdot X_h^{Mh} \right\} , \\ \cdot X_1^{M1} \cdot \dots \cdot X_k^{Nk} \cdot \dots \cdot X_h^{Nh} \right\} , \\ \cdot X_1^{M1} \cdot \dots \cdot X_k^{Nk} \cdot \dots \cdot X_h^{Nh} \right\} , \\ \cdot X_1^{M1} \cdot \dots \cdot X_k^{Nk} \cdot \dots \cdot X_h^{Nh} \right\} , \\ \cdot X_1^{M1} \cdot \dots \cdot X_k^{Nk} \cdot \dots \cdot X_h^{Nh} \right\} , \\ \cdot X_1^{M1} \cdot \dots \cdot X_k^{Nk} \cdot \dots \cdot X_h^{Nh} \right\} , \\ \cdot X_1^{M1} \cdot \dots \cdot X_k^{Nk} \cdot \dots \cdot X_h^{Nh} \right\} , \\ \cdot X_1^{M1} \cdot \dots \cdot X_k^{Nk} \cdot \dots \cdot X_h^{Nk} \cdot \dots \cdot X_h^{Nh} \right\} , \\ \cdot X_1^{M1} \cdot \dots \cdot X_k^{Nk} \cdot \dots \cdot X_h^{Nk} \cdot \dots \cdot X_h^{Nk} \cdot \dots \cdot X_h^{Nk} \right\} , \\ \cdot X_1^{M1} \cdot \dots \cdot X_k^{Nk} \cdot \dots \cdot X_h^{Nk} \cdot \dots \cdot$$

$$= (-1/N_{k}*N/M) \cdot \cos(N_{1} \cdot Y_{1} + N_{2} \cdot Y_{2} + \dots + N_{k} \cdot Y_{k} + \dots + N_{1} \cdot Y_{1}) \cdot \\ \cdot x_{1}^{M_{1}} \cdot \dots x_{k-1}^{M_{k-1}} \cdot Y_{k} \cdot x_{k+1}^{M_{k+1}} \cdot \dots \cdot x_{h}^{M_{h}} + \\ \cdot x_{1}^{M_{1}} \cdot \dots x_{k-1}^{M_{k-1}} \cdot Y_{k} \cdot x_{k+1}^{M_{k+1}} \cdot \dots \cdot x_{h}^{M_{h}} + \\ + (1/(N_{k}*N_{k})) \cdot \sin(N_{1} \cdot Y_{1} + N_{2} \cdot Y_{2} + \dots + N_{k} \cdot Y_{k} + \dots + N_{1} \cdot Y_{1}) \cdot \\ \cdot x_{1}^{M_{1}} \cdot \dots x_{k-1}^{M_{k-1}} \cdot Y_{k}^{0} \cdot x_{k+1}^{M_{k+1}} \cdot \dots \cdot x_{h}^{M_{h}} \\ \cdot x_{1}^{N_{k}} \cdot \dots x_{k-1}^{M_{k-1}} \cdot Y_{k}^{0} \cdot x_{k+1}^{M_{k+1}} \cdot \dots \cdot x_{h}^{M_{h}} \cdot dY_{k} = \\ = (-1/N_{k}*N/M) \cdot \cos(N_{1} \cdot Y_{1} + N_{2} \cdot Y_{2} + \dots + N_{k} \cdot Y_{k} + \dots + N_{1} \cdot Y_{1}) \cdot \\ \cdot x_{1}^{M_{1}} \cdot \dots x_{k-1}^{M_{k-1}} \cdot Y_{k}^{M_{k}} \cdot x_{k+1}^{M_{k+1}} \cdot \dots \cdot x_{h}^{M_{h}} + \\ + (N/M*p/(N_{k}*N_{k})) \cdot \sin(N_{1} \cdot Y_{1} + N_{2} \cdot Y_{2} + \dots + N_{k} \cdot Y_{k} + \dots + N_{1} \cdot Y_{1}) \cdot \\ \cdot x_{1}^{M_{1}} \cdot \dots x_{k-1}^{M_{k-1}} \cdot Y_{k}^{M_{k}} \cdot x_{k+1}^{M_{k+1}} \cdot \dots \cdot x_{h}^{M_{h}} - \\ - (N/M*p/N_{k}*(p-1)/N_{k}) \cdot I_{p-2}^{p-1} \cdot x_{k}^{M_{k+1}} \cdot \dots \cdot x_{h}^{M_{h}} - \\ - (N/M*p/N_{k}*(p-1)/N_{k}) \cdot I_{p-2}^{p-2}$$
 endoftype.

