# STUDIA UNIVERSITATIS BABEȘ-BOLYAI

## **MATHEMATICA**

2

1986

CLUJ-NAPOCA

REDACTOR-SEF: Prof. A. NEGUCIOIU

REDACTORI-ȘEFI ADJUNCȚI: Prof. A. PAL, conf. N. EDROIU, conf. L. GHER

COMITETUL DE REDACTIE MATEMATICĂ: Prof. I. MARUȘCIAC, prof. P. CANU, prof. I. MUNTEAN, prof. I. A. RUS (redactor responsabil), D. D. STANCU, conf. GH. COMAN, conf. M. RADULESCU (secretar de rei

TEHNOREDACTOR: C. Tomonia-COTISEL

ANUL XXXI



1986

## STUDIA

### UNIVERSITATIS BABEȘ-BOLYAI

#### **MATHEMATICA**

2

Redacția: 3400 CLUJ NAPOCA, Str. M. Kogălniceanu 1 • Telefon 16101

#### SUMAR - SUMMARY - SOMMAIRE

T. BULBOACĂ, On Some Classes of Differential Subordinations (II)	P. STAVRE, P. ENGHIŞ, Spații D-H-recurente • D-H-Recurrent Spaces	3
M. DEACONESCU, A Fixed Point Theorem for Decreasing Functions	T. BULBOACĂ, On Some Classes of Differential Subordinations (II)	13
DO HONG TAN, A Generalization of a Coincidence Theorem of Hadžić		22
NGUYEN HUY VIET, A Fixed Point Theorem for Multi-Valued Functions of Contraction Types Without Hypothesis of Continuity		24
A. ABIAN, Some Nonnegative Determinants in Inner Product Spaces		
J. PAPADOPOULOS, Hypervaluability of a Ring  E. SCHEIBER, On the Convergence of a Method of Integrating Cauchy's Problems  I. CĂLUGĂR, On the Commutativity of Some Families of Closed Operations in a Heterogeneous Clone  P. PAVEL, Sur cetaines formules de quadrature optimales  Quadrature Formulas  Outerain Optimal  Quadrature Formulas  D.L. JOHNSON, Fundamental Theorem of Algebra for Generalized Polynomial  Monoaplines  D.A. BRANNAN, T.S. TAHA, On Some Classes of Bi-Univalent Functions  To  Recenzii — Book Rewiews — Comptes rendus  Measure Theory and Its Applications. Proceedings, Sherbrooke, Canada 1982, Lectures  Notes in Mathematics (S. COBZAS)  Complex Analysis — Methods, Treands and Applications (S. COBZAS)  M. M. Rao, Probability Theory and its Applications (S. COBZAS)  Conference on Applied Mathematics, Ljubljana, September 2—5, 1986 (I.A. RUS)  D.P. Parent, Exercices in Number Theory (D. ANDREICA)  A. Langenbach, Vorlesung zur höheren Analysis. Hochschulbücher für Mathematik		27
E. SCHEIBER, On the Convergence of a Method of Integrating Cauchy's Problems I. CÁLUGÁR, On the Commutativity of Some Families of Closed Operations in a Heterogeneous Clone  P. PAVEL, Sur cetaines formules de quadrature optimales on Certain Optimal Quadrature Formulas  Ouadrature Formulas  D.L. JOHNSON, Fundamental Theorem of Algebra for Generalized Polynomial Monoaplines  D.A. BRANNAN, T.S. TAHA, On Some Classes of Bi-Univalent Functions  TO  Recenzii — Book Rewiews — Comptes rendus  Measure Theory and Its Applications. Proceedings, Sherbrooke, Canada 1982, Lectures Notes in Mathematics (S. COBZAS)  Complex Analysis — Methods, Treands and Applications (S. COBZAS)  M. M. Rao, Probability Theory and its Applications (S. COBZAS)  Conference on Applied Mathematics, Ljubljana, September 2—5, 1986 (I.A. RUS)  D.P. Parent, Exercices in Number Theory (D. ANDREICA)  Langenbach, Vorlesung zur höheren Analysis. Hochschulbücher für Mathematik	A. ABIAN, Some Nonnegative Determinants in Inner Product Spaces	30
E. SCHEIBER, On the Convergence of a Method of Integrating Cauchy's Problems I. CÁLUGÁR, On the Commutativity of Some Families of Closed Operations in a Heterogeneous Clone  P. PAVEL, Sur cetaines formules de quadrature optimales on Certain Optimal Quadrature Formulas  Ouadrature Formulas  D.L. JOHNSON, Fundamental Theorem of Algebra for Generalized Polynomial Monoaplines  D.A. BRANNAN, T.S. TAHA, On Some Classes of Bi-Univalent Functions  TO  Recenzii — Book Rewiews — Comptes rendus  Measure Theory and Its Applications. Proceedings, Sherbrooke, Canada 1982, Lectures Notes in Mathematics (S. COBZAS)  Complex Analysis — Methods, Treands and Applications (S. COBZAS)  M. M. Rao, Probability Theory and its Applications (S. COBZAS)  Conference on Applied Mathematics, Ljubljana, September 2—5, 1986 (I.A. RUS)  D.P. Parent, Exercices in Number Theory (D. ANDREICA)  Langenbach, Vorlesung zur höheren Analysis. Hochschulbücher für Mathematik	J. PAPADOPOULOS, Hypervaluability of a Ring	34
geneous Clone  P. PAVEL, Sur cetaines formules de quadrature optimales • On Certain Optimal Quadrature Formulas	E. SCHEIBER, On the Convergence of a Method of Integrating Cauchy's Problems	38
geneous Clone  P. PAVEL, Sur cetaines formules de quadrature optimales • On Certain Optimal Quadrature Formulas	I. CĂLUGĂR, On the Commutativity of Some Families of Closed Operations in a Hetero-	
P. PAVEL, Sur cetaines formules de quadrature optimales ● On Certain Optimal Quadrature Formulas		44
Ouadrature Formulas  D.L. JOHNSON, Fundamental Theorem of Algebra for Generalized Polynomial Monoaplines  D.A. BRANNAN, T.S. TAHA, On Some Classes of Bi-Univalent Functions  Recenzii — Book Rewiews — Comptes rendus  Measure Theory and Its Applications. Proceedings, Sherbrooke, Canada 1982, Lectures Notes in Mathematics (S. COBZAS)  Complex Analysis — Methods, Treands and Applications (S. COBZAS)  M. M. Rao, Probability Theory and its Applications (S. COBZAS)  Mugo Stein haus, Selected Papers (S. COBZAS)  Conference on Applied Mathematics, Ljubljana, September 2—5, 1986 (I.A. RUS)  D.P. Parent, Exercices in Number Theory (D. ANDREICA)  A. Langenbach, Vorlesung zur höheren Analysis. Hochschulbücher für Mathematik	P. PAVEL, Sur cetaines formules de quadrature optimales   On Certain Optimal	
D.L. JOHNSON, Fundamental Theorem of Algebra for Generalized Polynomial Monoaplines	Ouadrature Formulas	50
Monoaplines	D.L. IOHNSON, Fundamental Theorem of Algebra for Generalized Polynomial	
D.A. BRANNAN, T.S. TAHA, On Some Classes of Bi-Univalent Functions		55
Recenzii — Book Rewiews — Comptes rendus  Measure Theory and Its Applications. Proceedings, Sherbrooke, Canada 1982, Lectures Notes in Mathematics (S. COBZAS)		
Measure Theory and Its Applications. Proceedings, Sherbrooke, Canada 1982, Lectures Notes in Mathematics (S. COBZAŞ)		• •
Measure Theory and Its Applications. Proceedings, Sherbrooke, Canada 1982, Lectures Notes in Mathematics (S. COBZAŞ)		
Notes in Mathematics (S. COBZAŞ)  Complex Analysis — Methods, Treands and Applications (S. COBZAŞ)  M. M. Rao, Probability Theory and its Applications (S. COBZAŞ)  Hugo Steinhaus, Selected Papers (S. COBZAŞ)  Conference on Applied Mathematics, Ljubljana, September 2—5, 1986 (I.A. RUS)  Discrete Geometry and Convexity (A.B. NEMETH)  D.P. Parent, Exercices in Number Theory (D. ANDREICA)  A. Langenbach, Vorlesung zur höheren Analysis. Hochschulbücher für Mathematik	Recenzii — Book Rewiews — Comptes rendus	
Notes in Mathematics (S. COBZAŞ)  Complex Analysis — Methods, Treands and Applications (S. COBZAŞ)  M. M. Rao, Probability Theory and its Applications (S. COBZAŞ)  Hugo Steinhaus, Selected Papers (S. COBZAŞ)  Conference on Applied Mathematics, Ljubljana, September 2—5, 1986 (I.A. RUS)  Discrete Geometry and Convexity (A.B. NEMETH)  D.P. Parent, Exercices in Number Theory (D. ANDREICA)  A. Langenbach, Vorlesung zur höheren Analysis. Hochschulbücher für Mathematik	Measure Theory and Its Applications, Proceedings, Sherbrooke, Canada 1982, Lectures	
Complex Analysis — Methods, Treands and Applications (S. COBZAS)	Notes in Mathematics (S. COBZAS)	78
M. M. Rao, Probability Theory and its Applications (S. COBZAȘ)		
Hugo Steinhaus, Selected Papers (S. COBZAS)		
Conference on Applied Mathematics, Ljubljana, September 2-5, 1986 (I.A. RUS)	Hugo Steinhaus Selected Paners (S. CORZAS)	
Discrete Geometry and Convexity (A.B. NEMETH)		
D.P. Parent, Exercices in Number Theory (D. ANDREICA)		
A. Langenbach, Vorlesung zur höheren Analysis. Hochschulbücher für Mathematik	DP Parent Exercises in Number Theory (D. ANDREICA)	
(P. SZILA(†Y1)	(P. SZILAGYI)	79

#### SPAŢII D—H-RECURENTE

#### P. STAVRE\*, P. ENGHIS\*\*

Inital in redactie: 14.1.1983

**ABSTRACT.** – **D**-**H**-**Recurrent Spaces.** The results in [3], [4] and [9] are further carried in the present paper. The D-H-recurrences (2,1), (3,4), (4,1), (5,2), (6,1) established and the relationships between D-H-recurrences tensors  $\mathbf{H}_{ijk}^k$  (3,9), (4,5), (5,7), (5,8), (6,4), (6,8), (6,9) are evidenced, showing

that they are analogue to those between the tensors for which the D-H-recurrence has been defined.

Introducere. În prezenta lucrare se fac extinderi a rezultatelor din [3], [4], [9].

Se stabilesc D-H-recurențele și se pun în evidență relațiile dintre tensorii de D-H-recurență  $H_{ijh}^h$  arătînd că ele sînt analoge cu cele dintre tensorii pentru care s-a definit D-H-recurență.

§ 1. Fie L o varietate diferențiabilă de calsă  $C^{\infty}$  înzestrată cu o metrică riemanniană g de componente  $g_{ij}$  într-o hartă locală  $(u; x^i)$ . Vom nota cu  $\nabla$ , conexiunea Levi-Civita corespunzătoare, de coeficienți  $\begin{cases} i\\jk \end{cases}$  în harata locală  $(u, x^i)$ , prin  $R^i_{jkk}$  componentele tensorului de curbură [Î], prin  $R_{ij} = R^s_{ijs}$  tensorul lui Ricci iar prin  $R = g^{ij} R_{ij}$  curbura scalară.

O conexiune D semisimetrică metrică în  $L_n[2]$ , [14], în harta locală considerată  $(u, x^i)$ , are coeficienții:

$$\Gamma^{i}_{jk} = \begin{Bmatrix} i \\ jk \end{Bmatrix} + \omega_{j} \delta^{i}_{k} - g_{jk} \omega^{i}$$
 (1.1)

$$\omega^i = g^{is}\omega_s \tag{1.2}$$

şi

$$T_{jk}^{i} = \omega_{j} \delta_{k}^{i} - \omega_{k} \delta_{j}^{i} \tag{1.3}$$

$$g_{ij/k}=0 (1.4)$$

unde T este tensorul de torsiune a lui D iar prin / s-a notat derivarea covariantă în raport cu D.

<sup>\*</sup>Universitatea din Cluj-Napoca, Facultatea de Matematică-Fixică, Catedra de matematică, 3400 Cluj-Napoca

<sup>\*\*</sup>Universitatea din Craiova, Facultatea de Științe economice, 1100 Crai ova, România

Fie  $R^i_{jkh}$  tensorul de curbură a lui D,  $R_{ij} = R^s_{ijs}$  tensorul lui Ric  $R^i = g^{ij} R_{ij}$  curbura scalară,  $\overline{T}^i_{jkh}$  tensorul D-concircular de curbură [ll  $\overline{Z}^i_{jkh}$  tensorul D-coharmonic de curbură [11],  $\overline{P}^i_{jkh}$  tensorul D-pi iectiv de curbură [10] și  $\overline{C}^i_{jkh}$  respectiv tensorul de curbură conformă lui D.

Dacă D este o conexiune K. Yano (adică  $\bar{R}^i_{jkh} = 0$ ) atunci g este conform plată [14] și avem :

$$\omega_{i,j} - \omega_{j,i} = 0 \tag{1}$$

unde prin virgulă s-a notat derivata covariantă în raport cu V.

Din (1,5) rezultă relațiile echivalente [6] 
$$\omega_{i|j} - \omega_{j|i} = 0$$
,  $\partial_i \omega_j - \partial_j \omega_i = 0$ ,  $T_{i|j} - T_{j|i} = 0$ ,  $T_{ij|k} = 0$ , (div T=0),  $d\omega = 0$ , ( $\omega$  închisi (1.

Dacă D este mai generală ca o conexiune K. Yano,  $\bar{R}^i_{jkk} \neq 0$ , d tensorul D-concircular de curbură [11],  $\bar{T}^i_{jkh}$  este nul, atunci pent  $n \geq 3$ , g este conform plată [7] și avem

$$R_{lr} - 2\omega R = 0, R_{lr} = \partial_r R$$
 (1.)

deci [4], [7] rezultă  $\bar{R}^s_{ijk}$  recurent respectiv D-recurent [4] cu cove tor de recurență  $2\omega$ 

$$R^i_{jkh,r} = 2\omega_r R^i_{jkh} = R^i_{jkhlr}$$
 (1)

Avem deci

PROPOZIȚIA 1.1. D-conexiunile ce nu sînt conexiuni K. Yano și tensorul D-circular nul, sînt D-recurente cu covector 2ω.

§ 2. DEFINIȚIA 1. Vom spune că spațiul  $(L_n, D)$  este D-H-n curent, dacă

$$R_{jkh/r}^{i} = \sigma_{r}R_{jkh}^{i} + H_{jkh}^{i}(R_{/r} - \sigma_{r}R)$$
 (2.1)

unde  $\sigma_r$  este un convector iar  $H_{jkh}^i$  un tensor de tip (1; 3).

Din (2.1) rezultă

$$\bar{R}_{jk/r} = \sigma_r \bar{R}_{jk} + H_{jk}(\bar{R}_{/r} - \sigma_r \bar{R}) \tag{22}$$

unde  $H_{jk} = H^i_{jki}$  și spațiul se va numi D-H-Ricci-recurent.

Observația 1. Un spațiu D-H-recurent este și D-H-Ricci recurent reciproca nefiind în general adevărată.

Notind  $H = g^{jk}H_{jk}$  din (2.2) rezultă

$$\bar{R}_{/r} - \sigma_r \bar{R} = H(\bar{R}_{/r} - \sigma_r \bar{R}) \tag{2.3}$$

și dacă  $(L_n, g)$  este D-H-recurent propriu  $(R_{/r} - \sigma_r R \neq 0)$  atunci H = 1 și avem

PROPOZIȚIA 2.1. Intr-un spațiu  $(L_n, D)$  D-H-recurent propriu avem  $H=g^{ij}H_{ij}=1$ .

Este evident că un spațiu  $(L_n, D)$ , D-H-recurent în care  $\overline{R}_{|r} - \sigma_r \overline{R} = 0$  este D-recurent [4] cu același covector  $\sigma_r$ .

Să presupunem că  $(L_n, D)$  este D-H-recurent de covector  $\sigma$  și D-recurent de covector  $\tilde{\sigma} \neq \sigma$ 

$$R^{i}_{jkh/r} = \bar{\sigma}_{r} R^{i}_{jkh} \tag{2.4}$$

Din (2.1) și (2.4) rezultă

$$(\overline{\sigma}_{r} - \sigma_{r})\overline{R}_{jkh}^{i} = H_{jkh}^{i}(\overline{R}_{/r} - \sigma_{r}\overline{R})$$
 (2.5)

și cum din (2.4) avem  $\overline{R}_{lr} = \overline{\sigma}_r \, \overline{R}$ , din (2.5) rezultă

$$(\bar{\sigma}_r - \sigma_r)\bar{R}^i_{jkk} = \bar{R}H^i_{jkk}(\bar{\sigma}_r - \sigma_r)$$
 (2.6)

și în ipoteza în care lucrăm  $\bar{\sigma} \neq \sigma$ , deducem:

$$\bar{R}^{i}_{jkh} = \bar{R}H^{i}_{jkh} \tag{2.7}$$

ier din (2.1) și (2.7) pentru ō, rezultă

$$\bar{\sigma}_r = \partial_r \ln \bar{R}$$
 (2.8)

Avem deci

PROPOZIȚIA 2.2. Într-un spațiu  $(L_n, D)$  D-H-recurent de vector  $\sigma$  și D-recurent de vector  $\overline{\sigma} \neq \sigma$ , tensorul de D-H-recurență este dat de (2.7), iar  $\overline{\sigma}$  verifică (2.8).

COROLAR 2.1. Din (2.1) și (2.7) rezultă pentru  $R \neq 0$ ,

$$H_{jkh/r}^i = 0 (2.9)$$

COROLAR 2.2. Dacă spațiul  $(L_n, D)$  este D-recurent de vector  $\overline{\sigma}$ , dat de (2.8) el este și D-H recurent de tensor de H recurență dat de (2.7) iar  $\sigma$ , arbitrar.

În particular dacă  $\omega = 0$ , avem  $D = \nabla$  și se obțin rezultatele din [9]. Considerînd tensorul D-concircular de curbură

$$\bar{T}^i_{jkh} = \bar{R}^i_{jkh} - \bar{R} \quad H^i_{jkh}$$
(2.10)

unde

$$H_{jkh}^{i} = \frac{1}{n(n-1)} (g_{jk} \delta_{h}^{i} - g_{jh} \delta_{k}^{i})$$
 (2.1)

dacă spațiul  $(L_n, D)$  are proprietatea  $\overline{F}_{jkh}^i = 0$  și  $n \ge 3$ , atunci confor propoziției 1.1 rezultă (1.8) și dacă  $(L_n, D)$  este D-H recurent propravem  $\sigma \ne 2\omega$ , iar din (2.7) rezultă

$$H^{i}_{jkh} = H^{i}_{jkh} \tag{2.1}$$

Sept. 199

Avem deci:

PROPOZIȚIA 2.3. Dacă D este o conexiunc scmi-simetrică mai general o conexiune K. Yano, dar cu tensorul D-concircular de curbură nul spațiul  $(L_n, D)$  este D-H-recurent, atunci avem (2.12).

Observația 2.  $\overline{R} \neq 0$ , deoarece din anularea tensorului D-concieul de curbură ar rezulta  $\overline{R}^i_{jkh} = 0$  și conexiunea ar fi K. Yano. La fel  $\overline{R}^i \neq \text{const.}$  deoarece din (1.7) ar rezulta  $\omega_r = 0$  și D ar fi egală cu  $\nabla$ .

Observația 3. Proprietatea 2.3 are loc pentru  $D \neq \nabla$ . Pentru D = adică  $\omega = 0$  din anularea tensorului concircular de curbură pentru  $n \geqslant$  din faptul că curbura riemanniană este constantă, rezultă R = const. deci  $R_{jkh,r}^i = 0$ , de unde:

COROLAR 2.3. Dacă  $(L_n, \nabla)$  este  $\nabla -H$  recurent, atunci spațiul nu po fi recurent cu convector de recurență  $\sigma \neq \sigma$ .

Fie pentru D, tensorul lui Einstein

$$E_{ij} = R_{ij} - \frac{R}{n} g_{ij} \tag{2}$$

Evident, dacă  $\bar{T}^i_{jkh}=0$  avem  $\bar{E}_{ij}=0$ . Să presupunem deci  $\bar{T}^i_{jkh}\neq 0$  că  $\bar{E}_{ii}$  este D-recurent

$$\bar{E}_{ij|r} = \sigma_r \; \bar{E}_{ij} \tag{2.1}$$

Din (2.2), (2.13), (2.14) rezultă

$$(R_{lr} - \sigma_r R) \left( H_{ij} - \frac{1}{n} g_{ij} \right) = 0 \tag{2.1}$$

de unde avem

$$H_{ij} = \frac{1}{n} g_{ij} = H_{ij} \tag{2.1}$$

Invers, din (2.2) și (2.16) rezultă (2.14), deci avem:

PROPOZIȚIA 2.4. Dacă  $(L_n, D)$  este D-H-Ricci recurent, atunci condiț necesară și suficientă ca tensorul lui Einstein să fic D-recurent este (2.16) COROLAR 2.4. Dacă  $(L_n, D)$  este D-H recurent atunci (2.16) este condiția necesară și suficientă ca tensorul E (2.13) să fie D-recurent cu același covector de recurență.