Remark. The EXP type must also contain the axioms referring to the recurrent computation of the integral:

$$J_{p}^{M_{k}} = \int N/M \cdot \cos(N_{1} \cdot Y_{1} + N_{2} \cdot Y_{2} + \dots + N_{k} \cdot Y_{k} + \dots + N_{1} \cdot Y_{1}) \cdot \\ \cdot X_{1}^{M_{1}} \cdot \dots X_{k-1}^{M_{k-1}} \cdot Y_{k}^{p} \cdot X_{k+1}^{M_{k+1}} \cdot \dots \cdot X_{h}^{M_{h}} dY_{k} = \\ = (-1/N_{k}*N/M) \cdot \sin(N_{1} \cdot Y_{1} + N_{2} \cdot Y_{2} + \dots + N_{k} \cdot Y_{k} + \dots + N_{1} \cdot Y_{1}) \cdot \\ \cdot X_{1}^{M_{1}} \cdot \dots X_{k-1}^{M_{k-1}} \cdot Y_{k}^{p} \cdot X_{k+1}^{M_{k+1}} \cdot \dots \cdot X_{h}^{M_{h}} + \\ + (N/M*p/(N_{k}*N_{k})) \cdot \cos(N_{1} \cdot Y_{1} + N_{2} \cdot Y_{2} + \dots + N_{k} \cdot Y_{k} + \dots + N_{1} \cdot Y_{1}) \cdot \\ \cdot X_{1}^{M_{1}} \cdot \dots X_{k-1}^{M_{k-1}} \cdot Y_{k}^{p-1} \cdot X_{k+1}^{M_{k+1}} \cdot \dots \cdot X_{h}^{M_{h}} - \\ \cdot X_{1}^{M_{1}} \cdot \dots X_{k-1}^{M_{k-1}} \cdot Y_{k}^{p-1} \cdot X_{k+1}^{M_{k+1}} \cdot \dots \cdot X_{h}^{M_{h}} - \\ - (N/M*p/N_{k}*(p-1)/N_{k}) \cdot J_{p-2}^{p-2}$$

CONCLUDING REMARKS. This paper specifies the data types

defined in PSP (implemented in Pascal) in a hierarchical way. Some of the advantages of algebraic specifications are used, especially those involving the correctness of the defined operations. In such a way the singular cases (as non-determinations or exceptions) are avoided. The authors intention is to simulate the operational semantics of PSP by using terms rewrite rules. PSP can also be specified in the specification and programming language OBJ3 (see [Gog88] and [Kir87]).

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#### CORP MODELLING USING FORMAL LANGUAGES

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RESUMAT. Modelarea corpurilor folosind limbajele formale. Definirea unui corp tridimensional se poate realiza de multe ori mai natural, și mai eficient utilizînd comenzi de deplasare a "cursorului" (vîrf de creion imaginar) în diverse direcții. În acest fel se pot defini muchiile sau chiar suprafețele unui corp, prin "plimbarea", cursorului pe direcți paralele cu axele de coordonate. Pentru aceasta am definit un limbaj de comandă și o serie de transformări elementare: translație, rotație, scalare, simetrie și proiecție pentru reprezentarea corpurilor descrise.

Solid corps modelling (tridimensional graphic objects) through front specification can be realised covering (describing) the edges ("wire-frame" method). This cover may be realised by moving commands of the cursor on a tridimensional frame this way: up, down, right, left, front, back. These moving commands compound the alphabet needed in the object outline description, which we want to model:

$$\Sigma = \{u, d, r, l, f, b\}$$

 $w \in \Sigma^*$  is called command word of the cursor.

We will use for object definition an infinite frame of points obtained through intersection of the parallel plans with xOy, xOz and yOz at distance equal to 1. Two points P(x,y,z) and P'(x',y',z') are "neighbours" if we have the following relation:

$$|x-x'|+|y-y'|+|z-z'|=1$$
 (\*)

This relation can be generalized:

$$x' = x + dx$$

$$y' = y + dy$$

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$$z' = z + dz$$

where dx, dy,  $dz \in \{-1,0,1\}$ , |dx|+|dy|+|dz| > 0, which need an extension of the alphabet  $\Sigma$  by definition of other commands, obtained through composition of the existing commands.

We will use definition (\*) to simplify grammars.

One command word  $w \in \Sigma^*$  is, in fact, a succession of commands to the cursor and defines a "walk on the frame".

For a point P(x,y,z) we define its succesors on the six directions this way :

- up : SUC((x,y,z),u) := (x,y,z+1)

- down : SUC((x, y, z), d) := (x, y, z-1)

- left : SUC((x,y,z),l) := (x,y-1,z)

- right : SUC((x,y,z),r) := (x,y+1,z)

- front : SUC((x,y,z),f) := (x+1,y,z)

- back : SUC((x,y,z),b) := (x-1,y,z)

The design specified in this way by a command word  $w \in \Sigma^*$  is defined as follows:

SUC : 
$$Z^3 \times \Sigma^* \longrightarrow Z^3$$

$$SUC((x,y,z),\epsilon) = (x,y,z)$$

and

$$SUC((x,y,z),aw) = SUC(SUC(x,y,z),a),w)$$

We notice that the cursor movements are executed from left to right. The cursor movement may be defined initializing the cursor in  $P_0(x_0,y_0,z_0)$  and then moving through a command word  $w \in \Sigma^*$ : MOV $(w) = SUC((x_0,y_0,z_0),w)$ .

A spatial graph unoriented described by

$$w = a_1 \dots a_n, \quad (a_i \in \{u,d,l,r,f,b\}, i=1,n)$$
 is  $g((x_0,y_0,z_0),w) = (V,A)$ , where  $V = \{ \text{MOV}(a_1 \dots a_i) / i=1,n \}$  and

$$A = \{ (MOV(a_1...a_{i-1}), MOV(a_1...a_i) / i=1, n \}.$$

We suppose that  $MOV(\epsilon) = (x, y, z)$ .

A language formed of command words is called language of solid object description, and the grammar which generates the language is called solid forms grammar.

To represent the objects described by such grammars we will define functions for spatial transformations.

### TRANSLATION :

$$TR(g((x_0, y_0, z_0), w), (dx, dy, dz)) = g((x_0 + dx, y_0 + dy, z_0 + dz), w) =$$

$$= g((x_0, y_0, z_0), S_x^{|dx|} S_y^{|dx|} S_z^{|dx|} w)$$

$$S_{x} = \begin{cases} f & dx > 0 \\ e & dx = 0 \\ b & dx < 0 \end{cases}$$

$$S_{y} = \begin{cases} 1 & dy < 0 \\ e & dy = 0 \\ r & dy > 0 \end{cases}$$

$$S_{x} = \begin{cases} u & dz > 0 \\ e & dz = 0 \\ d & dz < 0 \end{cases}$$

So, if V = (dx, dy, dz) is the translation vector then we may

say that  $TR(g(w), V) = g(S_x^{|dx|} S_v^{|dy|} S_z^{|dz|} w)$ .

For rotations with 90°, 180°, 270° or even with 45°, 135°, 225° and 315° we can apply command word transformations depending on the axis of the rotation Ox, Oy or Oz. For example, rotation around Ox will change the word w so:

f, b - remain unchanged

 $u \longrightarrow r$ 

d --> 1

1 --> u

r --> d.

In the same way the substitutions applied to the productions rules of the grammar, for the other angles and axis are defined.

SCALATION:

A point P(x,y,z) through scalation become P'(x',y',z'), where:

$$\begin{aligned} |x'| & |f_x & 0 & 0 |_X \\ |y'| & |f_y| & |f_y| & 0 & |y| \\ |z'| & |0 & 0 & |f_y| & |z| \end{aligned}$$

If  $f_g f_x$ ,  $f_g f_y$ ,  $f_g f_z \ge 1$  then the image grows and we will consider these scalar factors natural.

If 
$$f_g \cdot f_x$$
,  $f_g \cdot f_y$ ,  $f_g \cdot f_x < 1$   
 $f_g \cdot f_x = \frac{1}{f_x^i}$ ,  $f_g \cdot f_y = \frac{1}{f_y^i}$ ,  $f_g \cdot f_x = \frac{1}{f_x^i}$  and  $f_x^i$ ,  $f_y^i$ ,  $f_x^i \in \mathbb{N}$ 

then the scalars applied to a command word  $w = a_1 \dots a_n$  will modify the word as follows:

$$a_i \longrightarrow a_i^f$$
 , where

$$f = \begin{cases} f_g : f_t & \text{if } a_i \in \{u, d\} \\ f_g : f_y & \text{if } a_i \in \{1, r\} \\ f_g : f_x & \text{if } a_i \in \{f, b\} \end{cases}$$

These transformations may be applied to the grammars which generate the command language.

#### SYMETRIES :

Reflections in planes xOy, xOz, yOz or in axis Ox, Oy, Oz are realised through such substitutions:

reflection in xOy: u <--> d

xoz: 1 <--> r

yoz: f <--> b.