§3. Fie  $Z_{jkk}^i$  tensorul coharmonic de curbură [5] și  $\bar{Z}_{jkk}^i$  tensorul *D*-coharmonic de curbură [8], [11]. Avem [4],

$$C_{jkh}^{i} = Z_{jkh}^{i} + \frac{n}{n-2} R H_{jkh}^{i}$$
 (3.1)

$$C_{jhh}^{i} = \overline{Z}_{jhh}^{i} + \frac{n}{a-2} \overline{R} H_{jhh}^{i}$$

$$\tag{3.2}$$

unde  $H_{jkk}^{i}$  este dat de (2.11).

Cum avem [12]  $C_{jkh}^i = \overline{C}_{jkh}^i$ , din (3.1) și (3.2) rezultă

PROPOZIȚIA 3.1. Intre tensorul coharmonic de curbură al lui  $\nabla$  și D, există relația

$$\bar{Z}^{i}_{jhh} - Z^{i}_{jhh} = \frac{1}{n-2} H^{i}_{jhh}(R - \bar{R})$$
 (3.3)

DEFINIȚIA 2. Vom spune că spațiul  $(L_n, D)$  este D-H-coharmonic recurent dacă

$$\bar{Z}^{i}_{jhh/r} = \sigma_{r}\bar{Z}^{i}_{jhh} + H^{i}_{\gamma jhh}(\bar{R}_{/r} - \sigma_{r}\bar{R})$$
 (3.4)

unde  $\sigma_r$  este un convector și  $H^i_{jkh}$  un tensor de tip (1.3) cu proprietatea

$$H_{ijs}^{s} = H_{ij} = -\frac{1}{n-2}g_{ij}. \tag{3.5}$$

Din (3.4) rezultă

$$\left(-\frac{1}{n-2}g_{ij}-H_{ij}\right)(R_{/r}-\sigma_{r}\bar{R})=0$$
 (3.6)

și dacă  $H_{ij}$  ar fi diferit de  $-\frac{1}{n-2}g_{ij}$  ar rezulta  $\bar{R}_{jr}-\sigma_{jr}\bar{R}_{n}=0$  și deci [4] spațiul  $(L_{n}, D)$  ar fi D-coharmonic recurent.

Din (3.5) rezultă

$$H = g^{ij}H_{ij} = -\frac{n}{n-2} \tag{3.7}$$

Să presupunem spațiul  $(L_n, D)$ , D-H-recurent (2.1). Derivînd covariant în raport cu D tensorul D-coharmonic  $Z_{ijk}^k$  și ținînd seama de (2.1) și (2.2) avem:

$$\bar{Z}_{ijk/r}^{h} = \sigma_{r} \bar{Z}_{ijk}^{h} + \left[ H_{ijk}^{h} + \frac{1}{n-2} \left( H_{ik} \delta_{j}^{h} - H_{ij} \delta_{k}^{h} + g_{ik} H_{j}^{h} - g_{ij} H_{k}^{h} \right) \right] \cdot \left[ \bar{R}_{lr} - \sigma_{r} \bar{R} \right]$$
(3.8)

unde  $H_i^h = g^{hs} H_{si}$ 

Din (3.8) rezultă:

PROPOZIȚIA 3.2. Un spațiu  $(L_n, D)$ , D-H-recurent este și D-H-coharmonic recurent cu același covector  $\sigma$  și cu tensor  $H^i_{jhh}$  de H-recurență dat de

$$H_{ijk}^{k} = H_{ijk}^{h} + \frac{1}{n-2} \left( H_{ik} \delta_{j}^{h} - H_{ij} \delta_{k}^{h} + g_{ik} H_{j}^{h} - g_{ij} H_{k}^{h} \right)$$
 (3.9)

Reciproc, din (3.4) și (2.2) rezultă:

PROPOZIȚIA 3.3. Un spațiu  $(L_n, D)$ , D-H-coharmonic recurent este D-H-recurent, dacă și numai dacă este D-H-Ricci-recurent cu același covector  $\sigma$ , și tensor de D-H-Ricci-recurență  $H_{ij}$ . Tensorul  $H^i_{jkh}$  de D-H-recurență fiind dat de (3.9).

Dacă  $\omega = 0$ , atunci  $D = \Delta$  și  $\bar{Z}^i_{jkh} = Z^i_{jkh}$  și se obțin rezultatele din [9].

Există un  $H^{i}_{jkh}$  cu proprietatea (3.5) dat de

$$H_{jkh}^{i} = -\frac{n}{n-2}H_{jkh}^{i}$$
 (3.10)

§4. Fie  $\overline{T}_{jkh}^i$ , tensorul *D*-concircular de curbură (2.10).

DEFINIȚIA 3. Spațiu.  $(L_n, D)$  este D-H concircular recurent, dacă

$$\overline{T}^{i}_{jkh/r} = \sigma_{r} \, \overline{T}^{i}_{jkh} + H^{i}_{jkh}(\overline{R}_{/r} - \sigma_{r}\overline{R}) \qquad (4.1)$$

cu H = 0, unde  $H = g^{ij}H_{ij}$ ;  $H_{ij} = H^s_{ijs}$ .

Din (4.1) rezultă

$$\bar{T}_{ij|r} = \sigma_r \bar{T}_{ij} + H_{ij}(\bar{R}_{|r} - \sigma_r \bar{R}) \qquad (4.2)$$

unde 
$$\bar{T}_{ij} = \bar{T}^s_{ijs} = \bar{E}_{ij}$$
 (4.3)

iar din (4.2) avem

$$H(\bar{R}_{/r}-\sigma_{r}\,\bar{R})=0$$

și dacă H ar fi diferit de zero, ar rezulta, [4], spațiul  $(L_n, D)$ , D-concircular recurent. Prin urmare condiția H = 0 în (4.1) este esențială.

© Dacă presupunem spațiul D-H-recurent, derivînd covariant (2.10) n raport cu D și ținînd seama de (2.1) obținem:

$$\bar{T}^{i}_{jkh/r} = \sigma_{r}\bar{T}^{i}_{jkh} + (H^{i}_{jkh} - H^{i}_{jkh})(\bar{R}_{/r} - \sigma_{r}\bar{R})$$

$$(4.4)$$

și reciproc. Avem deci:

PROPOZIȚIA 4.1. Orice spațiu  $(L_n, D)$ , D-H-recurent este și D-H concircular recurent și reciproc. Intre tensorii de D-H-recurență și D-H-concircular recurență avem relația

$$H_{jkh}^{j} = H_{jkh}^{i} - H_{jkh}^{i}$$
 (4.5)

COROLAR 4.1. Condiția necesară și suficientă ca  $(L_n, D)$  să fie D-H-concircular recurent este ca  $(L_n, D)$  să fie D-H-recurent.

COROLAR 4.2. Condiția necesară și suficientă ca spațiul  $(L_n, D)$  concircular recurent să aibă tensorul lui Einstein recurent, este ca  $H_{ij} = 0$ .

§. 5 Pentru o conexiune semi-simetrică D în [10] se stabilesc transformările proiective de conexiune care au ca invariant tensorul

$$\overline{W}_{ijk}^{s} = \overline{R}_{ijk}^{s} - \frac{1}{m-1} \left( \delta_{k}^{s} \overline{R}_{ij} - \delta_{j}^{s} \overline{R}_{ik} \right)$$
 (5.1)

analog cu tensorul proiectiv de curbură a lui Weyl, iar în [9] se stabilesc condițiile în care  $\overline{W}_{ijk}^s$  este egal cu tensorul proiectiv de curbură a lui Weyl pentru  $\nabla$ .

DEFINIȚIA 4. Vom spune că spațiul  $(L_n, D)$  este D-H-proiectiv recurent, dacă

$$\overline{W}_{ijk/r}^{s} = \sigma_{r} \overline{W}_{ijk}^{s} + H_{ijk}^{s} (\overline{R}_{/r} - \sigma_{r} \overline{R})$$
(5.2)

cu 
$$H=0$$
, unde  $H=g^{ij}H_{ij}$ ,  $H_{ij}=g_{si}H^s_j$  iar  $H^s_k=g^{ij}H^s_{ijk}$ .

Dacă în (5.1) înmulțim contractat cu gij avem

$$\overline{W}_{k}^{s} = \frac{n}{n-1} \left( \overline{R}_{k}^{s} - \frac{\overline{R}}{n} \, \delta_{k}^{s} \right) \tag{5.3}$$

unde

$$\overline{W}_{k}^{s} = g^{ij} \overline{W}_{ijk}^{s} \tag{5.4}$$

$$\overline{W}_{jk} = g_{js}W_k^s = \frac{n}{n-1}\overline{E}_{jk} \tag{5.5}$$

Din (2.13) și (5.1) rezultă

$$\overline{W}_{ijk/r}^{s} - \sigma_{r} \overline{W}_{ijk}^{s} = \overline{R}_{ijk/r}^{s} - \sigma_{r} \overline{R}_{ijk}^{s} - (6.6)$$
(5.6)

$$-\frac{1}{n-1}\left[\delta_k^s\left(\overline{E}_{ij/r}-\sigma_r\overline{E}_{ij}\right)-\delta_j^s\left(\overline{E}_{ik/r}-\sigma_r\overline{E}_{ik}\right)\right]-H^s_{ijk}\left(\overline{R}_{/r}-\sigma_r\overline{R}\right)$$

iar folosind (4.2), (4.3), (4.4) și (5.6) rezultă:

DH

PROPOZIȚIA 5.1. Orice spațiu D-H-concircular recurent este D-H-projectiv recurent cu

$$H_{ijk}^{s} = H_{ijk}^{s} - \frac{1}{n-1} \left( \delta_{k}^{s} H_{ij} - \delta_{j}^{s} H_{ik} \right) \quad (5.7)$$

Reciproc, dacă în (5.2) înmulțim contractat cu  $g^{ij}$ , în baza lui (4.3) și (5.5) avem:

PROPOZIȚIA 5.2. Orice spațiu  $(L_n, D)$  D-H-proiectiv recurent este și D-H-concircular recurent cu

$$H_{ijk}^{s} = H_{ijk}^{s} + \frac{1}{n} \left( \delta_{k}^{s} H_{ij} - \delta_{j}^{s} H_{ik} \right) \qquad (5.8)$$

COROLAR 5.1. Spațiile  $(L_n, D)$  sînt în același timp, D-H-recurente, D-H-concircular recurente și D-H-projectiv recurente. Intre tensorii de D-H-recurență existind relațiile: (4.5), (5.7), (5.8).

COROLAR 5.2. Dacă în spațiul  $(L_n, D)$  tensorul lui Einstein este D-recurent, atunci între tensorii de D-H-proiectiv recurență și D-H concircular recurență avem

$$H_{jkh}^{i} = H_{jkh}^{i} \tag{5.9}$$

În particular pentru  $\omega = 0$ ,  $D = \Delta$ , obținem rezultatul din [9], iar pentru  $H_{3jh}^i = 0$ , obținem rezultatele din [4].

§ 6. Fie  $\overline{C}_{jkh}^i$  tensorul *D*-conform de curbură.

DEFINIȚIA 5. Vom spune că spațiul  $(L_n, D)$  este D-H-conform recurent (n > 3), dacă

$$\overline{C}_{ijk/r}^{s} = \sigma_{r} \overline{C}_{ijk}^{s} + H_{ijk}^{s} (\overline{R}_{/r} - \sigma_{r} \overline{R}) \qquad (6.1)$$

$$\operatorname{cu} \ \mathop{H_{ij}}_{5} = \mathop{H_{ijs}^{s}}_{5} = 0.$$

Dacă D este mai generală decît o conexiune K. Yano și tensorul D-concircular de curbură nu este nul  $\overline{T}_{jkh}^i \neq 0$ , între  $\overline{C}_{ijk}^s$  și  $\overline{T}_{ijk}^s$  există o

relație [11] analoagă cu cea dintre  $\bar{C}^s_{ijk}$  și  $T^s_{ijk}$  [3] și anume:

$$C_{ijk}^{s} = \overline{T}_{ijk}^{s} + \frac{1}{n-2} \left( \overline{T}_{ik} \delta_{j}^{s} - \overline{T}_{ij} \delta_{k}^{s} + g_{ik} \overline{T}_{j}^{s} - g_{ij} \overline{T}_{k}^{s} \right) = C_{ijk}^{s}$$
(6.2)

unde  $\overline{T}_{j}^{s} = g^{jk} \overline{T}_{kj}$ . De unde

$$\overline{C}_{ijk}^{s} - \sigma_{r} \overline{C}_{ijk}^{s} = \overline{T}_{ijk/r}^{s} - \sigma_{r} \overline{T}_{ijk}^{s} + \frac{1}{n-2} \left[ \delta_{j}^{s} (\overline{E}_{ik/r} - \sigma_{r} \overline{E}_{ik}) - \delta_{k}^{s} (\overline{E}_{ij/r} - \sigma_{r} \overline{E}_{ij}) + g_{ik} (\overline{E}_{j/r}^{s} - \sigma_{r} \overline{E}_{j}^{s}) - g_{ij} (\overline{E}_{k/r}^{s} - \sigma_{r} \overline{E}_{k}^{s}) \right]$$
(6.3)

Dacă spațiul  $(L_n, D)$  este D-H-concircular recurent, din (4.1), (4.2), (4.3) și (6.3) rezultă (6.1) cu

$$H_{ijk}^{s} = H_{ijk}^{s} + \frac{1}{n-2} \left( \delta_{j}^{s} H_{ik} - \delta_{k}^{s} H_{ij} + g_{ik} H_{j}^{s} - g_{ij} H_{k}^{s} \right)$$
(6.4)

De unde

PROPOZIȚIA 6.1. Dacă spațiul  $(L_n, D)$  este D-H concircular recurent, atunci este D-H-conform recurent cu tensor de D-H recurență dat de (6.4).

COROLAR 6.1. Orice spațiu  $(L_n, D)$  D-H-concircular recurent cu tensorul lui Einstein D-recurent cu același covector  $\sigma$ , este în acclași timp D-H conform recurent și D-H projector recurent cu

$$H_{ijk}^s = H_{ijk}^s = H_{ijk}^s$$

COROLAR 6.2. Dacă spațiul  $(L_n, D)$  este D-H-recurent, atunci din propoziția 4.1 și corolarul 6.1 rezultă că spațiul  $(L_n, D)$  este D-H-conform recurent cu  $H^s_{ijk}$  dat de (6.4) și  $H^s_{ijk}$  dat de (4.5).

Din (3.2) rezultă

$$\overline{C}_{ijk/r}^s = \overline{Z}_{ijk/r}^s + \frac{n}{n-2} R_{lr} H_{ijk}^s$$
(6.6)

sau

$$\overline{C}_{ijk/r}^{s} - \sigma_{r}\overline{C}_{ijk}^{s} = \overline{Z}_{ijk/r}^{s} - \sigma_{r}\overline{Z}_{ijk}^{s} + \frac{n}{n-2}H_{ijk}^{s}(\overline{R}_{/r} - \sigma_{r}\overline{R})$$
 (6.7)

De unde

PROPOZIȚIA 6.2. Condiția necesară și suficientă ca un spațiu  $(L_n, D)$  să fie D-H-conform recurent (n>3) este ca spațiul  $(L_n, D)$  să fie D-H-coharmonic recurent cu

$$H_{ijk}^{s} = H_{ijk}^{s} + \frac{n}{n-2} H_{ijk}^{s} \tag{6.8}$$

COROLAR 6.3. Un spațiu D-H conform recurent (n > 3) este Drecurent, dacă și numai dacă este D-H-Ricci recurent cu același covector

COROLAR 6.4. Condiția necesară și suficientă ca spațiul (L, D) fie D-conform recurent este ca  $(L_n, D)$  să fie D-H coharmonic recurent  $H_{ijk}^{s}$  dat de (3.10), [4].

COROLAR 6.5. Dacă  $(L_n, D)$  este D-H-concircular recurent, atunci e D-H coharmonic recurent cu

$$H_{ijk}^{s} = H_{ijk}^{s} - \frac{n}{n-2} H_{ijk}^{s}$$
 (6

unde

$$H_{ijk}^s$$
 este dat de (6.4).

Observația 4. Relațiile între tensorii de D-H-recurență (3.9), (4. (5.7), (4.8), (6.4), (6.8) sînt analoage cu relațiile ce există între tensc pentru care s-a definit D-H-recurența.

#### BIBLIOGRAFIE

- 1. Fisenhart L. P., Riemannian Geometry, Princeton Univ. Press, 1949.
- 2. Eisenhart L. P., Non Riemannian Geometry, American Math. Soc. New York 1927.
- 3. Enghis, P., Relations entre des espaces riemenniens a tenseur récurrents, Studia Un
- Babes-Bolyai. Math. XXV. 1 (1980), 67-72.

  4. Enghis P., Stavre P., Problèmes de récurrence pour connexions semi-symétriques, Univ. Babes-Bolyai, Sub tipar. Studia
- 5. Ishii I. On conharmonic transformations, Tensor N.S. 7,2 (1957), 70-80.
- 6. Stavre P., Asupra formei canonice a unei conexiuni, Studii și cercetări mat. Bu rești, tom 22,1 (1970), 73-80.
- 7. Stavre P., Proprietăți ale conexiunilor semi-simetrice metrice cu tensor D-concircu nul, (Va apare).
- 8. Stavre P., On te S-concircular and S-coharmonic connections, Tensor N.S. Japan ( appar).
- 9. Stavre P., L'espaces Riemanniens H-récurrents, Studia Univ. Babes-Bolyai Math matica, Cluj-Napoca (va apare).
- 10. Stavre P., Asupra nuor conexiuni speciale pe varietăți diferențiabile, Colocviul nu nal de geometrie și topologie, Bușteni iunie 1981.
- 11. Stavre P., On the D-concircular and D-coharmonic transformations, Tensor N.S.
- 12. Stavre P., Smaranda D., On the associate index of a semisymetric metric consist Colovium Nat. de Geometrie Gh. Vrînceanu, 1980.
- 13. Yano K, Concircular Geometry I., Math. Ist. Tokyo., Imperial Univ., vol 16 (1986) 195 - 200.
- 14. Yano K., On semi-symetric meiric connections, Rev. Roumaine de Math. Purres et q 9, (1970), 1579 - 1586.

## ON SOME CLASSES OF DIFFERENTIAL SUBORDINATIONS (II)

#### TEODOR BULBOACĂ\*

Received: July 7, 1983

**ABSTRACT.** — The study on differential subordinations of the form  $\psi(p(z), zp'(z)) > h(z)$ , begun in [1] and [2] is further carried in the present paper for the case in which  $\psi(p(z), zp'(z)) = \alpha(zp'(z)) + \beta(zp'(z))\gamma(p(z))$  by employing the admissible functions method of [2], obtaining generalizations of the results in [6], some consequences and examples being then presented.

**Introduction.** Let f and g be analytic in the unit disk U and let H(U) be the space of functions analytic in U. We say that f is subordinate to g (f > g or f(z) > g(z)) if g is univalent in U, f(0) = g(0) and  $f(U) \subseteq g(U)$ .

If  $\psi: C^2 \to C$  is analytic in a domain D, if h is univalent in U and if p is analytic in U with  $(p(z), zp'(z)) \in D$  when  $z \in U$ , then p is said to satisfy the first-order differential subordination

$$\psi(\phi(z), z\phi'(z)) \prec h(z), z \in U.$$

In [1] the authors determine conditions on  $\psi$  and h so that p(z) < h(z) in the case

$$\psi(p(z), zp'(z)) = \theta(p(z)) + zp'(z)\Phi(p(z))$$

and they give applications of these results in univalent function theory. In [3] the author study the differential subordination in the case

$$\psi(p(z), zp'(z)) = \alpha(p(z)) + \beta(p(z))\gamma(zp'(z))$$

and applications of these results are given.

In this paper we shall study the differential subordination when

$$\psi(p(z)), zp'(z)) = \alpha(zp'(z)) + \beta(zp'(z))\gamma(p(z))$$

and we give some particular interesting cases.

Preliminaries. We will need the next two lemmas to prove our theorem.

LEMMA 1. [4] Let  $g \in H(U)$ , with g(0) = 0, be univalent and starlike in U. If  $f \in H(U)$  and Re[zf'(z)/g(z)] > 0,  $z \in U$ , then f is univalent in U. We said that  $L: U \times [0, +\infty) \to C$  is a subordination (or Loewner) chain if  $L(\cdot, t)$  is analytic and univalent in U for all  $t \ge 0$ ,  $L(z, \cdot)$  is continuously differentiable on  $[0, +\infty)$  for all  $z \in U$  and  $L(z, s) \prec L(z, t)$  when  $0 \le s < t$ .

<sup>\*</sup> University of Cluj-Napoca, Faculty of Mathematics, 3400 Cluj-Napoca, Romania

LEMMA 2. [5, p. 159] The function  $L(z, t) = a_1(t)z + \dots$  with  $a_1(t) \neq 0$ for all  $t \ge 0$  is a subordination chain if and only if

$$\operatorname{Re}\left[z\frac{\partial L}{\partial z}\Big/\frac{\partial L}{\partial t}\right] > 0$$

for all  $z \in U$  and  $t \geqslant 0$ .

THEOREM A. [2] Let h,  $q \in H(U)$  be univalent in U and suppose  $q \in H(\bar{U})$ . If  $\psi: C^3 \to C$  satisfies:

a)  $\psi$  is analytic in a domain  $D \subset C^3$ ,

b)  $(q(0), 0, 0) \in D$  and  $\psi(q(0), 0, 0) \in h(U)$ ,

c)  $\psi(r, s, t) \notin h(U)$  when  $(r, s, t) \in D$ ,  $r = q(\zeta)$ ,  $s = m\zeta q'(\zeta)$ ,  $\text{Re}(1 + t/s) \ge m$  Re  $(1 + \zeta q''(\zeta)/q'(\zeta))$  where  $|\zeta| = 1$ ,  $m \ge 1$ , then for all  $p \in (H(U))$  so that  $(p(z), zp'(z), z^2p''(z)) \in D$ , when  $z \in A$ we have:

$$\psi(p(z), zp'(z), z^2p''(z)) \prec h(z)$$
 implies that  $p(z) \prec q(z)$ .

MAIN. RESULTS. THEOREM. Let q be convex (univalent) in U, a let  $\alpha$ ,  $\beta$  be analytic in C and  $\gamma$  analytic in a domain  $D \supset q(U)$ . Suppose that

(i) 
$$\operatorname{Re} \frac{\beta((1+t)zq'(z))\gamma'(q(z))}{\alpha'((1+t)zq'(z)) + \beta'((1+t)zq'(z))\gamma(q(z))} \geqslant 0$$

for all  $z \in U$  and  $t \geqslant 0$ 

(ii)  $Q(z) = zq'(z)(\alpha'(zq'(z)) + \beta'(zq'(z))\gamma(q(z)))$  is starlike (univalent) in If p is analytic in U with p(0) = q(0),  $p(U) \subset D$  and  $\alpha(zp'(z))$  $+ \beta(zp'(z))\gamma(p(z)) \prec \alpha(zq'(z)) + \beta(zq'(z))\gamma(q(z))$  then  $p(z) \prec q(z)$ .

Proof. Without loss of generality we can assume that p and q satisfies the conditions of the theorem on the closed disk  $\overline{U}$ ; if not, then we d replace p(z) by  $p_r(z) = p(rz)$  and q(z) by  $q_r(z) = q(rz)$  where 0 < r < qThe new functions satisfy the conditions of the theorem on U and we would then prove that  $p_r(z) \prec q_r(z)$ , for all 0 < r < 1. By letting  $r \uparrow 1$ —we of tain  $\phi(z) \prec q(z)$ .

The function

$$L(z, t) = \alpha((1+t)zq'(z)) + \beta((1+t)zq'(z))\gamma(q(z))$$

is continuously differentiable on  $[0, +\infty)$  for all  $z \in U$  and analytic U for all  $t \ge 0$ . Because  $q'(0) \ne 0$ ,  $Q'(0) \ne 0$  from (i), for z = 0 we dedu that

$$\frac{\partial L}{\partial x}(0, t) = q'(0)(\alpha'(0) + \beta'(0)\gamma(q(0)))\left(1 + t + \frac{\beta(0)\gamma'(q(0))}{\alpha'(0) + \beta'(0)\gamma(q(0))}\right)$$

and

$$\frac{\partial L}{\partial t}$$
  $(0, t) \neq 0$  for all  $t \geqslant 0$ .

Because q is convex in U, a simple calculation combined with (i) yields

$$\operatorname{Re}\left[z\frac{\partial L}{\partial z}\Big/\frac{\partial L}{\partial t}\right] > 0 \text{ for all } z \in U \text{ and } t \geqslant 0$$

hence by Lemma 2, L(z, t) is a subordination chain.

If we let

 $h(z) = L(z, 0) = \alpha(zq'(z)) + \beta(zq'(z))\gamma(q(z))$  and using (i) for t = 0 we obtain Re [zh'(z)/Q(z)] > 0 for all  $z \in U$ , hence by Lemma 1, h is univalent in U.

Let  $\psi(r, s) = \alpha(s) + \beta(s)\gamma(r)$  analytic in the domain  $E = D \times C$ ; then  $(q(0), 0) \in E$ ,  $\psi(q(0), 0) = h(0) \in h(U)$  and because L(z, t) is a subordination chain we have

$$\alpha((1+t)\zeta q'(\zeta)) + \beta((1+t)\zeta q'(\zeta))\gamma(q(\zeta)) \notin h(U)$$

for  $t \ge 0$  and  $|\zeta| = 1$ . Using Theorem A we conclude that p(z) < q(z). This theorem give us some particular cases presented in the next corollaries.

If we take  $\gamma(w) = 1$ , w = C then from Theorem we obtain:

COROLLARY 1. Let q be convex (univalent) in U,  $\alpha$  and  $\beta$  be analytic in C and suppose that

 $Q(z) = \overline{zq'}(z)(\alpha'(zq'(z)) + \beta'(zq'(z)))$  is starlike (univalent) in U. If p is analytic in U with p(0) = q(0), then  $\alpha(zp'(z)) + \beta(zp'(z)) \prec \alpha(zq'(z)) + \beta(zq'(z))$  implies that  $p(z) \prec q(z)$ .

If we take  $\alpha(w) = w$ ,  $\beta(w) = aw^2$ ,  $w \in C$  then from Corollary 1 we obtain:

Example 1.1. Let q be convex (univalent) in U,  $a \in C$  and suppose that Q(z) = zq'(z)(1 + 2azq'(z)) is starlike (univalent) in U. If p is analytic in U with p(0) = q(0), then  $zp'(z) + a(zp'(z))^2 \prec zq'(z) + a(zq'(z))^2$  implies that  $p(z) \prec q(z)$ .

If we take in this example a = 0 we obtain the well-known result of T. J. Suffridge [6].

This example give us some interesting particular cases if we replace qq by simple convex functions.

Example 1.1.1. If a,  $\lambda \in C$  so that  $|a\lambda| \leq 1/4$  and p is analytic in U with p(0) = 0, then  $zp'(z) + a(zp'(z))^2 \prec \lambda z + a(\lambda z)^2$  implies that  $p(z) \prec \lambda z$ .

*Proof.* If we take in Example 1.1.,  $q(z) = \lambda z$ ,  $\lambda \in C$ ,  $z \in U$  we obtain that

$$\operatorname{Re} \frac{zQ'(z)}{Q(z)} = \operatorname{Re} \frac{1 + 4a\lambda z}{1 + 2a\lambda z} > \frac{1 - 2|2a\lambda z|}{1 - |2a\lambda z|} \geqslant 0$$

when  $|2a\lambda z| \le 1/2$ ,  $z \in U$  and this last inequality is equivalent with  $|a\lambda| \le 1/4$ .

T. BULBOACĀ 16

Example 1.1.2. Let  $a, \lambda \in C$  so that  $|\lambda| = r_0$  where  $r_0 \in (0, 1)$ the root of the equation

$$1 - r - \rho re^{r}(3 + 3r + 2\rho r^{2}e^{r}) = 0$$
,  $\rho = 2|a|$ .

If  $\phi$  is analytic in U,  $\phi(0) = 1$  then

$$zp'(z) + a(zp'(z))^2 < \lambda ze^{\lambda z} + a(\lambda ze^{\lambda s})^2$$
 implies that  $p(z) < e^{\lambda z}$ .

*Proof.* We can easily prove that  $q(z) = e^{\lambda x}$  is convex in U wh  $|\lambda| \le 1$ . By letting  $\lambda z = \zeta = re^{it}$ ,  $0 \le r < 1$  and  $c = 2a = \rho e^{i\varphi}$ ,  $\rho = 2$ a simple calculation yields

$$\operatorname{Re} \frac{zQ'(z)}{Q(z)} \geqslant \frac{1}{|1+c\zeta e\zeta|^2 \zeta} (1-r-\rho re^{r}(3+3r+2\rho r^2 e^{r}))$$

$$|1+c\zeta e\zeta|^2 \geqslant (1-\rho re^{r+\cos t})^2.$$

and

Let 
$$\varphi:[0, 1] \to R$$
,  $\varphi(r) = 1 - r - \rho r e^r (3 + 3r + 2\rho r^2 e^r)$ . Since  $\varphi'(r) < 0$ ,  $\varphi(0) = 1$ ,  $\varphi(1) = -2\rho e (3 + \rho e) < 0$ , we conclude that there exists  $\varphi(0, 1)$  such that  $\varphi(r_0) = 0$ . Moreover  $\varphi(0, 1)$  is the only root of the function of the function  $\varphi(0, 1)$  in the only root of the function  $\varphi(0, 1)$ .

tion  $\varphi$  and for all  $r \in [0, r_0)$  we have  $\varphi(r) > 0$ . Let  $\psi : [0, 1] \to R$ ,  $\psi(r) = 1 - \rho re^r$ . Because  $\psi'(r) < 0$ ,  $\psi(0) = \varphi(0) = 0$ and  $\varphi(r) = \psi(r) - r(1 + \rho e^r(2 + 3r + 2\rho r^2 e^r))$  then  $\varphi(r) \leq \psi(r)$  for  $r \in [0, 1]$ , we obtain that  $\psi(r) > 0$  for all  $r \in [0, r_0]$ , hence  $|1 + c\zeta e^{\zeta}|^2 \ge (1 - \rho r e^{\tau})^2 > 0$  and  $\operatorname{Re} \frac{zQ'(z)}{Q(z)} > 0$  for all  $z \in U$  when  $|\lambda| \le 1$ 

Example. 1.1.3. Let a,  $\lambda \in C$  so that  $|\lambda| \leq \min \{r_0, r_1\}$  when  $r_0 = \min\{|r|: r^2 + 2(1+a)r + 1 = 0\}$  and

$$r_1 = \min\{r; r > 0, r^6 + 2(3|a| - 2)r^5 + (8|a|^2 - 12|a| + 1)r^4 - (8|a|^2 - 16|a| + 1)r^2 + 2(3|a| + 2)r - 1 = 0\}.$$

If p is analytic in U with p(0) = 0, then

$$zp'(z) + a(zp'(z))^2 < \frac{\lambda z}{(1+\lambda z)^2} + a\left(\frac{\lambda z}{(1+\lambda z)^2}\right)^2$$
 implies that  $p(z) < \frac{\lambda z}{1+\lambda z}$ 

*Proof.* We can easily prove that  $q(z) = \frac{\lambda z}{1 + \lambda z}$  is convex in U wh  $|\lambda| \le 1$ . By letting  $\lambda z = \zeta = re^{it}$ ,  $0 \le r < 1$  and  $c = 2a = \rho e^{i\varphi}$ , we obtain

$$\operatorname{Re} \frac{zQ'(s)}{Q(s)} \geqslant \frac{-r^{6} - (3\rho - 4)r^{5} - (2\rho^{2} - 6\rho + 1)r^{4} + (2\rho^{2} - 8\rho + 1)r^{2} - (3\rho + 4)r + 1}{|\zeta^{8} + (c + 3)\zeta^{8} + (c + 3)\zeta + 1|^{2}}$$

Let  $\varphi: [0, 1] \to R$ ,  $\varphi(r) = -r^6 - (3\rho - 4)r^5 - (2\rho^2 - 6\rho + 1)r^6 + (2\rho^2 - 8\rho + 1)r^2 - (3\rho + 4)r + 1$ ; because  $\varphi(0) = 1$ ,  $\varphi(1) = -8\rho < 1$ there exists  $r' \in (0, 1)$  so that  $\varphi(r') = 0$ ; hence  $\varphi(r) > 0$  for all  $r \in [0, 1]$ where  $r_1$  is the smallest positive root of the equation  $\varphi(r) = 0$ . A simple simple  $\varphi(r) = 0$ . calculation yields

 $\zeta^3 + (c+3)\zeta^2 + (c+3)\zeta + 1 \neq 0$ , for all  $z \in U$ , when  $|\lambda|$  $\leq \min\{1, r_0\}$ , hence  $\operatorname{Re} \frac{zQ'(z)}{Q(z)} > 0$  for all  $z \in U$  when  $|\lambda| \leq \min\{r_0, r_0\}$  *Remarks.* From the proof of Example 1.1.3. we observed that this result is not sharp; better upper bounds may be found in the case when  $a \in R$ .

Case 1. Let 0 < a < 1 and  $\lambda \in C$  with

$$|\lambda| \le \min\{1 + a - \sqrt{a^2 + 2a}, r_*\} \text{ where}$$
 $r^* = \min\{r : r > 0, r^4 - 2(3a + 2)r^3 + 2(4a^2 + 8a + 1)r^2 - 2(3a + 2)r - 1 = 0\}.$ 

If p is analytic in U, p(0) = 0, then

$$zp'(z) + a(zp'(z))^2 < \frac{\lambda z}{(1+\lambda z)} + a\left(\frac{\lambda z}{(1+\lambda z)^2}\right)^2$$
 implies that  $p(z) < \frac{\lambda z}{1+\lambda z}$ .

*Proof.* In the case 0 < a < 1 we deduce that

$$\operatorname{Re} \frac{zQ'(z)}{Q(z)} \ge \frac{r^2 - 1}{|\zeta^2 + (c+3)\zeta^2 + (c+3)\zeta + 1^2|} (-r^4 + 2(3a+2)r^3 - 2(4a^2 + 8a + 1)r^2 + 2(3a+2)r + 1)$$

where  $\zeta = \lambda z = re^{it}$ ,  $0 \leqslant r < 1$  and the right-hand term is defined for all

$$z \in U$$
 when  $|\lambda| \le r_0 = 1 + a - \sqrt{a^2 + 2a} \in (0, 1)$ . If we let  $\psi : [0,1] \to R$ 

 $\psi(r) = -r^4 + 2(3a+2)r^3 - 2(4a^2 + 8a + 1)r^2 + 2(3a+2)r - 1 \quad \text{we}$ 

$$\psi(0) = -1$$
, hence  $\operatorname{Re} \frac{zQ'(z)}{Q(z)} > 0$  for all  $z \in U$  if  $|\lambda| \leq \min \{r_0, r_*\}$ .

Case 2. Let  $a \ge 1$  and  $\lambda \in C$  with

$$|\lambda| \leq \min\{1 + a - \sqrt{a^2 + 2a}; r_*\}$$
 where

$$r_* = \min \{r : r > 0, r^4 - 2(3a + 2)r^3 + 2(4a^2 + 6a + 3)r^2 - 2(3a + 2)r + 1 = 0\}.$$

If p is analytic in U, p(0) = 0, then

$$zp'(z) + a(zp'(z))^2 < \frac{\lambda z}{(1+\lambda z)^2} + a\left(\frac{\lambda z}{(1+\lambda z)^2}\right)^2$$
 implies that  $p(z) < \frac{\lambda z}{1+\lambda z}$ .

**Proof** In the case  $a \ge 1$  we deduce by using the proof of Example 1.1.3., that

$$\operatorname{Re} \frac{zQ'(z)}{Q(z)} \ge \frac{r^3 - 1}{|\zeta^3 + (c+3)\zeta^4 + (c+3)\zeta + 1|^2} \left( -r^4 + 2(3a+2)r^3 - 2(4a^2 + 6a + 3)r^2 - 2(3a+2)r - 1 \right).$$

where  $\zeta = \lambda z = re^{it}$ ,  $0 \le r < 1$  and as in the Case 1 we deduce the above result.

18 T. BULBOACA

When a = 1 we can easily show the following result.

Case 2'. If  $|\lambda| \leq 3 - 2\sqrt{2}$  and p is analytic in U, p(0) = 0, then

$$zp'(z) + (zp'(z))^2 < \frac{\lambda z}{(1+\lambda z)^2} + \left(\frac{\lambda z}{(1+\lambda z)^2}\right)^2$$

implies that  $p(z) < \frac{\lambda z}{1 + \lambda z}$ 

Case 3. Let -2/3 < a < 0 and  $\lambda \in C$  with  $|\lambda| \le \min\{1, r_0, r_*\}$  where  $r_0 = \min\{|r|: r^2 + 2(1+a)r + 1 = 0\}$  and

$$r^* = \min \{ |r| : r^4 - 2(3a+2)r^3 + 2(4a^2 + 8a + 1)r^2 - 2(3a+2)r + 1 = 0 \}.$$

If p is analytic in U, p(0) = 0, then

$$zp'(z) + a(zp'(z))^2 < \frac{\lambda z}{(1+\lambda z)^2} + a\left(\frac{\lambda z}{(1+\lambda z)^2}\right)^2$$

implies that  $p(z) \prec \frac{\lambda z}{1+\lambda z}$ .

Proof. If -2/3 < a < 0 we can easily show that

$$\operatorname{Re} \frac{zQ'(z)}{Q(z)} \ge \frac{r^2 - 1}{|\zeta^2 + (c+3)\zeta^2 + (c+3)\zeta + 1|^2} \left( -r^4 + 2(3a+2)r^3 - 2(4a^2 + 8a + 1)r^2 + 2(3a+2)r - 1 \right)$$

where  $\zeta = \lambda z = re^{it}$ ,  $0 \le r < 1$ . We have  $\zeta^3 + (c+3)\zeta^2 + (c+3)\zeta + 1 \ne 0$  for all  $z \in U$  when  $|\lambda| \le \min\{1, r_0\}$  and the right-hand term is positive when  $|\lambda| \le \min\{1, r_0, r_*\}$ .

Case 4. Let  $a \le -2/3$  and  $\lambda \in C$  with  $|\lambda| \le \min\{1, r_0, r_*\}$  where  $r_0 = \min\{|r|: r^2 + 2(1+a)r + 1 = 0\}$  and

$$r_* = \min \{ |r| : r^4 + 2(3a+2)r^3 + 2(4a^2 + 8a + 1)r^2 + 2(3a+2)r + 1 = 0 \}$$

If p is analytic in U, p(0) = 0, then

$$zp'(z) + a(zp'(z))^2 < \frac{\lambda z}{(1+\lambda z)^2} + a\left(\frac{\lambda z}{(1+\lambda z)^2}\right)^2$$
 implies that  $p(z) < \frac{\lambda z}{1+\lambda z}$ .

*Proof.* As in the Case 3, we can show that if  $a \le -2/3$ 

$$\operatorname{Re} \frac{zQ'(z)}{Q(z)} \geqslant \frac{r^{2}-1}{|\zeta^{2}+(c+3)\zeta^{2}+(c+3)\zeta+1|^{2}} \left(-r^{4}-2(3a+2)r^{3}-2(4a^{2}+8a+1)r^{2}-2(3a+2)r-1\right)$$

where  $\zeta = \lambda z = re^{it}$ ,  $0 \le r < 1$  and c = 2a and the right-hand term is positive for all  $z \in U$  when  $|\lambda| \le \min\{1, r_0, r_*\}$ .

When a = -2/3 we can easily deduce the following result: Case 4'. If  $|\lambda| \le (4 - \sqrt{7})/3$  and p is analytic in U, p(0) = 0 then

$$zp'(z) - \frac{2}{3} (zp'(z))^2 < \frac{\lambda z}{(1+\lambda z)^2} - \frac{2}{3} (\frac{\lambda z}{(1+\lambda z)^2})^2$$

imlpies that  $p(z) < \frac{\lambda z}{1 + \lambda z}$ .

Example 1.1.4. Let a,  $\lambda \in C$  with  $|\lambda| \le r_0$ , for a = -1/2 and  $|\lambda| \le \sin\left\{r_0, \frac{1}{|2a+1|}\right\}$  for  $a \ne -1/2$ , where

$$r_0 = \min \{r : r > 0, -(8|a|^2 + 6|a| + 1)r^3 \mid (8|a|^2 - 12|a| + 1)r^2 - 3(2|a| + 1)r + 1 = 0\}.$$

If p is analytic in U,  $p(0) = \log 1 = 0$ , then

$$zp'(z) + a(zp'(z))^2 < \frac{\lambda z}{1+\lambda z} + a\left(\frac{\lambda z}{1+\lambda z}\right)^2$$
 implies that  $p(z) < \log (1+\lambda z)$ .

*Proof.* If  $|\lambda| \le 1$  the function  $q(z) = \log(1 + \lambda z)$ ,  $\log 1 = 0$  is convex (univalent) in U; if we let  $c = 2a = \rho e^{i\phi}$  and  $\lambda z = \zeta = re^{it}$ ,  $0 \le r < 1$ , by using Example 1.1. we deduce

$${\rm Re} \ \frac{zQ'(z)}{Q(z)} \ \geqslant \frac{(-2\rho^2-3\rho-1)r^3+(2\rho^2-6\rho+1)r^2-(3\rho+3)r+1}{|1+\zeta|^2|1+\zeta+c\zeta|^2} \ .$$

The right-hand term is defined and positive when  $|\lambda| \le r_0$  in the case a = -1/2 and for  $|\lambda| \le \min \left\{ r_0, \frac{1}{|2a+1|} \right\}$  in the case  $a \ne -1/2$  for all  $z \in U$ , and using Example 1.1. we obtain the above result.

Example 1.2. Let q be convex (univalent) in U and  $a \in C \setminus \{-1\}$  so that  $Q(z) = zq'(z)(1 + ae^{zq'(z)})$  is stalike in U. If p is analytic in U, p(0) = q(0), then

$$zp'(z) + ae^{zq'(z)} \prec zq'(z) + ae^{zq'(z)}$$
 implies that  $p(z) \prec q(z)$ .