 $SIM_{Ox}(g((x_0,y_0,z_0),w)) = g((-x_0,-y_0,z_0),w^*) , \text{ where } w^* \text{ is obtained by substituting } l <--> r \text{ and } f <--> b.$ 

In the same way we construct reflections in Ox and Oy.

## PROJECTIONS :

Projection parallel to Oz on xOy is defined as :

$$PR_{xOy}(g(w)) = \begin{cases} g(d^{z_0}w') \cdot & \text{if } z_0 > 0 \\ g(u^{z_0}w') & \text{if } z_0 < 0 \end{cases}$$

where w' is obtain by substituting "u" or "d" with  $\epsilon$ .

We can realise images with solid forms grammars extending the alphabet  $\Sigma$  with simbols representing commands to choose (select) colours, where the null colour, "dark", shift the cursor without effective translation:

 $\Sigma' = \Sigma U \{0, 1, ..., 7\}.$ 

In this case we consider that the initial position of the cursor is O(0,0,0) and the initial colour is null. Then the word

$$(S_x^{|x_0|}S_y^{|y_0|}S_z^{|s_0|}CW)$$

draw the object g(w) from the initial position  $(x_0, y_0, z_0)$  in the colour "c".

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# TERM REWRITING SYSTEMS AND COMPLETION THEOREMS PROVING: A SHORT SURVEY

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> RESUMAT. - Sisteme de rescriere a termenilor și demonstrarea teoremelor prin algoritmi de completare. În acest articol sunt prezentate principalele rezultate privind problema cuvântului pentru o teorie ecuațională, tratată ca sistem de rescriere a termenilor (TRS), inclusiv o versiune sintetică a algoritmului de completare Knuth-Bendix.

Abstract. In this paper we survey the main results concerning equations and the methods for reasoning about them like term rewriting systems (TRS). This TRS are used to reduce expressions to canonical form, if this form is unique. A simplified version of Knuth-Bendix completion algorithm is presented.

Like most surveys, ours does not contain any new results, but it gives an idea on the application of TRS to theorems proving. This paper is justified by the interest of this subject and it presents the most important things in the completion idea. Since the pioneering paper (Knuth and Bendix, 1970), which indroduced the algorithm Knuth-Bendix, and the influential papers (Huet and Oppen, 1980), there has been a great deal of research in this field. For an excellent survey see (Avenhaus, Madlener, 1990).

This paper is organized as follows: Chapter 1 presents

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equational systems and TRS, Chapter 2 the "critical-pair" idea and the "critical-pair" completion algorithm, and Chapter 3 some of the examples.

## 1. Introduction.

DEFINITION: An equational theory (F, V, E) consists of

- . a set F of function symbols or operators (with the same sort, for simplicity).
- . a set V of variables. Let T(F,V) be the set of terms built from F and V.
- . a set E of pair of equations, s=t, s,  $t \in T(F,V)$ .

The set of equations E defines a syntactical equality relation E on E on E on the concept of "replacing equals by equals". One has also a semantical (logic) definition in equational theory E denoted by: E = s = t.

The theorem of Birkhoff (1935) assures that both notions coincide:  $t_1 = t_2 \Leftrightarrow E = t_1 = t_2$ .

A fundamental problem is the "validity problem" or "word problem", which is undecidable in general:

"Give  $s,t \in T(F,V)$ , does s = t ?"

Obviously, the undecidability (more precisely, the semi-decidability) of the "word problem" is transferred on the approach by TRS. But this approach is, on the our opinion, more algorithmicaly.

DEFINITION: A TRS R is a set of rules:

 $R = \{1 \rightarrow r \mid 1, \ r \in T(F,V) \text{ every variable occurring}$  in term r also occurs in term  $l\}$ .

It defines a rewrite relation  $\frac{1}{R}$ :

DEFINITION:  $s_{R}^{-t}$  iff there is a rule  $l \rightarrow r$   $\epsilon$  R, an occurrence p in s, such that:

$$s/p=\sigma(1)$$
,  $t=s[p+\sigma(r)]$ .

for some substitution  $\sigma$ .

The relation  $\stackrel{\rightarrow}{R}$  is compatible with the term structure in T(F,V) (i.e.  $s \rightarrow t$  implies  $t_1[p \leftarrow s]$   $t_1[p \leftarrow t]$ ) and with the substitutions (i.e.  $s \rightarrow t$  implies  $\sigma(s) \stackrel{\rightarrow}{\sigma} \sigma(t)$  for each  $\sigma$ ).

We denote by  $\frac{1}{R}$ ,  $\frac{1}{R}$  the reflexive - transitive and reflexive - transitive - symmetric closure of  $\frac{1}{R}$ .