*Proof.* If we take, in Corollary 1,  $\alpha(w) = w$  and  $\beta(w) = ae^w$ ,  $w \in C$ , then we obtain the above result.

Example 1.2.1. Let  $a \in C \setminus \{-1\}$  and  $\lambda \in C$  so that  $|\lambda| \leq \min \{r_0, r^*\}$  where  $r_0 = \min \{|r|: 1 + ae^r = 0\}$  and

$$r_* = \min \{r : r > 0, 1 - 2|a|e^r - |a|re^r - |a|^2re^{2r} + |a|^2e^{-2r} = 0\}.$$

If p is analytic in U, p(0) = 0, then

$$zp'(z) + ae^{zp'(z)} \prec \lambda z + ae^{\lambda z}$$
 implies that  $p(z) \prec \lambda z$ .

ŽŮ T. BULBOACĀ

*Proof.* We use Example 1.2. in the case  $q(z) = \lambda z$ ,  $z \in U$ . The funct  $Q(z) = \lambda z (1 + ae^{\lambda x})$  is starlike in U if

$$\operatorname{Re} \frac{zQ'(z)}{Q(z)} = \operatorname{Re} \frac{a^{-1} + e^{\zeta} + \zeta e^{\zeta}}{a^{-1} + e^{\zeta}} > \frac{\rho^{2} - 2\rho e^{r} - \rho r e^{r} - r e^{sr} + e^{-sr}}{|a^{-1} + e^{\zeta}|^{2}} \geqslant 0,$$

where  $a^{-1} = \rho e^{i\varphi}$  and  $\zeta = \lambda z = re^{it}$ ,  $r \ge 0$ . We can easily show that inequality is satisfied under the conditions of the example.

If we take, in Corollary 1,  $\alpha(w) = w$  and  $\beta(w) = aw^n$ ,  $w \in C$  obtain:

Example 1.3. Let q be convex (univalent) in U,  $a \in C$ ,  $n \in N^*$  suppose that  $Q(z) = zq'(z)(1 + an(zq'(z))^{n-1})$  is starlike in U. If p is an tic in U, p(0) = q(0), then

$$zp'(z) + a(zp'(z))^n \prec zq'(z) + a(zq'(z))^n$$
 implies that  $p(z) \prec q(z)$ .

Remark. If n = 1 or a = 0, this example yields the well-known re of T. J. Suffridge [6], and for n = 2 we obtain the Example 1.1.

Example 1.3.1. Let  $a \in C$  and  $\lambda \in C$  with  $|\lambda| \leq (n^2|a|)^{\frac{1}{1-n}}$ , is analytic in U, p(0) = 0, then

$$zp'(z) + a(zp'(z))^n < \lambda z + a(\lambda z)^n$$
 implies that  $p(z) < \lambda z$ .

*Proof.* If we let  $\zeta = \lambda z = re^{it}$  and  $a = \rho e^{i\varphi}$  we obtain, for  $q(z) = z \in U$  that

Re 
$$\frac{zQ'(z)}{Q(z)} \ge \frac{n^n \rho^{2r^2(n-1)} - n(n+1)\rho r^{n-1} + 1}{|1 + na\zeta^{n-1}|^2}$$

and if  $|\lambda| \leq (n^2|a|)^{\frac{1}{n-1}}$  we can prove that the right-hand term is position all  $z \in U$ .

Remark. For a = 0 this result holds for all  $\lambda \in C$ , and for n we obtain the Example 1.1.1.

Example 1.3.2. Let  $a \in C$  and  $\lambda \in C$  so that  $|\lambda| \le r_0$  where  $r \in (0, 1]$  is the root of equation

$$1 - r - n|a|r^{n-1}e^{(n-1)r}((n+1)(r+1) + n^2|a|r^ne^{(n-1)r}) = 0.$$

If p is analytic in U, p(0) = 1, then

$$zp'(z) + a(zp'(z))^n < \lambda ze^{\lambda z} + a(\lambda ze^{\lambda z})^n$$
 implies that  $p(z) < e^{\lambda z}$ 

*Proof.* The function  $q(z)=e^{\lambda z}$ ,  $|\lambda|\leqslant 1$  is convex (univalent) in If we let  $2a=\rho e^{i\varphi}$  and  $\zeta=\lambda z=re^{it}$ ,  $0\leqslant r<1$ , then

Re 
$$\frac{zQ'(z)}{Q(z)} \geqslant \frac{\varphi(r)}{|1 + na\zeta^{n-1}e^{(n-1)\zeta}|^2}$$
 where

$$\varphi(r) = 1 - r - \frac{n}{2} \, \rho r^{n-1} e^{(n-1)r} ((n+1) + (n+1)r + \frac{n^2}{2} \, \rho r^n e^{(n-1)r}).$$

A simple calculation yields

$$|1 + na\zeta^{n-1}e^{(n-1)\zeta}|^2 \ge \left(1 - \frac{n}{2} \rho^{n-1}e^{(n-1)r \cdot \cos}\right)^2 = \theta(r)$$

and if we let  $\psi(r)=1-\frac{n}{2}\,\rho r^{n-1}e^{(n-1)r}$ , then  $\varphi(r)\leqslant \psi(r)$  for all  $0\leqslant r<1$ . Because  $\varphi'(r)<0$ ,  $0\leqslant r<1$ ,  $\varphi(1)\leqslant 0$  and  $\varphi(0)=1>0$ , there exists  $r_0\in(0,\ 1]$  so that  $\varphi(r_0)=0$  and for all  $r\in[0,\ r_0)$  we have

$$\psi(r) \geqslant \varphi(r) > 0$$
. If  $r \in [0, r_0)$  then  $\theta(r) \geqslant \psi^2(r) > 0$ 

and by using Example 1.3. we obtain the above result.

Remark. For n=2 we obtain the Example 1.1.1, and for a=0 this result holds for all  $\lambda \in C$ .

#### REFERENCES

- 1. S. S. Miller, P. T. Mocanu, On some classes of first-order differential subordinations, (to appear).
- 2.S. S. Miller, P. T. Mocanu, Differential subordinations and univalent functions, Michigan Math. J., 28 (1981), 151-171.
- 3 T. Bulboacă, On some classes of differential subordinations (I), STUDIA UNIV. Babeş-Bolyai, Math. XXXI, 1, 1986, 45-51.
- 4 W. Kaplan, Close-to-convex schlicht functions, Michigan Math. J., 1(1952), 169-185. S.Ch. Pommerenke, "Univalent Functions", Vanderhoeck and Ruprecht, Gottingen, 1075
- 6.T. J. Suffridge, Some remarks on convex maps of the unit disk, Duke Math. J., 37 (1970), 775-777.

#### A FIXED POINT THEOREM FOR DECREASING FUNCTIONS

#### MARIAN DEACONESCU\*

Recevied: July 15, 1983

It is well-known that an increasing functiont on a complete lattice has at least a fixed point (see [1]). An analogous result for decreasing functions does not hold. Indeed, if B is a complete boolean lattice and  $f: B \to B$  where  $f(x) = \bar{x}$  is the complement of x for every  $x \in B$ , f is a decreasing function without fixed points. Consequently, we must give other conditions for the lattice or (and) for the function to assure the existence of a fixed point. The aim of this note is to give sufficient conditions for a decreasing function  $f: L \to L$  where L is a chain to have a fixed point.

In what follows, L will be a chain and  $f: L \to L$  will be a decreasing function. The fixed point set of f will be denoted by  $F_f$ . If  $a, b \in L$  and  $a \le b$ , we will denote the set  $\{x \in L \mid a < x < b\}$  by (a, b). The chain L is dense if  $(a, b) \ne \Phi$  for every  $a, b \in L$  with a < b. We also consider the two subsets of  $L: D = \{x \in L \mid x \le f(x)\}$  and  $I = \{x \in L \mid x \ge f(x)\}$ . We have obviously  $F_f = D \cap I$  and  $L = D \cup I$ .

**LEMMA 1.** Let L be a chain and  $f: L \to L$  a decreasing function. The

- (i) f has at most one fixed point.
- (ii)  $x \le y$  for every  $x \in D$ ,  $y \in I$ .
- (iii)  $f(D) \subseteq I$  and  $f(I) \subseteq D$ .

*Proof.* (i) Let x, y be fixed points, say  $x \le y$ . Then  $f(y) \le f(x)$  that is  $y \le x$ , hence x = y.

- (ii) Suppose x > y for some  $x \in D$  and  $y \in I$ . Then  $f(x) \le f(y)$  and  $x \le f(x)$ ,  $f(y) \le y$ , hence  $x \le y$  by transitivity; but this contradicts the hypothesis.
- (iii) Obvious.

Remark. If, moreover, f is surjective, then f(D) = I and f(I) = D.

*Proof.* To show, e.g., that f(D) = I, we take  $x \in I$  and prove that  $x \in f(D)$ . But x = f(y) for some  $y \in L$ . If  $y \in D$  then  $x \in f(D)$ . If  $y \in I$ , then  $x \in f(I) \subseteq D$ , hence  $x \in D \cap I$  is a fixed point, therefore  $x = f(x) \in f(D)$ .

LEMMA 2. Let L be a complete chain and  $f: L \to L$  a decreasing surjective function. Set  $a = \sup D$  and  $b = \inf I$ . Then

- (i)  $a \leq b$  and  $(a, b) \neq \Phi$ .
- (ii)  $a = f(b) \in D$  and  $b = f(a) \in I$ .

<sup>\*</sup> General School nr. 3, 2700 Deva, Romania

*Proof.* (i)  $a \le b$  follows from Lemma 1, (ii). Now suppose a < c < b. If  $c \in D$  then  $a \ne \sup D$  and if  $c \in I$  then  $b \ne \inf I$ , contradiction.

(ii) From  $b \le x$  for every  $x \in I$ , it follows that  $f(x) \le f(b)$  for every  $x \in I$ , hence  $y \le f(b)$  for every  $y \in D$  because f(I) = D. As  $a = \sup D$  it follows that  $a \le f(b)$  and similarly  $f(a) \le b$ . On the other hand  $a \le b$  implies  $f(b) \le f(a)$ , therefore  $a \le f(b) \le f(a) \le b$ , which shows that  $a \in D$  and  $b \in I$ . Moreover,  $f(b) \in D$  and since  $a = \sup D$  it follows that a = f(b) and similarly b = f(a).

We can now state the main result of this note:

THEOREM. Let L be a complete chain and  $f: L \to L$  a decreasing surjuding function. Set  $a = \sup_{x \to \infty} D$  and  $b = \inf_{x \to \infty} I$ . Then f has a fixed point if and only if a = b, in which case the fixed point is a = b.

*Proof.* If a = b then a = f(b) = f(a) by Lemma 2, (ii). Conversely, is a fixed point then  $c \in D \cap I$ , hence  $c \le a \le b \le c$ , therefore a = b = c.

COROLLARY. Let L be a complete dense chain and  $f: L \to L$  a decreasing surjective function. Then f has an unique fixed point.

*Proof.* This follows immediately from Lemma 2 (i) and the Theorem: a=b by the density assumption and Lemma 2 (i), so a=b is the unique med point of f by the Theorem.

#### REFERENCES

1.A. Tarski, A lattice-theoretical fixed point theorem and its applications, Pacific J. Math. 5 (1955), 161-170.

#### A GENERALIZATION OF A COINCIDENCE THEOREM OF HADIN

#### DO HONG TAN\*

Received: June 14, 1983

ABSTRACT. — The purpose of this note is to generalize a coincidence theorem of Hadzic in [1] and to show that the remark in [2] about the mentioned theorem is not true.

1. In the sequel we shall use the following notations. For a metric space (X, d), CB(Y) (Cl(Y)) stands for the family of all nonempty closs bounded (closed, resp.) of  $Y \subset X$ , d(x, Y) — the nearest distance for a point x to a set Y, H(Y, Z) — the Hausdorff distance between the sets Y and Z, N — the set of all natural numbers.

In [1] Hadžić has proved the following

THEOREM H. Let X be a complete metric space, S and T continuous mappings from X into itself, A a closed mapping from X into  $CB(SX \cap T)$  such that ATx = TAx, ASx = SAx for every  $x \in X$  and

 $H(Ax, Ay) \leq q \ d(Sx, Ty) \ for \ cvery \ x, y \in X, \ where \ q \in (0, 1).$  There exists a sequence  $\{x_n\}$  such that

- 1) For every  $n \in N$ ,  $Sx_{2n+1} \in Ax_{2n}$ ,  $Tx_{2n} \in Ax_{2n-1}$ ,
- 2) There exists  $z = \lim Tx_{2n} = \lim Sx_{2n+1}$ ,
- 3)  $Tz \in Az$ ,  $Sz \in Az$ .

Theorem H can be generalized as follows

THEOREM 1. Let X be a complete metric space, S, T continuous m pings from X into itself, A, B, closed mappings from X into Cl(X). Support that

- (i)  $A(X) \subset T(X)$ ,  $B(X) \subset S(X)$ , SA = AS. TB = BT,
- (ii) There is an upper semicontinuous from the right function  $q:[0,\infty)\to[0,1)$  such that

$$\begin{split} H(Ax,\ By) &\leqslant q(d(Sx,\ Ty)) \cdot \max \left\{ d(Sx,\ Ty),\ d(Sx,\ Ax),\ d(Ty,\ By), \right. \\ &\left. \frac{1}{2} \left[ d(Sx,\ By) + d(Ty,\ Ax) \right] \right\} \end{split}$$

for every  $x, y \in X$ .

Then there exists a  $z \in X$  such that  $Sz \in Az$ ,  $Tz \in Bz$ .

<sup>\*</sup> Current address: (1983-1985): Institut Matematyczny PAN, ul. Sniadeckich 8, skr. pocztowa 137, 00-Warszawa, Poland

PROOF. Take  $x_0 \in X$  and put  $y_0 = Sx_0$  then fix  $r > d(y_0, Ax_0)$  and choose  $y_1 \in Ax_0$  so that  $d(y_0, y_1) < r \cdot By$  (i), there is an  $x_1 \in X$  with  $y_1 = Tx_1$ . From (ii) we have

$$\begin{array}{l} d(y_1,\;Bx_1)\;\leqslant\; H(A\,x_0,\;Bx_1)\;\leqslant\; q(d(y_0,\;y_1))\;\cdot\;\max\left\{d(y_0,\;y_1),\;d(y_0,\;Ax_0),\right.\\ \\ \left.d(y_1,\;Bx_1),\;\frac{1}{2}\left[d(y_0,\;Bx_1)\,+\,d(y_1,\;A_0)\right]\right\}. \end{array}$$

In view of  $y_1 \in Ax_0$ ,  $d(y_0, Bx_1) \leq d(y_0, y_1) + d(y_1, Bx_1)$  and  $q(d(y_0, y_1)) < 1$  from this we get  $d(y_1, Bx_1) \leq q(d(y_0, y_1))d(y_0, y_1)$  and hence

$$d(y_1, Bx_1) < \min \{d(y_0, y_1), q(d(y_0, y_1))r\} = t.$$

Select  $y_2 \in Bx_1$  so that  $d(y_1, y_2) < t$ . By (i), there is an  $x_2 \in X$  with  $Sx_2 = y_2$ . Analogously, there is an  $y_3 \in Ax_2$  with

$$d(y_2, y_3) < \min \{d(y_1, y_2), q(d(y_1, y_2))q(d(y_0, y_1))r\}$$

and  $y_3 = Tx_3$ .

Generally, we can construct two sequences  $\{x_n\}$ ,  $\{y_n\}$  with the following properties

$$y_{2n} = Sx_{2n} \in Bx_{2n-1}, \ y_{2n+1} = Tx_{2n+1} \in Ax_{2n}, \tag{1}$$

$$c_{n+1} < \min \{c_n, q(c_n) \dots q(c_0)r\}, \text{ where } c_n = d(y_n, y_{n+1}).$$
 (2)

From (2),  $c_n \to c \ge 0$ . By the upper semicontinuity of q,  $\overline{\lim} q(c_n) \le q(c)$ . Fix k with q(c) < k < 1, there is an  $n_0 \in N$  such that  $q(c_n) \le k$  for  $n \ge n_0$ . Hence, for  $n \ge n_0$  we have from (2)  $c_{n+1} \le k^n R$ , where  $R = k^{-n_0}q(c_{n_0}) \dots q(c_0)r$ . Since k < 1,  $\{y_n\}$  is a Cauchy sequence and hence  $y_n \to z$ . By continuity of S and T,  $Ty_{2n} \to Tz$ ,  $Sy_{2n+1} \to Sz$ . From (i) and (1) we have  $Ty_{2n} \in BTx_{2n-1} = By_{2n-1}$ ,  $Sy_{2n+1} \in ASx_{2n} = Ay_{2n}$ . By closedness of A and B we get  $Tz \in Bz$ ,  $Sz \in Az$ . The proof is complete.

2. In [2] Sanderson claims that "the truth of Theorem H is in doubt as the proof is incomplete". But Theorem 1 shows that Theorem H is true and it seems to me that the proof in [1] is standard and clear enough. Moreover, the counter-example in [2]:

 $X=1,\ldots,2^{-n},\ldots,0\}$ , S=T= identity, A(0)=1,  $A(1)=A(2^{-n})\equiv X$  is not true. In fact, A is not contractive, for

$$H(A(0), A(\frac{1}{2})) = H(1, X) = 1 > d(0, \frac{1}{2}).$$

Besides, A is not closed, for  $2^{-n} \in A(2^{-n}) \equiv X$ , but  $0 \notin A(0) = 1$ . So this counter-example has no relations with Theorem H.

3. The following result shows that closedness of A and B can be replaced by commutativity of S and T. Namely, we have

26 DO HANG TAN

THEOREM 2. Let X be complete, S, T continuous on X, A and B multivalued mappings from X into Cl(X). Suppose that each of S, T commutes with the there others,  $A(X) \cup B(X) \subset ST(X)$  and Condition (ii) in Theorem 1 is satisfied. Then the conclusion of Theorem 1 still holds.

**Proof.** Denote U = ST, take  $x_0 \in X$ , put  $y_0 = Ux_0$ , fix  $r > d(y_0, ATx_0)$ , choose  $y_1 \in ATx_0$  with  $d(y_0, y_1) < r$ , then select  $x_1 \in X$  with  $y_1 = Ux_1$ . From (ii) we have

 $d(y_1,\ BSx_1) \, \leqslant \, H(ATx_0,\ BSx_1) \, \leqslant \, q(d(y_0,\ y_1)) \, \max \bigg\{ d(y_0,\ y_1),\ d(y_1,\ BSx_1), \, d(y_1,\ BSx_1), \, d(y_2,\ y_3), \, d(y_3,\ BSx_3), \, d($ 

$$\frac{1}{2}d(y_0, BSx_1) = q(d(y_0, y_1))d(y_0, y_1).$$

Choose  $y_2 \in BSx_1$  so that  $d(y_1, y_2) < \min \{d(y_0, y_1), q(d(y_0, y_1))r\}$  then select  $x_2$  with  $y_2 = Ux_2$ .

Repeat this process, we get two sequences  $\{x_n\}$ ,  $\{y_n\}$  with

$$y_{2n} = Ux_{2n} \in BSx_{2n-1}, \ y_{2n+1} = Ux_{2n+1} \in ATx_{2n}$$
 (3)

and for which (2) still holds. So  $y_n \to y \in X$ . Now by (ii), we have

$$d(Uy, ATy) \leq d(Uy, Uy_{2n}) + d(Uy_{2n}, ATy) \leq d(Uy, Uy_{2n}) + H(BSy_{2n-1})$$

$$ATy$$
)  $\leq d(Uy, Uy_{2n}) + q(d(Uy, Uy_{2n-1})) \max \Big\{ \{d(Uy, Uy_{2n-1}), d(Uy, ATy), d(Uy,$ 

$$d(Uy_{2n-1}, Uy_2), \frac{1}{2}[d(Uy, Uy_{2n}) + d(Uy_{2n-1}, ATy)]$$

Since  $d(Uy, Uy_{2n-1}) \to 0$  and q(0) < 1, we have  $q(d(Uy, Uy_{2n-1})) \le k < 1^n$  for n large enough. From this by letting  $n \to \infty$  we get  $d(Uy, ATy) \le k + d(Uy, ATy)$ . This shows that  $Uy \in ATy$  in view of closedness of ATy. Similarly, we have  $Uy \in BSy$ . Putting z = Uy, from this we get the desired result:  $Sz \in Az$ ,  $Tz \in Bz$ .

REMARK When S = T=the identity, Theorem 2 reduces to Theorem 1 in [3].

#### REFERENCES

<sup>1.</sup> O. Hadzic. A coincidence theorem for multivalued mappings in metric spaces, Studia Univ Babeş-Bolyai, Math. 26, 4 (1981), 65-67.

<sup>2.</sup> D. E. Sanderson, 54038, Zentralblatt fur Math. 491 (1983), 347.

<sup>3.</sup> D. H. Tan, D. T. Nhan, Common fixed points of two mappings of contractive type, Acta Math. Vietnam. 5, 1 (1980), 150-160.

## IFIXED POINT THEOREM FOR MULTI-VALUED FUNCTIONS OF CONTRACTION TYPES WITHOUT HYPOTHESIS OF CONTINUITY

#### **NGUYEN HUY VIET\***

haived: July 15, 1983

ABSTRACT. — In this paper we present a fixed point theorem for multi-valued functions of contraction type. The class of all multivalued functions which satisfy our condition is more large than those classes considered in [1], [2], [4], and [6].

**Definition and notations.** In the sequel we shall use the following mations. For a metric space X by CL(X) we denote the class of all mempty closed subsets of X. By H we denote the Hausdorff distance CL(X) generated by the metric

$$H(A, B) = \max \left\{ \sup_{b \in B} \inf_{a \in A} d(a, b), \sup_{a \in A} \inf_{b \in B} d(a, b) \right\}$$

for all A,  $B \in CL(X)$ 

Ind, as usual,  $d(x, A) = \inf \{d(x, y), y \in A\}$ 

Let  $F: X \to CL(X)$  be a multi-valued function.

DEFINITION. A sequence  $\{x_n, n = 0, 1, 2, ...\}$  is called an orbit of at x iff  $x_0 = x$ ,  $x_{n+1} \in Fx$ , n = 0, 1, 2, ...

THEOREM. Let X be a metric space;  $F: X \to CL(X)$  be a function satisfing the following conditions:

i) There is an orbit of F at a point  $x_0$ , containing two successive connects subsequences

$$x_{ni} \underset{i \to \infty}{\longrightarrow} x_*, \qquad x_{ni+1} \underset{i \to \infty}{\longrightarrow} x_*$$

ii) There exist real numbers  $q_1$  and  $q_2$ :

$$q_2 < 1$$
 such that

 $H(Fx, Fy) \le q_1 d(x, y) + q_2 \max \{d(x, Fx) + d(y, Fy), d(x, Fy) + d(y, Fx)\}$  for all x, y in X.

Then  $x_* \in Fx_*$ 

*Proof.* Suppose  $x_* \notin Fx_*$ . Since  $Fx_*$  is nonempty and closed we have  $\ell(x_*, Fx_*) = r > 0$ . From the condition i) of the theorem it follows that for every  $\varepsilon > 0$ , there is a non-negative integer  $i(\varepsilon)$  such that for all

<sup>\*</sup> Institute of Mathematics, Ha-noi, Viet-nam
Present address: Faculty of Mechanics-Mathematics, Moscow, MGU. B. 234, URSS

 $i \ge i(\varepsilon)$  both  $x_{n_i}$  and  $x_{n_i+1}$  belong to the open ball centered at  $x_*$  of radius  $\varepsilon: x_{n_i} \in 0$   $(x_*, \varepsilon), x_{n_i+1} \in 0$   $(x_*, \varepsilon)$ .

And hence for all  $i \ge i(\varepsilon)$  we have

$$d(x_{n_i}, x_{n_i+1}) \leq d(x_{n_i}, x_*) + d(x_*, x_{n_i+1}) \leq 2\varepsilon$$

From here we have:

$$d(x_{n_i}, Fx_{n_i}) \geqslant 2 \tag{1}$$

From the definition of the distance between a point and a set in metric space, it follows:

$$d(x_{n_i}, Fx_*) \leq d(x_{n_i}, x_*) + d(x_*, Fx_*)$$

And thus, for all  $i \ge i(\varepsilon)$  we have

$$d(x_{n_{\epsilon}}, Fx_{\bullet}) \leq \varepsilon + r \tag{2}$$

From the condition ii) of the theorem and using (1) and (2) have for  $i \ge i(\varepsilon)$ 

$$H(Fx_{n_2}, Fx_*) \leq q_1\varepsilon + q_2 \max \{2\varepsilon + r, (\varepsilon + r) + \varepsilon\}$$

Hence

$$H(Fx_{n,r}, Fx_{*}) \leq q_{1}\varepsilon + q_{2}(r + 2\varepsilon) \qquad (3)$$

In the other hand

$$d(x_*, Fx_*) \leq d(x_*, X_{n_*+1}) + d(x_{n_*+1}, Fx_*)$$

From this for  $i \ge i(\varepsilon)$  we have

$$d(x_{n_i+1}, Fx_*) \geqslant r - \varepsilon \qquad (4)$$

Since  $q_2 < 1$ , it is clear that (3) contradicts (4) when z is chioseen sufficiently small and  $i \ge i(z)$ 

Thus  $x_* \in Fx_*$ 

Remark 1. In the condition ii) of the theorem  $q_1$  is arbitrary and  $q_2$  can be more than  $\frac{1}{2}$ .

Remark 2. In the proof of the theorem the condition ii) need be fulfilled only for all pairs of tipe  $(x_n, x_*)$ 

By considering the simple-valed function we have the following.

COROLLARY Let X be a metric space,  $f: X \rightarrow X$  be a mapping satisfying the following conditions:

i) There is an orbit of f at a point  $x_0$  containing two succesive convergent subsequences

$$x_n \xrightarrow[i \to \infty]{} x_*, \quad x_{n_i+1} \xrightarrow[i \to \infty]{} x_*$$

ii) There exist real numbers  $q_1$  and  $q_2$ ,  $q_2 < 1$  such that  $d(f(x_{n_i}), f(x_*)) \le q_1 d(x_{n_i}, x_*) + q_2 \max \{d(x_{n_i}, f(x_{n_i})) + d(x_*, f(x_*)), d(x_{n_i}, f(x_*)) + d(x_*, f(x_{n_i}))\}$  for all integers i.

The following example shows that the theorem does not hold if  $q_2$  is replaced by 1.

Example. 
$$X = \left\{ -\frac{1}{2^n}, n = 0, 1, 2, \dots \right\} \cup \{0\} \cup \{1\},$$

$$f: X \to X \text{ defined by } f\left(-\frac{1}{2^n}\right) = -\frac{1}{2^{n+1}},$$
 $n = 0, 1, 2, \dots, f(0) = 1: f(1) = -1.$ 

Then  $x_*$  is a fixed point of f.

The reader can verify the fulfilment of all conditions of the theorem with  $q_1 = q_2 = 1$  and f has no fixed point.

#### REFERENCES

- Ciric L., A generalization of Banach's contraction principle, Proc. Amer. Math. Soc. 45, 2 (1974), 264-273.
- 2. Nadler S., Multi-valued contractive mappings, Pacific J. Math., 30 (1969) 475-488.
- 3. Reich S., Kannan's fixed point theorems, Boll. un. Math. I tal. (1971), 1-11.
- 4. Smithson R., Fixed points for contractive multifunctions, Proc. Amer. Math. Soc., 21 (1971), 192-194.
- 5. Do Hong Tan, On the contraction principle, Acta, Math. Viet. 4, 2 (1979), 88-102.
- 6. R. Kannan, Some results on fixed points II, Amer. Math. Monthly, v. 76 (1969), 405-407.

## SOME NONNEGATIVE DETERMINANTS IN INNER PRODUCT SPACES

#### ALEXANDER ABIAN\*

Received: July 30, 1983

ABSTRACT. - Cauchy - Schwarz inequality is generalized in the paper, under the form of a *n*-order determinant.

A fertile source of inequalities is provided by the notion of the inner product of a vector with itself in a finite dimensional vector space over the field of the real numbers **R**. Let u and v be vectors in such an n-dimensional vector space **R**<sup>n</sup>. Thus, u is identified with an n-tuple of real numbers, say,  $(a_1, a_2, \ldots, a_n)$  and v is identified with an n-tuple of real numbers, say  $(b_1, b_2, \ldots, b_n)$ . Denoting the *inner product* of u and v by  $\langle u, v \rangle$ , we have according to the usual definition:

$$\langle u, v \rangle = a_1 b_1 + a_2 b_2 + \ldots + a_n b_n$$
 (1)

Replacing in (1) the vector v by u, we have

$$\langle u, u \rangle = a_1^2 + a_2^2 + \ldots + a_n^2$$
 (2)

Since the right side of the equality sign in (2) is a sum of squares of the elements of  $\mathbf{R}$  (the set of all real numbers), we have:

$$\langle u, u \rangle \geqslant 0$$
 for every vector  $u$  in  $\mathbb{R}^n$  (3)

Obviously, (3) is an inequality and as shown below, it is the motivating factor behind many inequalities. For instance, let us take instead of u the sum v + w of vectors v and w. But then we have:

$$\langle v + w, v + w \rangle = \langle v, v \rangle + 2 \langle v, w \rangle + \langle w, w \rangle$$
 (4)

which by (2) yields the following inequality:

$$\langle v, v \rangle + 2 \langle v, w \rangle + \langle w, w \rangle \geqslant 0$$
 for every  $v, w$  in  $\mathbb{R}^{\bullet}$  (5)

The inequality (5) itself can be rewritten in various ways, each giving rise to an inequality. Thus, from (5) the following two inequalities follow immediately:

$$\langle v, v \rangle + \langle w, w \rangle \geqslant -2 \langle v, w \rangle$$
 for every  $v, w$  in  $\mathbb{R}^n$  (6)

and

$$\langle v, v \rangle + \langle v, w \rangle \geqslant -\langle v, w \rangle - \langle w, w \rangle$$
 for every  $v$ ,  $w$  in  $\mathbb{R}^{\bullet}$  (7)

True, that (5), (6), (7) are inequalities, however, most probably they are neither too interesting nor too useful. For instance, neither seems to be as interesting or as useful as the Cauchy-Schwarz inequality. A reason

<sup>\*</sup> Department of Mathematics, Iowa University, Ames, Iowa 50011, U.S.A.

for this perhaps lies in the fact that v + w is a quite trivial linear combination of v and w and in a way one should not expect to obtain an interesting inequality by merely replacing u in (3) by v + w.

Let us now consider a less trivial linear combination involving v and w. For instance, let us consider a linear combination involving v and w which is also orthogonal to v. In particular, let us consider the linear combination of v and w given by:

$$\langle v, v \rangle w - \langle v, w \rangle v$$
 (8)

which is orthogonal to v. Indeed, it is trivial to verify that the inner product of  $\langle v, v \rangle w - \langle v, w \rangle v$  with v is 0. Now, let us replace u in (3) by (8). Thus,

$$\langle (\langle v, v \rangle w - \langle v, w \rangle v), (\langle v, v \rangle w - \langle v, w \rangle v) \rangle \geqslant 0$$
 (9)

Applying the distributivity law to the above inner product and observing that r < v, w > = < w, v > r for every v, w in  $\mathbb{R}^n$  and every r in  $\mathbb{R}$ , we obtain, after obvious simplification:

$$\langle v, v \rangle \langle v, v \rangle \langle w, w \rangle - \langle v, v \rangle \langle v, w \rangle \langle v, w \rangle \geqslant 0$$
 (10)

If  $v \neq 0$  then  $\langle v, v \rangle \neq 0$  and therefore upon dividing both sides of the inequality (10) by  $\langle v, v \rangle$  we have:

$$\langle v, v \rangle \langle w, w \rangle - \langle v, w \rangle \langle v, w \rangle \geqslant 0 \text{ for every } v, w \text{ in } \mathbf{R}^n$$
 (11)

regardless whether v = 0 or  $v \neq 0$ .

Inequality (11) is quite interesting and quite useful. Indeed, it is the Cauchy-Schwarz inequality. Thus, starting with an interesting linear combination (8) of v and w and using it in an obvious (but very basic) inequality (3), we obtained a trather interesting inequality (11).

We may rewrite inequality (11) in the determinant form as follows:

$$\begin{vmatrix} \langle v, v \rangle & \langle v, w \rangle \\ \langle v, w \rangle & \langle w, w \rangle \end{vmatrix} \geqslant 0 \qquad \text{for every } v, w \text{ in } \mathbf{R}^n$$
 (12)

Thus, the Cauchy-Schwarz inequality lends itself to be expressed as a nonnegative determinant.

Looking at  $\langle x, y \rangle$  as an entry in a matrix indicating the entry at the x-row and y-column, we rewrite (12) in the following form:

$$\begin{vmatrix} \langle x, x \rangle & \langle x, y \rangle \\ \langle y, x \rangle & \langle y, y \rangle \end{vmatrix} \geqslant 0 \qquad \text{for every } x, y \text{ in } \mathbf{R}^n$$
 (13)

An immediate generalization of (13) to any finite number of vectors is known in the literature as the Gramian of these vectors. Thus, for vectors x, y, z the inequality corresponding to (13) is

$$\begin{vmatrix} \langle x, x \rangle & \langle x, y \rangle & \langle x, z \rangle \\ \langle y, x \rangle & \langle y, y \rangle & \langle y, z \rangle \\ \langle z, x \rangle & \langle z, y \rangle & \langle z, z \rangle \end{vmatrix} \geqslant 0 \quad \text{for every } x, y, z \text{ in } \mathbf{R}^n$$
 (14)

Clearly, (14) is another example of nonnegative determinants.

32 A. ABIAN

Gramian type nonnegative determinants are known in the literature. Below, pursuing our approach of considering the inner product with itself of an interesting linear combination of vectors, we obtain a new class of non-negative determinants.

Let us observe that in the case of vectors v and w the nonnegative determinant (12) is obtained as a result of considering the inner product with itself of a nontrivial linear combination of v and w which is orthogonal to v. Motivated by this, for vectors u, v, w let us consider a nontrivial linear combination which is orthogonal to both u and v. Such is for instance the linear combination of u, v, w given by:

$$(\langle u, v \rangle \langle v, w \rangle - \langle u, v \rangle \langle v, v \rangle u + (\langle u, v \rangle \langle u, w \rangle - \langle u, u \rangle \langle v, w \rangle)v + + (\langle u, u \rangle \langle v, v \rangle - \langle u, v \rangle \langle u, v \rangle)w$$
 (15)

It is not difficult to verify that the inner product of the vector given by (15) with itself (which is a nonnegative real number) can be written as the following determinant (which, accordingly, is also nonnegative):

$$\begin{vmatrix} \langle u, u \rangle & \langle u, v \rangle & \langle u, u \rangle & \langle u, w \rangle \\ \langle u, v \rangle & \langle v, v \rangle & \langle u, v \rangle & \langle v, w \rangle \\ \langle u, u \rangle & \langle u, w \rangle & \langle u, u \rangle & \langle u, w \rangle \\ \langle u, v \rangle & \langle v, w \rangle & \langle u, w \rangle & \langle w, w \rangle \end{vmatrix} \geqslant 0$$

$$(16)$$

Let us observe that the nonnegative determinant given by (16) is a 2 by 2 determinant whose entries, in their turn, are also 2 by 2 determinants. Moreover, the (1, 1) entry in that determinant is the 2 by 2 determinant given by (12), and, the (1, 2) as well as the (2, 1) entry in that determinant is obtained from determinant given by (12) by substituting w for v in every occurrence of v in the rightmost column of the table of (12), and, the (2, 2) entry in that determinant is obtained from determinant given by (12) by substituting w for v in every occurrence of v in (12).

Appliying our scheme to four vectors u, v, w, z we obtain the following (quite nontrivial) 2 by 2 nonnegative determinant:

$$\begin{vmatrix} |\langle u, u \rangle \langle u, v \rangle| \langle u, u \rangle \langle u, w \rangle \\ \langle u, v \rangle \langle v, v \rangle| \langle u, v \rangle \langle v, w \rangle \\ |\langle u, v \rangle \langle v, v \rangle| \langle u, v \rangle \langle v, w \rangle \\ |\langle u, u \rangle \langle u, w \rangle |\langle u, u \rangle \langle u, w \rangle \\ |\langle u, v \rangle \langle v, w \rangle| \langle u, w \rangle \langle w, w \rangle \\ |\langle u, v \rangle \langle v, w \rangle| \langle u, w \rangle \langle w, w \rangle \\ |\langle u, v \rangle \langle v, w \rangle| \langle u, w \rangle \langle w, w \rangle \\ |\langle u, v \rangle \langle v, w \rangle| \langle u, v \rangle \langle v, w \rangle \\ |\langle u, v \rangle \langle v, v \rangle| \langle u, v \rangle \langle v, z \rangle \\ |\langle u, v \rangle \langle v, w \rangle| \langle u, v \rangle \langle v, z \rangle \\ |\langle u, v \rangle \langle v, w \rangle| \langle u, w \rangle \langle w, z \rangle \\ |\langle u, v \rangle \langle v, w \rangle| \langle u, w \rangle \langle w, z \rangle \\ |\langle u, v \rangle \langle v, z \rangle| \langle u, w \rangle \langle v, z \rangle \\ |\langle u, v \rangle \langle v, z \rangle| \langle u, w \rangle \langle v, z \rangle \\ |\langle u, v \rangle \langle v, z \rangle| \langle u, w \rangle \langle v, z \rangle \\ |\langle u, v \rangle \langle v, z \rangle| \langle u, z \rangle \langle z, z \rangle \\ |\langle u, v \rangle \langle v, z \rangle| \langle u, z \rangle \langle z, z \rangle \\ |\langle u, v \rangle \langle v, z \rangle| \langle u, z \rangle \langle z, z \rangle \\ |\langle u, v \rangle \langle v, z \rangle| \langle u, z \rangle \langle z, z \rangle \\ |\langle u, v \rangle \langle v, z \rangle| \langle u, z \rangle \langle z, z \rangle \\ |\langle u, v \rangle \langle v, z \rangle| \langle u, z \rangle \langle z, z \rangle \\ |\langle u, v \rangle \langle v, z \rangle| \langle u, z \rangle \langle z, z \rangle \\ |\langle u, v \rangle \langle v, z \rangle| \langle u, z \rangle \langle z, z \rangle \\ |\langle u, v \rangle \langle v, z \rangle| \langle u, z \rangle \langle z, z \rangle \\ |\langle u, v \rangle \langle v, z \rangle| \langle u, z \rangle \langle z, z \rangle \\ |\langle u, v \rangle \langle v, z \rangle| \langle u, z \rangle \langle z, z \rangle \\ |\langle u, v \rangle \langle v, z \rangle| \langle u, z \rangle \langle z, z \rangle \\ |\langle u, v \rangle \langle v, z \rangle| \langle u, z \rangle \langle z, z \rangle \\ |\langle u, v \rangle \langle v, z \rangle| \langle u, z \rangle \langle z, z \rangle \\ |\langle u, v \rangle \langle v, z \rangle| \langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle| |\langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle| |\langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle| |\langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle| |\langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle| |\langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle| |\langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle| |\langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle| |\langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle| |\langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle| |\langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle| |\langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle| |\langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle| |\langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle| |\langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle| |\langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle| |\langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle| |\langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle| |\langle u, z \rangle \langle z, z \rangle \\ |\langle u, z \rangle \langle z, z \rangle |\langle z, z \rangle \rangle \\ |\langle u, z \rangle \langle z, z \rangle |\langle z, z \rangle \rangle \\ |\langle u, z \rangle \langle z, z \rangle |\langle z, z \rangle \rangle \\ |\langle u,$$

Naturally, all the results mentioned above are equally well applicable for the case of the real *inner product spaces*, and, more generally, for the case of the *unitary spaces*.

We summarize the method of construction of our (new class) of 2 by 2 nonnegative determinants as follows.

Let  $v_1, v_2, v_3, \ldots$  be elements of a real inner product (or a unitary) space with  $\langle v_i, v_j \rangle$  indicating the inner product of  $v_i$  and  $v_j$ . For every inteber  $n \geq 2$  we define inductively a 2 by 2 symmetric matrix  $S_n$  as follows:

$$S_{2} = \begin{pmatrix} \langle v_{1}, v_{1} \rangle & \langle v_{1}, v_{2} \rangle \\ \langle v_{1}, v_{2} \rangle & \langle v_{2}, v_{2} \rangle \end{pmatrix} \text{ and } S_{n+1} = \begin{pmatrix} a_{11} & a_{21} \\ a_{21} & a_{22} \end{pmatrix}$$

where  $a_{11} = S_n$  and  $a_{21}$  is obtained from  $S_n$  by substituting  $v_{n+1}$  for  $v_n$  in every occurrence of  $v_n$  in the rightmost column of the table of  $S_n$  and  $a_{21}$  is obtained from  $S_n$  by substituting  $v_{n+1}$  for  $v_n$  in every occurrence of  $v_n$  in  $S_n$ .

Replacing every matrix  $S_i$  which occurs in  $S_n$  by its determinant  $|S_i|$  we obtain a nonnegative determinant  $|S_n|$ , i.e.,  $|S_i| \ge 0$  for every integer  $n \ge 2$ .

#### HYPERVALUABILITY OF A RING

#### J. PAPADOPOULOS\*

Received: August 2, 1983

ABSTRACT. — The aim of this paper in to whow that there exist a semigroup S which although without zero divisors yet is not cancellative, and moreover a Ring exists that is hypervaluated by such a semigroup.

§ 1. **Introduction.** We wish to consider the following question: Is it possible to have a semigroup S that has no zero divisors and non-cancelative, and a ring  $\mathbf{R}$  that can be hypervaluated by this sergroup?

We mean here our semigroup S to have a zero element 0 and a  $\mathfrak{u}$  element 1, is we have  $0 \cdot s = s \cdot 0 = 0 \ \forall \ s \in S$  and  $1 \cdot s = s \cdot 1 = s \ \forall \ s \in S$ . We remark that 1 and 0 are unique.