DEFINITION: The transfering of "word problem" to a TRS is:

"Given an equational theory E, compute an R such that s=t is equivalent to s=t ".

The problem of compute R is a "completion procedure because R is constructed step by step by collecting new rules in R, which is in the same time simplified as much as possible.

Let  $t \downarrow R$  (or  $t \downarrow$ ) normal form of t, that is such term with the properties:

- 1)  $t \stackrel{*}{\underset{R}{\rightarrow}} t \downarrow$
- 2) ti is irreducible.

If R has the properties that every term has a unique normal form, then:

because s + t is s + s = t + t

Fact: If in R every term t has a unique normal form then

$$s = t \text{ if } s \downarrow = t \downarrow$$

DEFINITION: A term rewriting system R with the property that every term has a unique normal form is convergent and it has the properties:

a) R is terminating (or Noetherian) that is it allows no infinite sequences:

$$t_1 \stackrel{\rightarrow}{R} t_2 \stackrel{\rightarrow}{R} t_3 \stackrel{\rightarrow}{\ldots}$$

(such that every term t has at least a normal form  $t\downarrow$ )

b) R is confluent, that is:  $t_1 \to t_2$ ,  $t_1 \to t_3$  implies that does exist u such that  $t_2 \to u$ ,  $t_3 \to u$  (every term t has at most a normal form  $t \downarrow$ ).

The properties 1 and 2 are, unfortunately, undecidable (Dershowitz, 1987). However, there are useful tools to prove termination, the most important ones are reduction orderings on T(F,V). An ordering > on T(F,V) is a reduction ordering if > is well founded, is compatible with the term structure and is compatible with substitutions.

THEOREM: A TRS R is terminating iff there is a reduction ordering > such that  $\ell$ >r for every rule  $\ell$ -r in R.

Due to a result of Newman (Newman, 1972) the confluence is, for terminating TRS, equivalent to the weaker property of local confluence, which is:  $t_1 \dot{t}_2$  and  $t_1 \dot{t}_2$ , implies that does exist u such that  $t_2 \dot{t}_2 u$ ,  $t_3 \dot{t}_2 u$ . Hence, a terminating R is confluent iff it is locally confluent.

# 2. Critical pair completion.

DEFINITION: Let  $\ell_1 \rightarrow r_1$  and  $\ell_2 \rightarrow r_2$  be two rules in R. By renaming of variables we may assume that they do not share common variables. If  $\sigma_1$  and  $\sigma_2$  are two substitutions, such that:

$$\sigma_1(\ell_1) = \sigma_2\ell_2)$$

then  $(\sigma_1(r_1), \sigma_2(r_2))$  is a critical pair for R. Let  $\operatorname{CP}(R)$  be the set of all critical pairs for R as equations. "Critical Pair Lemma" (Knuth and Bendix, 1970) say that:

For any TRS R, if  $t_1 \to t_2$  and  $t_1 \to t_3$  then either does exist a term u such that  $t_2 \to u$ ,  $t_3 \to u$  (if R is locally confluent) or  $t_1 = t_2$ .

Clearly, if for every  $(\alpha_1, \alpha_2) \in \operatorname{CP}(R)$  we have  $\alpha_1 \stackrel{*}{\rightarrow} \alpha_2$  or  $\alpha_2 \stackrel{*}{\rightarrow} \alpha_1$ , then R is locally confluent. This think can be tested. The completion procedure do this. The simplest form of a completion procedure is:

INPUT: A set E of equations, an reduction ordering >. OUTPUT: a) A TRS  $R_E$  convergent, such that

- b) FAILURE.
- c) The algorithm run forever.

## The Completion Algorithm:

 $R = \emptyset.$ 

If every equations in E can be oriented

$$R:=\{\ell \rightarrow r | \ell > r., \ell = r \in E\}$$

else

then

"FAILURE". STOP.

while

 $(t_1,t_2):=$  an element in CP(R)

It calculates  $t_1 \downarrow$  and  $t_2 \downarrow$ .

If  $t_1 \downarrow + t_2 \downarrow$ 

then

If neither  $t_1 \downarrow > t_2 \downarrow$  nor  $t_2 \downarrow > t_1 \downarrow$ 

then

"FAILURE" STOP

else

$$CP(R) = CP(R) \setminus \{(t_1, t_2)\}.$$

$$R = R \cup \{t_1 \downarrow \rightarrow t_2 \downarrow\}.$$

or

$$R = R \cup \{t_2 \downarrow \rightarrow t_1 \downarrow\}$$

else

$$CP(R) = CP(R) \setminus \{t_1, t_2\}.$$

STOP.