DEFINITION 1 We say that a semigroup S is ordered if it is supplied with an order  $\leq$  such that

- 1. if a, b,  $c \in S$  then  $a \leq b \Rightarrow c \cdot a \leq c \cdot b$  and  $a \cdot c \leq b \cdot c$
- 2.  $0 \le 1$  (hence  $0 = 0 \cdot c \le 1 \cdot c = c \ \forall \ c \in S$ )
- If the order is total, S is called totally ordered

DEFINITION 2 An hypervaluation on a ring R is a function (II) for  $\mathbf{R}$  onto a totally ordered semigroup S satisfying the following conditions:

- 1.  $|a| = 0 \Leftrightarrow a = 0 \quad \forall a \in \mathbf{R}$
- 2.  $|a| = |-a| \forall a \in R$
- 3.  $|a + b| \leq \text{Max}\{|a|, |b|\} \ \forall \ a, \ b \in \mathbb{R}$
- 4.  $|a \cdot b| = |a||b| \ \forall a, b \in \mathbf{R}$

Remarks 1. If the semigroup S does not have any zero divisors the ring R does not have any either. Indeed suppose  $a, b \in \mathbb{R}$   $a \neq 0$   $b \neq b$  but with  $a \cdot b = 0$ . We then have  $|a \cdot b| = |0| = 0$ . So  $|a \cdot b| = |a| \cdot |b| =$ But  $a \neq 0$  implies  $|a| \neq 0$  and  $b \neq 0$  implies  $|b| \neq 0$  and yet |a||b| =contradicting our hypothesis that S has no zero divisors.

2. We easily see that a cancellative semigroupe has no zero divisors, how ver the converse is not true in general as we shall see in what follow

We are able to give an affirmativ answer to our question thus priving the following theorem:

THEOREM: There exists a totally ordered a semigroup S, with no a divisors and yet non cancellative, and a ring R that can be hypervalually S this semigroup.

<sup>\*</sup> John Papadopoulos, Ioulianou 41-43, Athens, Greece

The theorem was proved by constructing an example in steps. We construct first a semigroup  $S_2$  with the desired properties (i.e. totally ordered, no zero divisors; and not cancellative) starting out from a given but arbitrary totally ordered semigroup  $S_1$ . Then we construct a ring R that is hypervaluated by  $S_2$ .

§ 2. Construction of  $S_2$ . We begin with an arbitrary given totally ordered semigroup  $\{S_1, \cdot, \cdot, >\} = \{0_1, a, b, \ldots\}$  where  $0_1$  its absorbent (zero) element. Consider now the set  $S_2 = S_1 \cup \{0_2\}$  that we get if we adjoint a new element  $0_2$  to the set  $S_1$  and an operation \* defined on  $S_1$  by  $a*b=a\cdot b$  if a,  $b\in S_1$  and  $O_2*a=a*O_2=O_2 \ \forall \ a\in S_2$ . (In particular  $O_2*O_1=O_1*O_2=O_2$ ).

PROPOSITION 1  $\{S_2, *\}$  is a semigroup. The proof of this is straight forward. Let's show for example the associativity: Let be a, b,  $c \in S_2$ . If a, b,  $c \in S_1$  the associativity results from the associativity in  $S_1$ . And if for emample  $a = 0_2$  we then have  $(0_2 * b) * c = 0_2 * c = 0_2 * (b * c)$ .

PROPOSITION 2  $(S_2 *)$  does not have any zero divisors

*Proof*: Indeed it is impossible to have  $a, b \in S_2$   $a, b \neq 0_2$  with  $a*b=0_2$ , because since  $a, b \neq 0_2$  it follows that  $a, b \in S_1$  and so their product in  $S_2$  (which coincides with their product in  $S_1$ )  $a*b=a\cdot b$  also belongs to  $S_1 \cdot S_0$   $a \cdot b \in S_1 \Rightarrow a \cdot b \neq 0_2$  because  $0_2 \notin S_1$ .

PROPOSITION 3  $(S_2, *)$  is not cancellative

*Proof*: Indeed suppose a, b,  $\in S_1$  a, b,  $\neq 0_1$   $a \neq b$ . We have  $0_1 * a = 0_1 \cdot a = 0_1 = 0_1 \cdot b = 0_1 * b$  since  $0_1$  is the absorbent (zero) element in  $S_1$  (but not in  $S_2$ ). So in  $S_2$  we can have  $O_1 * a = O_1 * b$ without having a = b (we chose  $a \neq b$ ).

PROPOSITION 4 There is a compatible lotal ordering > on  $S_2$  that makes  $(S_2, *, >)$  into a totally ordered semigroup.

*Proof*: Define  $0_2 < a \ \forall \ a \in S_1$  and a < b iff  $a < b \ \forall \ a, \ b \in S_1$ . The conclusion follows immediately.

- § 3. PROPOSITION 5 Let I be a two-sided ideal of an integral domain  $\mathbf{R}$ . If  $\mathbf{R}/I$  can be hypervaluated by  $S_1$  then  $\mathbf{R}$  can be hypervaluated by  $S_2$ . Before proving this proposition let's make two remarks:
- l. In what follows, we employ, with no risk of confusion the symbol · to denote the composition in  $S_1$  as well as in  $S_2$ .
- 2. The term "ideal" in a ring not necessarilly commulative signifies a two-sided ideal.

Proof of proposition 5. Suppose we have the valuation ||:

$$R/I \perp \downarrow \downarrow S_1 = \{0_1, a, b \ldots\}$$

We construct a valuation || ||:

$$R \stackrel{||}{\longrightarrow} S_2 = \{0_2\} \bigcup S_1$$
 by posing:

If  $a \in \mathbb{R}$ , a = 0 then ||a|| = 0,

If  $a \in \mathbf{R}$   $a \neq 0$  then ||a|| = |a + I|

This implies that if  $a \in I$ , then  $||a|| = 0_1$ We show now that || || is a valuation of R onto  $S_2 = \{0_2\} \cup S_1$ .

- 1)  $\forall a \in \mathbf{R}$  we have  $||a|| = 0_2$  if and only if a = 0 by definition of
- 2) We show that  $||-a|| = ||a|| \forall a \in \mathbf{R}$ 
  - i) if  $a \neq 0$  then  $-a \neq 0$  and we have

$$||a|| = |a + I| = |-a + I|$$
 (because | | is an (hyper) valuation  $\mathbf{R}/I$  and  $-a + I = -(a + I)$  in  $\mathbf{R}/I = ||-a||$ 

- ii) if a = 0 then -a = 0 and so  $||a|| = ||-a|| = 0_2$ .
- 3) We show that  $||a + b|| < Max\{||a||, , ||b||\} \ \forall a, b \in \mathbb{R}$ . Indeed
  - i) if a = b = 0 then a + b = 0 evident case
  - ii) if a = 0  $b \neq 0$  then a + b = b

$$||a|| = 0_2 ||b|| < 0_2 \text{ and } ||a + b|| = ||b||$$

- iii) if  $a, b \neq 0$  we can have  $a + b \neq 0$  or a + b = 0
- a) if a + b = 0 then  $||a + b|| = 0_2 < ||a||$ , ||b|| so  $< Max {||a||}$
- $\beta$ ) if  $a + b \neq 0$  then we have

$$||a|| = |a + I|$$
  $||b|| = |b + I|$   
 $||a + b|| = |a + b + I|$  (by definition)  
 $= |(a + I) + (b + I)| \le \text{Max}\{|a + I|, |b + I|\}$   
(since | | is an hypervaluation for  $R/I$ )  
 $= \text{Max}\{||a||, ||b||\}.$ 

- 4) We show that  $||a \cdot b|| = ||a|| \cdot ||b|| \, \forall a, b \in \mathbb{R}$ . Indeed, we distint the following cases:
  - i) if a = b = 0 then ab = 0 evident
  - ii) if a = 0  $b \neq 0$  then  $a \cdot b = 0$  so  $||a|| = 0_2$   $||b|| \neq 0_2$  and  $||a|| \cdot ||b|| = 0_2$ . Also  $||a \cdot b|| = ||0|| = 0_2$  so  $||a \cdot b|| = ||a|| \cdot ||b||$
  - iii)  $a \neq 0$ ,  $b \neq 0$  we have  $a \cdot b \neq 0$  (since **R** is taken to be an in domain)

and so we have ||ab|| = |ab + I| (by definition) and so ||a|| = |a + I| ||b|| = |b + I|

 $||a|| \cdot ||b|| = |a + I| |b + I| = |ab + I|$  (because) | is an hypervalue = ||ab|| for  $\mathbf{R}/I$ )

We have verified that the condition 1), 2), 3), 4) that define an (hardward valuation are satisfied by the function  $|| ||: R \to S_2$ So || || defines an (hyper) valuation from R onto  $S_2$  and Propositis thus proved. § 4.Coffi's condition for hypervaluability of a ring. DEFINITION 3. Let A be a ring  $a \in A$ . We call the set of left annulators of a to be the set  $\{x \mid x \in A \mid x \cdot a = 0\}$  and we denote it by Ng(a). In an analogous way we define the set of the right annulators of a denoted by Nd(a).

Coffis theorem of valuability of a ring. Let A be a ring with a unit element 1.

A can be hypervaluated by a totally ordered semigroup S if and only if it satisfies the following conditions:

- 1. For all  $a \in A$  Ng(a) = Nd(s) (and we denote this set by N(a))
- 2. For all  $a, b \in A$  we have  $N(a \cdot b) = N(b \cdot a)$
- 3. The family  $N = \{N(a) | a \in A\}$  is totally ordered by inclusion.

In particular, A possesses an hyprvaluation  $\operatorname{st}|a| \to N(a)$  is a one-to-one correspondence between S and N.

We remark that Coffi in his construction suppose the semigroup Commutative. The ring A is not supposed necessarily commutative, but with an indentilty element 1. The details can be found in [1]. The idea is the following:

For each  $a \in A$  its ",value" |a| is N(a).

So,  $||: A \to N = S_1$ . Moreover  $S_1$  is totally ordered by the total order defined by:

$$a, b, \neq A \quad a \leq b \quad \text{iff} \quad N(a) \supseteq N(b)$$

Now according to our previous discussion, we can take a ring A of the form  $\mathbf{R}/I$  (ie  $A = \mathbf{R}/I$  where I is non-zero two-sided ideal of the ring R) and  $s \cdot t$  A satisfies the Coffi theorem conditions. By Coffi's theorem then we have an hypervaluation  $||_1: A \simeq \mathbf{R}/I \to N = S_1$ , which in turn induces (according to our proposition 5 in § 3) an hypervaluation  $||_2: \mathbf{R} \to S_2$  (where  $S_2$  is the semigroup with the desired properties, as it was constructed in § 2) and this provides us with the desired example.

§ 5 A concrete case Let's take  $\mathbf{R}=Z$  the ring of integers. l=(16) the ideal generated by the integer 16,  $A=\mathbf{R}/(16)$  and A satisfies the Coffis theorem conditions as we can easily verify (we observe that  $\forall b \in A$   $N(b)=\{x\in Z|16|b\ x\}$ . So A is hypervaluated by a certain semigroup  $S_1=N=\{N(a)|a\in A\}$ . By our discussion in § 3 then,  $\mathbf{R}$  is hypervaluated by  $S_2=\{0_2\}\bigcup S_1$  which has the desired properties.

# REFERENCES

- 1. Coffi, Comptes rendus Academie des Sciences, Paris, 254, III, 1980.
- 2. J. Papadopoulos, These 3 eme Cycle, Universite Paris VI, 1980.

# ON THE CONVERGENCE OF A METHOD OF INTEGRATING CAUCHY'S PROBLEMS

#### **ERNEST SCHEIBER\***

Received: October 14, 1983

ABSTRACT. - For integrating Cauchy's problems

$$\dot{x} = f(t, x) \ x(t_0) = x^0 \tag{1}$$

解析 化级分类的主义

on each interval  $t_k$ ,  $t_{k+1}$  of the division  $t_0 < t_1 < \ldots, < t_n$  ( $t_i = ih$ ,  $i = 0, 1, \ldots, n$ ), another Cauchy problem  $y = g_k(t, y)$ ,  $y(t_k) = y_{k-1}$  ( $t_k$ ) is formulated, with the solution  $y_k(t)$ ,  $k = 0, 1, \ldots, n(y(t_0) = x^\circ)$ ). The function  $x_h$ , defined by  $x_h(t) = y_k(t)$  if  $t \in [[t_k, t_{k+1}]]$ , is an approximation of solution (1). The relationships between f(t, x) and  $g_k(t, x)$ ,  $k = 0, 1, \ldots, n-1$ , are established, which ensure the discrete convergence of the approximative solution  $x_h$  twerds x.

Let be the Cauchy's problem

$$\begin{aligned}
\ddot{x} &= f(t, x) \\
x(t_c) &= x^0
\end{aligned} \tag{1}$$

with the solution x(t) defined on  $[t_t, T]$ . We supose that  $f: [t_t, T] \times \mathbb{R}^n \to \mathbb{R}^n$  is a continuous function. To integrate this problem we use the following method. Let be  $h = (T - t_t)/n$  and  $t_i = t_t + ih$ ,  $i = 0, 1, \ldots, n$ . On each interval  $[t_k, t_{k+1}]$  it defined another Cauchy's problem

$$\dot{\mathbf{y}} = g_k(t, \mathbf{y}) 
\mathbf{y}(t_k) = y_{k-1}(t_k).$$
(2)

with the solution  $y_k(t)$  on  $[t_k, t_{k+1}]$ . For k = 0 we use  $y(t_0) = x^n$ . We note by  $x_k$  the function defined by  $x_k(t) = y_k(t)$ , if  $t \in [t_k, t_{k+1}]$   $x_k$  is called an approximation of the solution of problem (1). This method was used by I x a r u I. [2] and P a ve 1 G. [4] to integrate linear differential equation with variable coefficients. Marines c u C. [3] consider such method to integrate linear systems of differential equations with variable coefficients. A direct proof of convergence is given.

We are interesed to establish a connection between the equations (1) and (2) which assure the convergence of the approximation  $x_k$  to x. We say that  $x_k$  concerges discretely to x if  $\lim_{h \to 0} \max_{k \to 0} ||x_k(t_k) - x(t_k)|| = 0$ .

THEOREM 1. If  $||f(t, x) - g_k(t, y)|| \le a_k(t)||x - y|| + c|t - \overline{t}_k|^r$  on  $[t_k, t_{k+1}]$ , where  $\overline{t}_k \in [t_k, t_{k+1}]$ ,  $a_k(t)$  is a non-negative continuous function on  $(t_k, t_{k+1})$  and  $c, \gamma > 0$ ,  $k = 0, 1, \ldots, n-1$ , then the approximation  $x_k$  converges discretely to x, the solution of the Cauchy's problem.

<sup>\*</sup> University of Brasov, Faculty of Mathematics, 2200 Brasov, Romania

4.

*Proof.* Let  $a:[t_0,t_n]\to \mathbf{R}$  be the function defined by  $a(t)=a_k(t)$  if  $t\in[t_k,t_{k+1})$ . For  $t\in[t_k,t_{k+1}]$  we have

$$x(t) = x(t_k) + \int_{t_k}^{t} f(s, x(s)) ds,$$
  
$$y_k(t) = y_k(t_k) + \int_{t_k}^{t} g_k(s, y_k(s)) ds$$

and, further

$$x(t) - y_k(t) = x(t_k) - y_k(t_k) + \int_{t_k}^{t} [f(s, x(s)) - g_k(s, y_k(s))] ds$$

Using the hypothesis of the theorem we obtain

$$||x(t) - y_{k}(t)|| \leq ||x(t_{k}) - y_{k}(t_{k})|| + \int_{t_{k}}^{t} a_{k}(s)||x(s) - y_{k}(s)||ds +$$

$$+ C \int_{t_{k}}^{t} |s - t_{k}|^{\gamma} ds \leq ||x(t_{k}) - y_{k}(t_{k})|| + \frac{2Ch^{\gamma+1}}{\gamma+1} + \int_{t_{k}}^{t} a_{k}(s)||x(s) - y_{k}(s)||ds.$$

Applying Gronwall's lemma it results

$$||x(t) - y_k(t)|| \leq \left(||x(t_k) - y_k(t_k)|| + \frac{2Ch^{\gamma+1}}{\gamma+1}\right) e^{\int_{t_k}^{t_k} a(s)ds}$$

and particulary for  $t = t_{k+1}$ 

$$||x(t_{k+1})-y_k(t_{k+1})|| \leq \left( (||x(t_k)-y_k(t_k)|| + \frac{2Ch^{\gamma+1}}{\gamma+1} \right) e^{-\frac{t_k+1}{t_k}} \qquad k=1, 2^{\frac{\gamma}{2}}, (\sqrt{n}-1)$$

For k=0 one has the inequality

$$||x(t_1) - y_1(t_1)|| \le \frac{2Ch^{\gamma+1}}{\gamma+1} e^{\int_{t_0}^{t_1} a(s)ds}.$$

40 E. SCHEIBER

For k = 1 we deduce

$$\begin{aligned} ||x(t_2) - y_2(t_2)|| &\leq \left( ||x(t_1) - y_1(t_1)|| + \frac{2Ch^{\gamma+1}}{\gamma+1} \right) e^{t_1} &\leq \\ &\leq \left( \frac{2Ch^{\gamma+1}}{\gamma+1} e^{t_0} + \frac{2Ch^{\gamma+1}}{\gamma+1} \right) e^{t_1} &\leq \\ &= \frac{2Ch^{\gamma+1}}{\gamma+1} \left( \int_{e^{t_0}}^{t_1} a(s)ds & \int_{e^{t_0}}^{t_2} a(s)ds \\ &+ e^{t_1} \right). \end{aligned}$$

Inductively, it results

$$||x(t_{k}) - y_{k}(t_{k})|| \leq \frac{2Ch^{\gamma+1}}{\gamma+1} \begin{pmatrix} t_{k} & t_{k} & t_{k} & t_{k} \\ e^{t_{0}} & + e^{t_{1}} & + \dots + e^{t_{k+1}} \end{pmatrix} \leq \frac{2hCh^{\gamma+1}}{\gamma+1} e^{t_{0}} \leq \frac{2(t_{n} - t_{0})Ch^{\gamma}}{\gamma+1} e^{t_{0}} & (k = 0, 1, \dots, n).$$

and hence  $\lim_{h\downarrow 0} \max_{k=\overline{0,h}} |x(t_k) - y_k(t_k)|| = 0.$ 

We apply this theorem to prove the convergence of mentioned method used in [3] to integrate linear systems of differential equations with variable coefficients:

$$\dot{x}_i = \sum_{j=1}^p a_{ij}(t)x_j + b_i(t) \quad i = 1, 2, ..., p.$$

We attach to the system (3) on each interval  $[t_k, t_{k+1}]$  the system with constant coefficients

$$\dot{y}_i = \sum_{j=1}^p a_{ij}(\tilde{t}_k)y_j + b_i(\tilde{t}_k) \ i = 1, 2, \ldots, p,$$

where  $\tilde{t}_k \in [t_k, t_{k+1}]$ . We suppose that  $a_{ij}(t)$ ,  $i, j = 1, 2, \ldots, p$  and  $b_i(t)$   $i = 1, 2, \ldots, p$  are continuous with their derivatives on  $[t_0, t_n]$ . This method is known as the step method. In this case f(t, x) = A(t)x + b(t) and  $g_k(t, y) = A(\tilde{t}_k)y + b(\tilde{t}_k)$  where

$$A(t) = \begin{pmatrix} a_{11}(t) \dots a_{1p}(t) \\ \vdots \\ a_{p1}(t) \dots a_{pp}(t) \end{pmatrix}, b(t) = \begin{pmatrix} b_1(t) \\ \vdots \\ b_p(t) \end{pmatrix}$$

Then

$$||f(t, x) - g_{k}(t, y)|| = ||A(t)x + b(t) - A(\tilde{t}_{k})y - b(\tilde{t}_{k})|| \le$$

$$\le ||A(t)x - A(\tilde{t}_{k})x + A(\tilde{t}_{k})x - A(\tilde{t}_{k})y|| + ||b(t) - b(\tilde{t}_{k})|| \le$$

$$\le ||A(\tilde{t}_{k}) - A(t)|| \cdot ||x|| + ||A(\tilde{t}_{k})||||x - y|| + ||b(t) - b(\tilde{t}_{k})|| \le$$

$$\le ||A(\tilde{t}_{k})||||x - y|| + C|t - \tilde{t}_{k}| \le (\max_{t} ||A(t)||) ||x - y|| + C|t - \tilde{t}_{k}|,$$

where C = (p + 1)M with  $|a'_{ij}(t)|r \leq M$ ,  $|b'_{i}(t)| \leq M$  for all

 $t \in [t_0, t_n], i, j = 1, 2, \ldots p$ , and  $||x(t)|| \le r, t \in [t_0, t_0 + nh], x(t)$  being the solution of the equation  $\dot{x} = A(t)x + b(t)$ .

The conditions of THEOREM 1 can be weakened. Let k be a compact set which contains the sets  $\{x(t): t \in [t_0, t_0 + nh]\}$  and  $\{x_k(t): t \in [t_0, t_0 + nh]\}$ . Then we have

THEOREM 2. If 
$$\left\| \int_{t}^{t''} [f(s, x) - g_{k}(s, x)] ds \right\| \leq \xi(h)$$

for every  $t_k \leqslant t' < t'' \leqslant t_{k+1}$  and every  $x \in K$ , such that  $\lim_{h \downarrow 0} \frac{\xi(h)}{h} = 0$  and  $||g_k(t,x) - g_k(t,y)|| \leqslant L||x-y||$ ,  $k = 0,1,\ldots, n-1$  then  $x_k$  converges discretely to x.

*Proof.* For every  $t \in [t_i, t_{i+1}]$  one has the equalities

$$x(t) - x_h(t) = x(t_i) - x_h(t_i) + \int_{t_i}^{t} [f(s, x(s)) - g_i(s, x_h(s))] ds =$$

$$= x(t_i) - x_h(t_i) + \int_{t_i}^{t} [f(s, x(s) - g_i(s, x(s))] ds + \int_{t_i}^{t} [g_i(s, x(s) - g_i(s, x_h(s)))] ds$$

and hence

$$||x(t) - x_k(t)|| \le ||x(t_i) - x_k(t_i)|| + \xi(h) + L \int_{t_i}^t ||x(s) - x_k(s)|| ds.$$

Using Gronwall's lemma we obtain

$$||x(t) - x_h(t)|| \leq [||x(t_i) - x_h(t_i)|| + \xi(h)]e^{L(t-t_i)}$$
 (4)

In the same way (for  $t = t_{i+1}$ ) we find

$$x(t_{i+1}) - x_h(t_{i+1}) = x(t_i) - x_h(t_i) + \int_{t_i}^{t_{i+1}} [f(s, x(s)) - g_i(s, x_h(s))] ds$$

and adding up these equalities for i = 0, 1, ..., k - 1 we obtain

$$x(t_k) - x_k(t_k) = \sum_{i=0}^{k-1} \int_{t_k}^{t_{i+1}} [f(s, x(s)) - g_k(s, x_i(s))] ds =$$

$$=\sum_{i=0}^{k-1}\int_{t_i}^{t_{i+1}}[f(s, x(s)) - g_i(s, x(s))]ds + \sum_{i=0}^{k-1}\int_{t_i}^{t_{i+1}}[g_i(s, x(s)) - g_i(s, x_k(s))]ds$$

Futher we deduce

$$||x(t_k) - x_h(t_k)|| \le \sum_{i=0}^{k-1} \left\| \int_{t_i}^{t_{i+1}} [f(s), x(s)) - g_i(s, x(s))] ds \right\| +$$

$$+\sum_{i=0}^{k-1}\int_{t_{i}}^{t_{i+1}}||g_{i}(s,x(s))-g_{i}(s,x_{h}(s))||ds \leq k\xi(h)+L\sum_{i=0}^{k-1}\int_{t_{i}}^{t_{i+1}}||x(s)-x_{h}(s)||ds$$

Using (4) the last inequality becomes

$$||x(t_k) - x_k(t_k)|| \le k \xi(h) + L \sum_{i=0}^{k-1} [||x(t_i) - x_k(t_i)|| + \xi(h)] \int_{t_i}^{t_{i+1}} e^{L(s-t_i)} ds$$

$$= k \xi(h) + \sum_{i=0}^{k-1} [||x(t_i) - x_k(t_i)|| + \xi(h)] (e^{L(t_{i+1} - t_i)} - 1) = 0$$

$$= (e^{Lh} - 1) \sum_{i=0}^{k-1} ||x(t_i) - x_k(t_i)|| + e^{Lh} \xi(h)k.$$

Applying the discretely form of Gronwall's lemma we obtain

$$||x(t_k) - x_k(t_k)|| \leq e^{Lhk} \xi(h)k$$

Finally

$$\max_{k=\overline{0,n}} ||x(t_k) - x_k(t_k)|| \leq n\xi(h)e^{Lhn} = (t_n - t_0)e^{L(t_n - t_0)} \frac{\xi(h)}{h}$$

and hence 
$$\lim_{h\downarrow 0} \max_{k=\overline{0,n}} ||x(t_k) - x_h(t_k)|| = 0.$$

Now, we show that the theorem 2 implies theorem 1. Indeed, if  $||f(t_i,x)-g_i|(t_i,y)|| \leq a_i(t)||x-y||+C|t-\tilde{t_i}|^{\gamma}$  then for every  $t_i \leq t' < t'' \leq t_{i-1}$  one have the inequalities

$$\left\| \int_{t'}^{t''} [f(t, x) - g_i(t, x)] dt \right\| \leqslant \int_{t'}^{t''} ||f(t, x) - g_i(t, x)|| dt \leqslant$$

$$\leqslant C \int_{t'}^{t''} |t - \tilde{t}_i|^{\gamma} dt \leqslant \frac{2C}{\gamma + 1} (t'' - t')^{\gamma + 1} \leqslant \frac{2Ch^{\gamma + 1}}{\gamma + 1}.$$
Taking  $\xi(h) = \frac{2Ch^{\gamma + 1}}{\gamma + 1}$  we observe that  $\lim_{h \to 0} \frac{\xi(h)}{h} = 0.$ 

#### REFERENCES

- 1. HaJanay A., "Euații diferențiale", E.D.P., București, 1972.
- Ixaru L. Gr., "Metode numerice pentru ecuații diferențiale cu aplicații", Ed. Acad. R.S.R., 1979. București.
- 3. Marinescu C., "O soluție aproximativă a unui sistem de ecuații diferențiale", Bul. Univ. Brașov, Seria C, XXII (1980), pp. 71-74.
- 4. Pavel G., Asupra aproximației soluției problemei lui Cauchy relativă la o ecuație diferențială liniară de ordin n. Studia Univ. Babez-Bolyai, Math., 23, (2), 1978, pp. 49-53.

# ON THE COMMUTATIVITY OF SOME FAMILIES OF CLOSED OPERATIONS IN A HETEROGENEOUS CLONE

#### IOAN CĂLUGĂR\*

Received: October 26, 1983

ABSTRACT. — The heterogeneous algebras, introduced by Birkhoff and Lipson [1], play a very important role in computer science and essentialy in the study of abstract types [4,7]. We introduce the concepts of heterogeneous clone and abstract hetrogeneous clone of operations, and a commutativity property between two families of closed heterogeneous operations. This commutativity is generally complex and restrictive, but in the particular forms is very powerful in the specification of abstract types.

1. **Introduction.** Following the notations in [4], let S be a nonvoide the elements of which will be called sorts. Each indexed family of  $A = (A_s)_{s \in S}$  will be called S-sorted family of sets and each inedefamily of mappings  $f = (f_s)_{s \in S}$ , where  $f_s : A_s \to B_s$  is a mapping (see will be called S-sorted mapping. An S-sorted operator domain (signation consist of a set  $\Sigma$  equipped with two mappings:  $d: \Sigma \to S^*$  and  $c: \Sigma$ -called domain and respectively codomain; For each  $\sigma \in \Sigma$  with  $d(s) = w \in S^*$  and  $c(\sigma) = s \in S$ , we say that  $\sigma$  has functionality  $(w, s) \in S^* \times S$ . So we can see  $\Sigma$  as a disjoint union

$$\Sigma = \bigcup_{(w,s) \in S^{\bullet} \times S} \sum_{w,s} = \bigcup_{w \in S} \sum_{w} = \bigcup_{s \in S} \sum_{s}$$

where  $\sum_{w} = \{ \sigma \in \Sigma / d(\sigma) = w \in S^* \}$ ,  $\sum_{s} = \{ \sigma \in \Sigma / c(\sigma) = s \in S^* \}$ 

$$\sum_{w,s} = \sum_{w} \cap \sum_{s}$$

Let  $\sigma \in \Sigma_{w,s}$ ,  $w = s_1 \dots s_n$ ; If  $s \in \{s_1, \dots, s_n\}$  we will say that  $\epsilon$  closed; Otherwise  $\sigma$  is called open.

A  $\Sigma$ -algebra (or heterogeneous algebra) A consist of an S-sorted fam of sets  $(A_s)_{s \in S}$  called carrier sets, and for each  $(w, s) \in S^* \times S$  and  $\sigma \in \Sigma_{w,s}$ , there is a function  $\sigma_A : A^w \to A_s$  named operation of type and sort s, where  $A^w = A_{s_1} \times \ldots \times A_{s_n}$ . If  $w = \varepsilon$  is the unit elem of the free monoid  $S^*$ , then  $\sigma_A$  is a nullary operation. If at most one operation  $\sigma_A$  is a partial function, A will be called partial  $\Sigma$ -algebra.

A  $\Sigma$ -algebra B is called  $\Sigma$ -subalgebra of A if  $B_s \subseteq A_s$  for all  $s \in$  and for all  $\sigma \in \Sigma$ ,  $\sigma_B = \sigma_{A/B}$ , where  $\sigma_{A/B}$  is the restriction of  $\sigma_A$  to

<sup>\*</sup> Energomontaj Trust Bucharest, Calculation Center, Calea Dorobanti str, no. 105, Sect. 1. 7000 Buch Romania

Let A, B two  $\Sigma$ -algebras. An S-sorted function  $f: A \to B$  is called  $\Sigma$ -homomorphism if for all  $\sigma \in \Sigma_{w,s}$  ( $w \in S^*$ ,  $s \in S$ ) the following diagram commute:

$$\begin{array}{c|cccc}
A^{w} & \xrightarrow{\sigma_{A}} & A_{s} \\
f^{w} & & & \downarrow \\
f_{s} & & \downarrow \\
B^{w} & \xrightarrow{\sigma_{B}} & B_{s}
\end{array} (1.1)$$

Let  $X=(X_s)_{s\in S}$  an S-sorted family of variables and  $W_{\Sigma}(X)$  the word  $\Sigma$ -algebra freely generated by X([1], [2], [5], [6]). The properties of  $\Sigma$ -algebras can be expressed by formulas builts over equations of the form  $(t, t')_s$ ,  $s \in S$ , t,  $t' \in [W_{\Sigma}(X)]_s$ , using the firstorder predicate calculus. In the most general case we can consider sentences in the prenex normal form

$$Q_1 s_1 x_1 \dots Q_n s_n x_n \bigwedge_{1 \leq i \leq k} \left( \left( \bigvee_{1 \leq j \leq 1} p_{ij} \neq q_{ij} \right) \bigvee \left( \bigvee_{1+1 \leq j \leq m} r_{ij} = t_{ij} \right) \right) \tag{1.2}$$

where  $Q_i \in \{\forall, \exists\}$ .

The specification of an abstract type consist of a triple  $SP = (S, \Sigma, E)$  where  $\Sigma$  is an S-sorted signature and E a set of sentences in the form (1,2) called axioms. If the axiom in E are simple equations, the type will be called equational and then the category  $Alg_{SP}$  of all  $\Sigma$ -algebras satisfying E form a variety in the sense of [2].

For the formal description of an abstract type let take the following example:

type DATA is (BOOL) + sort data

opns  $E_{data}: \rightarrow data$ 

 $COND_{data}: (bool, data, data) \rightarrow data$ 

 $EQ_{data}$  :  $(data, data) \rightarrow bool$ 

 $OK_{data}$  :  $(data) \rightarrow bool$ 

axioms  $\forall$  data D,  $D_1$ ,  $D_2$ 

- (1)  $COND_{data}(T, D, D_1) = D$
- (2)  $COND_{data}$   $(F, D, D_1) = D_1$
- (3)  $EQ_{data}$  (D, D) = T
- (4)  $EQ_{data}$  (D,  $D_1$ ) =  $EQ_{data}$  ( $D_1$ , D)
- (5)  $EQ_{data}$   $(D, D_1) = T \& EQ_{data}$   $(D_1, D_2) = T \Rightarrow EQ_{data}$   $(D, D_2) = T$
- (6)  $OK_{data}$   $(D) = \text{COND}_{data}$   $(EQ_{data} \ (D, E_{data} \ ), F, T)$

where BOOL is the usual type of the truth values (see [4]).

Now  $S = \{bool, data\}, \quad \Sigma = \{T, F, \&, \bigvee, \Rightarrow, EQ_{bool}, COND_{bool}, E_{data}\}$ 

COND<sub>data</sub>, EQ<sub>data</sub>, OK<sub>data</sub>}, E contains the axioms of BOOL and, the axioms (1) ... (6) above.

46 1. CALUGAR

2. Clones of Heterogeneous Operations. Let A be a novoid S-sorted family of sets and denote by H(A) the set of all finitary heterogeneous operations on A. Then H(A) can be viewed as a disjoint union:

$$H(A) = \bigcup_{w,s} \int_{S^{\bullet} \times S} H_{w,s}(A), \text{ where } H_{w,s}(A) = \{\sigma \in H(A) | d(\sigma) = w, c(\sigma) = s\}.$$

Let  $w = s_1 \ldots s_n \in S^*$ ,  $\tau_i \in H_{u,s_i}(A)$ ,  $(i = 1, \ldots, n)$ ,  $u \in S^*$  and  $\sigma \in H_{w,s}(A)$ . Then there is a unique operation  $\theta$  on H(A) defined by:

$$\theta(\sigma, \tau_1, \ldots, \tau_n)(a) = \sigma(\tau_1(a), \ldots, \tau_n(a))$$
 for all  $a \in A^n$  (2.1)

and let denote  $\theta(\sigma, \tau_1, \ldots, \tau_n) = \sigma[\tau_1, \ldots, \tau_n]$ , calling it "composition" of  $\tau_1, \ldots, \tau_n$  with  $\sigma$ .

For any  $w \in S^+$ , where  $S^+ = S^* - \{\varepsilon\}$ , with  $w = s_1 \dots s_n$ , there are n operations on A denoted  $1^{w,s}$ ; and defined by:

$$1^{w,s_i}(a) = a_i$$
 for all  $a \in A^w$ ,  $i = 1, ..., n$ . (2.2)

Let call  $1^{w,s_i}$  unit operations or projections on the  $i^{-th}$  coordinate. We can now regard H(A) as a partial heterogeneous algebra with sorts  $S^* \times S$ , nullary operations the units and the other operations defined in (2.1) with  $d(\theta) = (w, s)(u, s_1) \dots (u, s_n)$  and  $d(\theta) = (u, s)$ .

Definition A set H of heterogeneous operations on an S-sorted family A of sets, containing the unit operations defined in (2.2) and closed under the compositions (2.1) is called heterogeneous clone of operations on A. This notion was introduced by P. Hall (1958) and studied for the homogeneous case ([2], [6]).

Generaly, giving an S-sorted family A of sets and the clone H(A) of all operations on A, we will call  $H_1$  a clone on A if  $H_1$  is a subclone of H(A), i.e. a subalgebra in the sense mentioned above.

Let  $H_1$ ,  $H_2$  be heterogeneous clones on A; An  $S^* \times S$ -sorted mapping  $f: H_1 \to H_2$  with peoperties:

- (i )  $d(f(\sigma)) = d(\sigma)$  and  $c(f(\sigma)) = c(\sigma)$  for all  $\sigma \in H_1$
- (ii)  $f(1^{w,s_i} = 1^{w,s_i}, w \in S^*, i = 1, ..., n$  and
- (iii)  $f(\sigma[\tau_1, \ldots, \tau_n]) = f(\sigma)[f(\tau_1), \ldots, f(\tau_n)]$ , for all composable operations  $\sigma, \tau_1, \ldots, \tau_n \in H_1$ ,

will be called homomorphism of heterogeneous clones on A.

The set of all heterogeneous clones on an S-sorted family A of sets with theirs homomorphisms, form a category.

Let  $\Sigma$  an S-sorted signature and A a  $\Sigma$ -algebra. The actions of the operations in  $\Sigma$  determines a heterogeneous clone on A, denoted  $\Sigma_A^*$  and called heterogeneous clone of action of  $\Sigma$  on A.

DEFINITION. An abstract heterogenous clone is a partial heterogenous algebra H defined as follows:

1° there are two mappings  $d: H \to S^*$  and  $c: H \to S$  which associates to each  $\sigma \in H$  the domain  $d(\sigma)$  and the target  $c(\sigma)$  and

 $2^{\circ}$  with each  $w \in S^+$ ,  $w = s_1, \ldots, s_n$ , H contains the unit operators  $1^{w,s_i}: w \to s_i$ ,  $i = 1, \ldots, n$  and

**3°** for  $\sigma, \tau_1, \ldots, \tau_n$  in H with  $d(\tau_1) = \ldots = d(\tau_n)$  and  $d(\sigma) = c(\tau_1) \ldots c(\tau_n) \in S^*$ , there is an operation on H denoted by  $\sigma[\tau_1, \ldots, \tau_n] : d(\tau_1) \to c(\sigma)$  with properties:

- (i)  $(\sigma[\tau_1, \ldots, \tau_n])[\eta_1, \ldots, \eta_m] = \sigma[\tau_1[\eta_1, \ldots, \eta_m], \ldots, \tau_n[\eta_1, \ldots, \eta_m]]$ where  $d(\tau_1) = c(\eta_1) \ldots c(\eta_m) \in S^*$
- (ii)  $1^{w,s_i} [\tau_1, \ldots, \tau_n] = \tau_i, i = 1, \ldots, n$

As a consequence of this definition we have the

DEFINITION Every heterogeneous clone of operations is abstract.

3. (ii j) — Commutativity of Two Families of Operations. Let  $\Sigma$  an S-sorted signature, A a  $\Sigma$ -algebra and  $\tau = (\tau_1, \ldots, \tau_n)$   $\sigma = (\sigma_1, \ldots, \sigma_m)$  two families of operations in  $\Sigma_A^*$ , with:

$$\sigma_{1}: s_{11} \dots s_{1j} \dots s_{1n} \rightarrow s_{1j}$$

$$\vdots$$

$$\sigma_{i}: s_{i1} \dots s_{ij} \dots s_{in} \rightarrow s_{ij}$$

$$\vdots$$

$$\sigma_{m}: s_{m1} \dots s_{mj} \dots s_{mn} \rightarrow s_{mj}, \text{ and}$$

$$\tau_{1}: s'_{11} \dots s'_{i1} \dots s'_{mj} \rightarrow s'_{i1}$$

$$\vdots$$

$$\tau_{j}: s'_{1j} \dots s'_{j} \dots s'_{mj} \rightarrow s'_{ij}$$

$$\vdots$$

$$\vdots$$

$$\tau_{m}: s'_{1n} \dots s'_{jn} \dots s'_{mn} \rightarrow s'_{jn}$$

$$\vdots$$

We call  $\tau = (\tau_1, \ldots, \tau_n)$  in (3.2) compsable with  $\sigma_i$  in (3.1) if  $d(\sigma_i) = c(\tau_1) \ldots c(\tau_n) \in S^*$ .

DEFINITION. Giving two families of closed operations in  $\Sigma_A^*$ ,  $\sigma = (\sigma_1, \ldots, \sigma_m)$  and  $\tau = (\tau_1, \ldots, \tau_n)$ , we will say that  $\sigma$  and  $\tau$  commute (i, j) if:

- (i )  $\sigma$  is composable with  $\tau_j$
- (ii)  $\dot{\tau}$  is composable with  $\sigma_i$ , and
- (iii) for all  $a_{ij} \in A_{s_{ij}}$ , (i = 1, ..., m; j = 1, ..., n) we have the identity:

$$\sigma_{i}(\tau_{1}(a_{11}, \ldots, a_{m1}), \ldots, \tau_{j}(a_{1j}, \ldots, a_{mj}), \ldots, \tau_{n}(a_{1n}, \ldots, a_{mn})) \stackrel{d}{=}$$

$$= \tau_{j}(\sigma_{1}(a_{11}, \ldots, a_{1n}), \ldots, \sigma_{i}(a_{in}, \ldots, a_{in}), \ldots, \sigma_{m}(a_{m1}, \ldots, a_{mn}))$$
(3.3)

Let now  $w'_j = s_{1j} \dots s_{mj}$  for  $j = 1, \dots, n$  and take  $\tau_j = 1^{w'_j}$ ,  $s_{ij'}$ ; We the have:

$$\sigma_i(1^{w_i', s_{i_1}}(a_{11}, \ldots, a_{m_1}), \ldots, 1^{w_n', s_{i_n}}(a_{1n}, \ldots, a_{m_n})) = \sigma_i(a_{i_1}, \ldots, a_{i_n}) =$$

 $=1^{w_j, s_{ij}} (\sigma_1(a_{11}, \ldots, a_{1n}), \ldots, \sigma_i(a_{i1}, \ldots, a_{in}), \ldots, \sigma_m(a_{m1}, \ldots, a_{mn}))$  (3.4) and therefore we can state:

48 1. CĂLUGĂR

**PROPOSITION.** Every family of closed o perations of the form (3.1) commute (i, j) with a cooresponding family of units.