Some observations are immediatelly:

- a) If CP(R) is transformed in  $\emptyset$ , then the procedure stop successfully.
- b) The procedure may stop by "FAILURE" if R is not terminating.
- c) The procedure may run forever, if CP(R) can be not transformed in  $\emptyset$ .
- (CP(R) grows and degrows in a step).

3. Theorem proving examples. 1) Let E be given by  $E=\{e*x=x,\ i(x)*x=e,\ x*(y*z)=(x*y)*z\}$ , hence E are the axioms for a group.

If the ordering is i > \* > e, then R is, at beginning:

$$R\begin{cases} r_1 \colon e * x \to x \\ r_2 \colon i(x) * x \to e \\ r_3 \colon (x * y) * z \to n * (y * z) \end{cases}$$

From  $r_2$  and  $r_3$  we obtain:

$$x_4:i(x)*(x*y) \rightarrow y.$$

because:

$$(i(x)*x)*y \rightarrow e*y \rightarrow y$$
  
 $\cdot r_2 \qquad r_1$   
 $(i(x)*x)*y \rightarrow i(x)*(x*y)$   
 $r_2$ 

Hence, (i(x)\*(x\*y),y) is a critical pair and  $r_4$  is the new correspondent rule. At the end, we obtain the following TRS convergent:

R  $\{r_1, \ldots r_{10}\}$ , where  $r_1$ ,  $r_2$ ,  $r_3$ ,  $r_4$ , are the previously, and:

$$r_5: i(e) \rightarrow e$$

$$r_6: x*e \rightarrow x$$

$$r_2: i(i(x)) \rightarrow x$$

$$r_8: x*i(x) \rightarrow e$$

$$r_q: x*(i(x)*y) \rightarrow y$$

$$r_{10}: i(x*y) \to i(y) * i(x).$$

Thus, for example we have:

$$i(i(x*y)*e) * i(y*y)=i(y*i(x))$$

For second member:

$$i(y*i(x)) \stackrel{\cdot}{r_{10}} i(i(x)) * i(y) \stackrel{\cdot}{r_{7}} x*i(y)$$

Thus theorem i(i(x\*y)\*e)\*i(y\*y)=i(y\*i(x)) is proved, as each member have the same normal form x\*i(y).

In present, several very strong prover have been developed, such as REVE (Lescanne, 1984), RRL (Kapur et al. 1986) and the Large prover (Garland and Guttag 1989). A catalogue of theorems proved is given in (Hermann 1991). Some experiments with a completion theorem prover was made in (U. Martin and M. Lai, 1989). Another way for using TRS in theorem proving is that suggested by Hsiang (Hsiang 1985, Tătar 1992): The TRS denoted BA for Boolean Algebra. This is a rewrite-based method for first-order predicate calculus.

At the end of this short survey let list the best paper concerned TRS and Theorem proving.

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ON THE EQUIDISTANT DIVISION OF TWO-DIMENSIONAL SMOOTH CURVE

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REZUMAT.- Asupra divisării echidistante a unei curbe plane netede. Această notă prezintă o metodă de divizare echidistantă a unei curbe plane netede definită prin ecuația sa explicită, parametrică, polară sau printr-un tabel de puncte discrete. În finat este dată o aplicație de natură tehnică. Programarea formulei lui SIMPSON, aferentă unor integrări numerice, a fost făcută în limbajul HPL pentru un calculator de tipul HEWLETT-PACKARD 9826.

O. Introduction. Setting of Problem. For a two-dimensional smooth curve given by explicit, parametric, polar equations or by n data points, i.e.:

$$| y = f(x), \qquad x \in [x_0, x_n], \qquad (1)$$

$$\begin{vmatrix} x = x(t), \\ y = y(t), & t \in [t_0, t_n], \end{aligned}$$
 (2)

$$\mid r = r(\phi), \qquad \phi \in [\phi_0, \phi_n], \qquad (3)$$

and respective

$$\begin{vmatrix} (x_0, y_0), & (x_1, y_1), \dots, (x_n, y_n), \\ x_0 < x_1 < \dots < x_n, & n \in \mathbb{N}, \end{vmatrix}$$
 (4)

on search points coordinates  $(\alpha_i, \beta_i)$ ,  $i = \overline{0,m}$  which divide this curve in m equal arcs, or the distances between  $(\alpha_i, \beta_i)$  and  $(\alpha_{i+1}, \beta_{i+1})$ ,  $i = \overline{0, m-1}$  to be equal.

There are many technical applications of such problems. For example, in the last part of this paper is presented equidistant division of basis ellipse from gearings with elliptical gear wheels.

1. BRIBF THEORETICAL SPECIFICATIONS. SOLUTION OF PROBELM.

Let a plane smooth curve describe by the equations (1), (2) or

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(3) be given. For a value of x (t or  $\varphi$ ) may be obtained corresponding value of the function. If the curve is given by n data points (see (4)), first it is necessary an interpolation proceeding and for a value x the ordinate y is obtained as the value of the interpolating polinom at x.

Let & be the length of the curve. We have:

$$\ell = \int_{x_0}^{x_n} \sqrt{1 + [f'(x)]^2} \cdot dx = \int_{T_0}^{T_n} \sqrt{[x'(t)]^2 + [y'(t)]^2} \cdot dt =$$

$$= \int_{\phi_0}^{\phi_n} \sqrt{r^2 + [dr/d\phi]^2} \cdot d\phi,$$
(5)

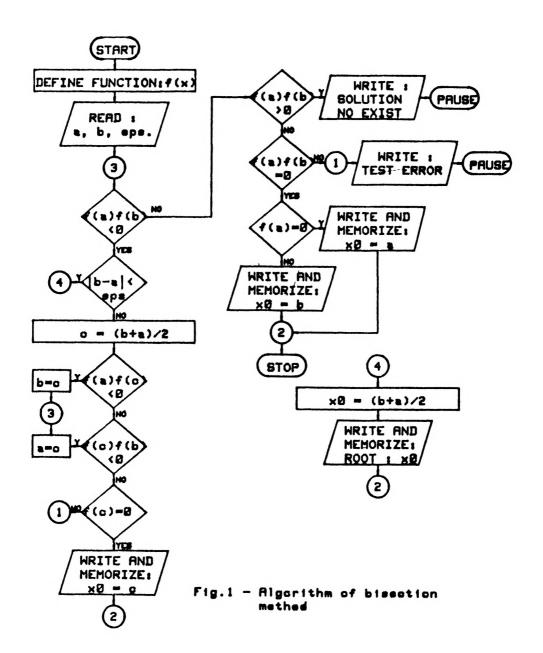
and respective:

$$\ell = \sum_{k=0}^{n-1} \int_{x_k}^{x_{k+1}} \sqrt{1 + [f_{s,k}(x)]^2} \cdot dx, \tag{6}$$

where  $f_{s,k}$  are elementary functions of cubic spline interpolation for n data points (4), [1], [2], [3], [4]. If it is necessary m division arcs, then length of elementary arc (10) is (1/m). Now we determine coordinates of the division points  $(\alpha_1, \beta_1)$ ,  $i = \overline{0,m}$ . The first point of division  $(\alpha_0, \beta_0)$  coincides with  $(x_0, y_0)$  and the last one  $(\alpha_m, \beta_m)$  coincides with  $(x_n, y_n)$ . The estimation of definite integrals and solution of transcendent equation are maked with numerical methods.

Thus, for integration we have 1/3 SIMPSON rule, [1], [3]:  $\int_{b}^{a} f(x) \cdot dx - (h/3) \cdot \{f(a) + 4 \cdot f(a+h) + 2 \cdot f(a+2h) + 4 \cdot f(a+3h) + \ldots + 4 \cdot f[a+(p-1)h] + f(a+ph)\},$  (7) where h = (b-a)/p.

This formula, applyed on each interval  $[\alpha_i, \alpha_{i+1}]$ , i = 0, m-1, with



$$\int_{a}^{a_{i+1}} f(x) \cdot dx = 10, \qquad i = \overline{0, m-1}$$

and  $\alpha_{i+1}$  unknown (initial value is  $\alpha_0 = x_0$  and maximum value is  $\alpha_m = x_n$ ), becomes:

$$(h/3) \cdot \{f(\alpha_i) + 4 \cdot f(\alpha_i + h) + 2 \cdot f(\alpha_i + 2h) + 4 \cdot f(\alpha_i + 3h) + \dots + \\ + 4 \cdot f[\alpha_i + (p-1)h] + f(\alpha_i + ph)\} - 10 = 0,$$
 (8) where  $h = (\alpha_{i+1} - \alpha_i)/p$ ,  $\alpha_0 = x_0$ ,  $\alpha_m = x_n$ ,  $i = 0, m-1$  and  $\alpha_{i+1}$  is

We remark that, in general, the function f has one of the following forms:

unknown value.

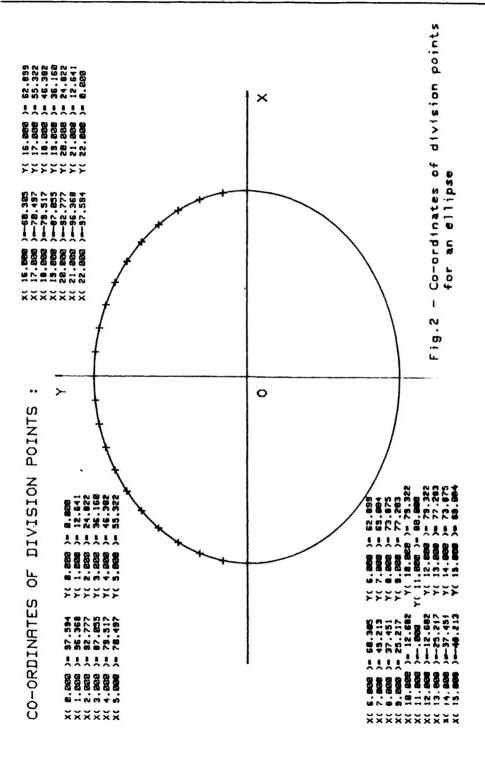
$$\sqrt{1 + [f'(x)]^2} \rightarrow f(x)$$
,  $\sqrt{[x'(t)]^2 + [y'(t)]^2} \rightarrow f(t)$ ,  $\sqrt{r^2 + (dr/d\phi)^2} \rightarrow f(\phi)$ ,  $\sqrt{1 + [f'_{s,k}(x)]^2} \rightarrow f(x)$  and

 $[t_0,t_n] \rightarrow [x_0,x_n]$ ,  $[\phi_0,\phi_n] \rightarrow [x_0,x_n]$  or  $[x_k,x_{k+1}] \rightarrow [x_0,x_n]$ For equation (8) we present a numerical solution by using bisection method where  $\alpha_i < \alpha_{i+1} < \alpha_m = x_n$ . In Fig. 1 is given the algorithm of this method.

The number of points, p, for SIMPSON rule depends on the  $\varepsilon$ -precision supplyed by the user. If for the estimation of the curve length  $\ell$ , we impose the precision  $\varepsilon/2$  and for each interval  $[\alpha_i, \alpha_{i+1}]$ , the precision  $\varepsilon/(2m)$  both from the estimation of number p and for the solution of the equation (8), the total error will be:

$$\varepsilon/2 + m \cdot \varepsilon/(2 \cdot m) = \varepsilon$$
 (9)

which may be considered as error for entire proceeding (7)-(8).



A subroutine for SIMPSON rule is presented by HPL, [5], program given in Table 1.

In this method the stop criterion is to obtain a maximum number of divisions for interval  $\{a,b\}$  or the difference between two successive values is less than  $\epsilon$ -tolerance supplyed by the user.

All parameters supplyed as input data for subroutine must be initialized in driver programme:

The user may by joint subroutine with any your programme and solution for integral value is stored in V variable.

Parameters and used variables:

## a) Input data:

- P maximum numbers of iterations;
- A lower bounds of definite integral;
- B upper bounds of definite integral;
- $f(x) \rightarrow Y$  which may be given by the user:
- E error tolerance (difference between two successive calculated values of integral.

### b) Output data:

V - approximative value for definite integral:  $\int_a^b f(x) \cdot dx$ .

## c) Working variables:

- D domain of abscissae;
- J, (J < P) index of iterations;
- ${\it Q}$  precedent value of integral (used for to stop criterion);

```
N - current number of intervals (N = 2^J);
```

$$C$$
 - size of interval  $[C = (B - a)/N];$ 

X - function argument;

Y - function value 
$$\{y = f(x)\};$$

R - the first and the last value of the integral.

## Table 1 - HPL Programme of SIMPSON rule

2: if 
$$(J+1->J)>P;Q->V$$
; ret

3: 
$$2^{J-N}$$
;  $((B-A)/N-C)+A-X$ ; gsb"Function";  $V+4Y-V$ ;  $A-D$ 

4: if 
$$(D+2C->D) < B$$
; gto 8

6: if 
$$abs(Q-V) < E$$
; ret

7: 
$$V -> Q; R -> V; gto 2$$

9: "Function"

10: 
$$72.5*V(1-X*X/(1041312))->Y$$
; ret

2. EXAMPLE. The described proceeding has been applicated for equidistant division of basis ellipse from gearings with elliptical gear wheels in paper industry (gearings axis has been situated in focus of ellipse). Such an example of division is given in Fig. 2, where it is shown coordinates of division points.

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