If we denote  $w_i = s_{i1} \dots s_{in}$  for  $i = 1, \dots, m$ , and take

$$\sigma_{1} = 1^{w_{1}, s_{1}, \ldots, \sigma_{i-1}} = 1^{w_{i-1}, s_{i-1}, j}, \quad \sigma_{i+1} = 1^{w_{i+1}, s_{i+1}, j}, \ldots, \sigma_{m} = 1^{w_{m}, s_{m}, j} \text{ and } \tau_{1} = 1^{w'_{1}, s_{i_{1}}, \ldots, \tau_{j-1}} = 1^{w'_{j-1}, s_{i,j-1}}, \quad \tau_{j+1} = 1^{w'_{j+1}, s_{i,j+1}}, \ldots, \tau_{n} = 1^{w'_{i}, s_{i_{n}}}, \quad \text{then (3.3) becomes:}$$

$$\sigma(a_{i1}, \ldots, a_{ij-1}, \tau(a_{1j}, \ldots, a_{mj}), a_{ij+1}, \ldots, a_{in}) = 1^{w_{i+1}, s_{i+1}, \ldots, s_{i+1}} = 1^{w_{i+1}, s_{i+1}, \ldots, s_{i+1}, \ldots, s_{i+1}}$$

$$\sigma(a_{i1}, \ldots, a_{i-1}, \sigma(a_{i1}, \ldots, a_{in}), a_{i+1}, \ldots, a_{in})$$

$$\sigma(a_{i1}, \ldots, a_{i-1}, \sigma(a_{i1}, \ldots, a_{in}), a_{i+1}, \ldots, a_{in})$$

$$\sigma(a_{i1}, \ldots, a_{i-1}, \sigma(a_{i1}, \ldots, a_{in}), a_{i+1}, \ldots, a_{in})$$

$$\sigma(a_{i1}, \ldots, a_{in})$$

$$\sigma(a_{i1}, \ldots, a_{in})$$

where  $\sigma = \sigma_i$  and  $\tau = \tau_j$ . When this is the case, we call (3.5) (i, j)-commutativity of  $\sigma$  and  $\tau$ .

The (i, j)-comutativity of two families of closed operations defined above, generalises the commutativity of two operations in the homogeneous case, ([2], III, 3), and is powerfull in the specifications of the abstract types ([3], [4]).

### 4. Examples

4.1. Let M a monoid acting on a set A. This is a heterogeneous algebra (see [1]) with  $\Sigma = \{1_M, *, \circ\}$ , where  $1_M: M \to M, *: M \times M \to M$  and  $0: M \times A \to A$  and axioms:

$$1_{\mathbf{M}} \circ a = a$$
 for all  $a \in A$ , and

$$(m*n) \circ a = m \circ (n \circ a)$$
 for all  $m, n \in M$ ,  $a \in A$ 

Let take first the families of operations  $(*, \circ)$  and  $(*, \circ)$ . The for (i, j) = (2, 2) the relation (3, 3) becomes:

$$(m*n) \circ (p \circ a) = (m*p) \circ (n \circ a)$$
 for all  $m, n, p \in M$ ,  $a \in A$  (4.1.1)

If  $p = 1_M$  then using the first axiom we have:

$$(m*n) \circ a = m \circ (n \circ a) \qquad (4.1.2.)$$

which is the second axiom stated above. On the other hand, taking  $m = 1_M$  in (4.1.1.) and using the first axiom we obtain:

$$n \circ (p \circ a) = p \circ (n \circ a)$$
 (4.1.3)

Now if M is the monoid of all functions  $A \to A$ , \* is the composition and • is the value function, then (4.1.3) establishes the center of M.

Secondly, taking the (1,1) commutativity of the families (\*,\*), (\*,\*) we have:

$$(m*n)*(p*q) = (m*p)*(n*q) (4.1.4)$$

which contains simultaneousely the associativity and commutativity of  $\bullet$  in M.

4.2. As a second example, let take the type CIRCULAR-LIST (DATA) (see [3], Fig. 4.2.2.). The last axiom assert:

JOIN (C, INSERT (C1, D)) = INSERT (JOIN (C, C1), D) 
$$(4.2.1)$$

for all circular\_list C, C1; data D

where INSERT: (circular\_list, data) → circular\_list and

JOIN: (circular\_list, circular\_list) → circular\_list

The (4.2.1) ascert the (2.1) commutativity of JOIN and INSERT in the sense of (3.4).

Finaly, the axiom 17 in the same specification is:

where RIGHT: circular\_list  $\rightarrow$  circular\_list.

We have RIGHT • INSERT: (circular\_list, data) → circular\_list and then (4.2.2) express the (1,1)-commutativity of RIGHT • INSERT and INSERT.

Naturaly, for more complicated types the commutativity relations are more complicated.

#### REFERENCES

- 1. G. Birkhoff, J. D. Lipson, Heterogeneous algebras, J. of Comb. Theory 8, 115-133 (1970).
- 2. P. M. Cohn, Universal algebra, Harper & Row, New York, Evanston and London (1965).
  3. J.V. Guttag, E. Horowitz, D.R. Musser, The Design of Data Type Specification.
- USC Information Science Institute, RR 76-49 (1976).
- 4. J.A. Goguen, J. W. Thatcher, E. G. Wagner, J. B. Wright, An Intitial Algebra Approach to the Specification, Correctness and Implementation fo Abstract Data Types, in Current Trends in Programming Methodology, IV: Data structuring, (R.T. Yeh, Ed.), Prentice-Hall, New-Jersey, 80-149 (1977).
- 5. Pic Gh., Purdea I., Tratat de algebră modernă, Vol. I, Ed. Academiei R.S.R., București (1977).
- 6. T. Rus, Data Structures and Operating Systems, Ed. Academiei R.S.R., București & John Wiley&Sons, New York (1979).
- 7. Wand, M., Final Algebra Semantics and Data Type Extensions, J. Comp. Syst. Sci. Vol 19 (1), Aug. (1979).

# SUR CERTAINES FORMULES DE QUADRATURE OPTIMALES

#### PARASCHIVA PAVEL\*

Manuscrit recu le 16 novembre 1983

ABSTRACT. — On Certain Optimal Quadrature Formulas. The quadrature formulae of (1)-type form are studied, with an exactness degree of (3), for which the rest is minimum with the functions closs  $W^{r+1}M$ ;  $x_0$ ,  $x_m$ . It is proved that such formulae are only extent in the case when m=2p+1, and such formulas are effectively construed when p=3 and p=4 (formulae (9) and (11)), also estimations of their rest (formulae (10) and (12), respectively) being given.

Soit  $W^{r+1}$   $[M; x_0, x_m]$  l'ensemble des fonctions définies sur l'intervalle  $[x_0, x_m]$ , qui satisfont aux conditions:  $f \in C^r[x_0, x_m]$ ,  $f^{(r+1)}$  segmentaire continue et  $|f^{(r+1)}(x)| \leq M$ ,  $x \in [x_0, x_m]$ .

On considère la formule de quadrature

$$\int_{x_0}^{x_m} f(x) dx = A_0[f(x_0) + f(x_m)] + A_1[f(x_1) + f(x_{m-1})] + \dots + A_p[f(x_p) + f(x_{p-1})] + h[f(x_{p+1}) + \dots + f(x_{m-p-1})] + R_{m+1}[f], \quad (1)$$

où  $f \in W^{i+1}[M; x_0, x_m], A_0, A_1, \ldots, A_p$  sont les coefficients,  $0 \le p \le 1$   $\le \lfloor \frac{m+1}{2} \rfloor$  et  $x_i = x_0 + ih$ ,  $i = 0, 1, \ldots, m$  les noeuds de la formule.

Dans ce travail, nous proposons de determiner les coefficients  $A_i$ ,  $i = 0, 1, \ldots, p$  de manière à ce que le degré d'exactitude soit égale a 3 et le reste  $R_{m+1}(f)$  soit minime, quand  $f \in W^4[M]$ ;  $x_0, x_m$ .

Ce problème a été aussi considéré par D u r a n d [9] dans le cas r = 1, p = 1; G. C o u l m y [3] dans le cas r = 1, p = 3; L a c r o i x [9] pour r = 3, p = 2, Dans ces articles le problème du reste n'a pas été posé.

D. V. I o n e s c u [5], [6], [7], [8] a déterminé les restes de formules (1) dans le cas  $r = 1, 3, 5, p \le 4$ , en supposant que  $f \in C^r[x_0, x_m]$ 

Gh. Com an [1], [2] a détérminé les formules de quadrature (1) optimales pour la classe  $W^2[M; x_0, x_m]$  dans l'hypothèse que le degré d'exactitude de la formule est r=1.

En appliquant al mèthode de "la fonction  $\varphi''$  donnée par le prof. D. V. I o n e s c u [4], nous prenons sur l'intervalle  $[x_0, x_m]$  les noeuds  $x_0, x_1, \ldots, x_m$ ;  $x = x_0 + ih$ ;  $i = 0, 1, \ldots, m$ . Nous attachons aux intervalles

<sup>\*</sup> Université du Cluj-Napoca, Faculté de Mathematique-Physique, 3400 Cluj-Napoca, Romania

 $[x_0, x_1], [x_1, x_2), \ldots, [x_{m-1}, x_m]$  les fonctions  $\varphi_1, \varphi_2, \ldots, \varphi_m$  solutions des équations différentielles ...

$$\varphi^{(\text{IV})}(x) = 1, \ i = 1, 2, \dots, m,$$
 (2)

avec les conditions aux limites

$$\varphi_{\mathbf{l}}(x_{0}) = \varphi_{\mathbf{l}}'(x_{0}) = \varphi_{\mathbf{l}}''(x_{0}) = 0; \quad -\varphi_{\mathbf{l}}'''(x_{0}) = \varphi_{\mathbf{m}}'''(x_{m}) = A_{0} 
\varphi_{\mathbf{m}}(x_{m}) = \varphi_{\mathbf{m}}'(x_{m}) = \varphi_{\mathbf{m}}''(x_{m}) = 0 
\varphi_{\mathbf{k}}^{(s)}(x_{\mathbf{k}}) = \varphi_{\mathbf{k}+1}^{(s)}(x_{\mathbf{k}}); \quad s = 0, 1, 2; \quad k = 1, 2, \dots, m-1 
\varphi_{\mathbf{k}}'''(x_{\mathbf{k}}) - \varphi_{\mathbf{k}+1}''(x_{\mathbf{k}}) = \varphi_{\mathbf{m}-\mathbf{k}}'''(x_{\mathbf{m}-\mathbf{k}}) - \varphi_{\mathbf{m}-\mathbf{k}+1}''(x_{\mathbf{m}-\mathbf{k}}) = A_{\mathbf{k}} 
\qquad k = 1, 2, \dots, p 
\varphi_{\mathbf{k}}'''(x_{\mathbf{k}}) - \varphi_{\mathbf{k}+1}''(x_{\mathbf{k}}) = h; \quad k = p+1, \quad p+2, \dots, m-p-1.$$

On obtient la formule

$$\int_{x_0}^{x_m} f(x) dx = -\varphi_1^{\prime\prime\prime}(x_0) f(x_0) + \sum_{k=1}^{m-1} [\varphi_k^{\prime\prime\prime}(x_k) - \varphi_{k+1}^{\prime\prime\prime}(x_k)] f(x_k) + \\ + \varphi_m^{\prime\prime\prime}(x_m) f(x_m) + \int_{x_m}^{x_m} \varphi(x) f^{(1V)}(x) dx,$$

avec le reste

$$R_{m+1}[f] = \int_{x_0}^{x_m} \varphi(x) f^{(iv)}(x) \ dx.$$
 (4)

Les fonctions

$$\varphi_{k}(x) = \frac{(x-x_{0})^{4}}{4!} - \sum_{i=0}^{p} A_{i} \frac{(x-x_{i})^{3}_{+}}{3!} - h \sum_{i=p+1}^{\infty} \frac{(x-x_{i})^{3}_{+}}{3!}, \quad (5)$$

οù

$$u_+ = \begin{cases} u & \text{si } u > 0 \\ 0 & \text{si } u \leq 0 \end{cases}.$$

vérifient les équations différentielles (2) et les conditions aux limites (3) relativement aux points  $x_0, x_1, \ldots, x_{m-1}$ .

Il reste à déterminer les constantes  $A_k$ , de manière à satisfaire aussi les conditions au point  $x_m$ .

On obtient

$$\sum_{k=0}^{p} A_{p} = \frac{2p+1}{2} h$$

$$\sum_{k=1}^{p} k^{2} A_{k} - m \sum_{k=1}^{p} k A_{k} = \frac{h}{6} p(p+1)(2p+1) - \frac{mk}{6} (6p(p+1)+1).$$
(6)



52 P. PAVEL

On observe que pour la détermination complète de la solution du proi (2)+(3), p-2 conditions sont encore nécessaires

D'après (4) nous obtenons l'évaluation

$$|R_{m+1}(f)| = \leq MJ,$$

οù

$$J = \int_{x_0}^{x_m} |\varphi(x)| dx = \sum_{k=1}^m I_k \text{ et } I_k = \int_{x_{k-1}}^{x_k} |\varphi_k(x)| dx.$$

De cette manière le problème posé se reduit à la détermination des cients de la formule (1) tels que les intégrales

$$I_{k} = \int_{x_{k-1}}^{x_{k}} |\varphi_{k}(x)| dx \; ; \; k = 4, 5, \ldots, p + 1,$$

soient minimes

LEMME Le polynome de Tchébychev de seconde espèce

$$h_1^r Q_r \left( \frac{x-a}{h_1} \right); \ Q_r(x) = \frac{\sin{(r+1)} \arccos{x}}{2^r \sqrt{1-x^2}}; \ -1 \leqslant x \leqslant 1,$$

est l'unique polynome pour lequal l'intégrale  $\int_{a-h}^{a+h} |P_r(x)| dx$ 

atteint son minimum. Ici  $P_r(x)$  est un polynome arbitraire de degré r, lequel le coefficient de la puissance la plus élevée est égal à l'únité. Pour la démonstration de ce lemme, voy [10].

De cette manière le problème posé se reduit à tels que les poly  $\varphi_h$ ,  $k=4,5,\ldots,p+1$  coincident avec le polynome de Tchéb  $h_1^4 \cdot Q_4\left(\frac{x-a}{h_1}\right)$ , sur l'intervalle  $[a-h_1, a+h_1]$ , où

$$a=\frac{x_{k-1}+x_k}{2}$$
,  $h_1=\frac{x_k-x_{k-1}}{2}$ .

On obtient le système d'équations

$$\sum_{i=0}^{k-1} A_i = \frac{2k-1}{2} h$$

$$\sum_{i=1}^{k-1} i A_i = \frac{32k(k-1)+7}{64} h$$

$$\sum_{i=1}^{k-1} i^2 A_i = \frac{2k-1}{2} \cdot \frac{32k(k-1)+5}{96} h$$

$$\sum_{i=1}^{k-1} i^3 A_i = \frac{4(2k-1)^2(16k(k-1)+1)+1}{1024} h.$$

En tenant compte des conditions (6) + (7) il resulte que

$$m = 2p + 1. \tag{8}$$

La solution du système d'équations (7), avec la condition (8) est :

1° pour 
$$p = 3 \ (m = 7)$$

$$A_0 = \frac{1995}{6144} h$$
,  $A_1 = \frac{8255}{6144} h$ ,  $A_2 = \frac{4481}{6144} h$ ,  $A_3 = \frac{6773}{6144} h$ .

La formule de quadrature correspondente este

$$\int_{x_{\bullet}}^{x_{1}} f(x)dx = \frac{h}{6144} \left[ 1995(f(x_{0}) + f(x_{7})) + 8255(f(x_{1}) + f(x_{6})) + 4481(f(x_{2}) + f(x_{5})) + 6773(f(x_{3}) + f(x_{4})) \right] + \int_{x_{0}}^{x_{7}} \varphi(x)f^{(\text{IV})}(x)dx,$$
 (9)

οù

$$|R_7(f)| \le 0.0899494 \text{ h}^5 M.$$
 (10)

2°. Dans le cas p=4, le système d'èquations (7) a la solution

$$A_0 = A_4 - \frac{4469}{6144}$$
,  $A_1 = -4A_4 + \frac{33951}{6144}h$ ,  $A_2 = 6A_4 - \frac{33663}{6144}h$ ,  $A_3 = -4A_4 + \frac{31829}{6144}h$ ,  $A_4$  arbitraire

ainsi la formule de quadrature optimale est:

$$\int_{x_0}^{x_0} f(x)dx = \left(A_4 - \frac{4469}{6144}h\right)(f(x_0) + f(x_0)) + \left(-4A_4 + \frac{33951}{6144}h\right) \cdot \left(f(x_1) + f(x_0)\right) + \left(6A_4 - \frac{33663}{6144}h\right)(f(x_2) + f(x_7)) + \left(-4A_4 + \frac{31829}{6144}h\right)(f(x_3)) + f(x_6) + A_4(f(x_4) + f(x_5)) + R_9[f].$$

En choissisant  $A_4 = \frac{4469}{6144} h$ , on obtient une formule de quadrature du

type ouvert

$$\int_{x_0}^{x_0} f(x)dx = \frac{h}{6144} \left[ 16075(f(x_1) + f(x_8)) - 6849(f(x_2) + f(x_7)) + 13953(f(x_3) + f(x_8)) + 4469(f(x_4) + f(x_5)) + \int_{x_0}^{x_0} \varphi(x)f^{(\text{IV})}(x)dx, \right]$$
the reste

avec le reste

$$|R(f_9)| \leq 0.7032546 \ Mh^5.$$

#### BIBLIOGRAPHIE

- 1. Com an Gh., Formule practice de cuadratură, optimale pentru o clasă de funcții, \$ Univ. Babes - Bolyai, fs. 1 (1971), 73-79.
- 2. Coman Gh., Asupra unor formule practice de cuadratură, Studia Univ. Babeș-B fs. 1 (1972), 61-65.
- 3. Coulmy G., Opérations sur les courbes expérimentales, C.R. de Seances de l'Ac Paris, 246 (1958), 1799-1800.
- 4. I o n e s c u D. V., Cuadraturi numerice, București, 1957.
- 5. I o n e s c u D. V., Citeva formule practice de cuadratură, Comunicările Acad. R.
- 13, 8 (1963), 689-695.
  6. Ionescu D. V., Construirea unor formule practice de cuadratură, Studii și Cerctem., 15, 6 (1964), 757-769.
- 7. Ionescu D. V., Nouvelles formules practiques de quadrature, C.R. Acad. Sci. 1 259 (1964), 504 - 507.
- 8. Ionescu D. V., Coțiu A., Une extension de la formule de quadrature de la Mathematica, 9 (32), fs. 1 (1967), 49-52.
- 9. Mineur H., Téchniques du calcul numérique, Librairie Polytechnique Ch. Bén 300 - 3 Paris, 1952.
- 10. Nikolski S. M., Formule de cuadratură, l'ucurești, 1964.

## FUNDAMENTAL THEOREM OF ALGEBRA FOR GENERALIZED POLYNOMIAL MONOSPLINES

#### DIANE L. JOHNSON\*

Received: September 17, 1984

ABSTRACT. — This paper presents a Fundamental Theorem of Algebra for Generalized Monosplines as introduced by Braess and Dyn [5]. Such monosplines generated by the polynomial spline kernel are of primary interest, but similar results are obtained for totally positive generalized monosplines where the corresponding kernel satisfies the cone condition of Burchard [6].

I. **Introduction.** An extended totally positive (ETP) kernel K(x, y) is a function  $K:[a, b] \times [c, d] \to \mathbf{R}$  such that for any set of points  $a \le x_1, \le x_2 \le \ldots \le x_n \le b$  and  $c \le y_1 \le y_2 \le \ldots \le y_n \le d$ , the corresponding determinant det  $\{K(x_i, y_j)\}_{i,j=1}^n = K \begin{pmatrix} x_1 & x_2 & \ldots & x_n \\ y_1 & y_2 & \ldots & y_n \end{pmatrix} > 0$ . We call K a totally positive (TP) kernel if this determinant is nonnegative. Where the points coincide, we replace the function by increasing partial derivatives of the function and require sufficient smoothness of the kernel.

Let K(x, y) be an ETP kernel on

$$[a, b] \times [c, d] \text{ and define } Z_m^+ = \{ \mathbf{v} = (\mathbf{v}_0, \dots, \mathbf{v}_{m+1}) : \mathbf{v}_i \geqslant 0$$
 for  $i = 0, 1, \dots, m+1 \}$ . For  $\mathbf{v} \in Z_n^+$ ,  $\mathbf{\omega} \in Z_m^+$  let  $\sum_{i=0}^m \mathbf{\omega}_i = N = \sum_{i=1}^n \mathbf{v}_i$ . Define  $\Delta^n[a, b] = \{ x = (x_1, \dots, x_n) : a = x_0 < x_1 < \dots < x_n < x_{n+1} = b \}$ , let  $1 = (0, 1, \dots, 1, 0) \in Z_m^+$  and let  $K_j(x, t) = \frac{\partial^j}{\partial t^j} K(x, t)$ .

Define the sign function  $\sigma(t)$  to be

$$\sigma(t) = (-1)^{\sum_{j=1}^{i} (\omega_j + 1)}$$
 for  $t_i \le t < t_{i+1}, i = 0, 1, \dots, m$ .

Here  $t_0 = c$  and  $t_{m+1} = d$ . The sign is normalized by

 $\sigma_{t,\omega+1}(t) = +1$  for  $c < t < t_1$  in accordance with Braess and Dyn [5]. We define the generalized monospline M(x) by

$$M(x) = \int_{t,\omega+1}^{d} K(x,t) \sigma_{t,\omega+1}(t) dq(t) - \sum_{i=0}^{m} \sum_{j=0}^{\omega_{i}-1} a_{ij} K_{j}(x,t_{i}), \qquad (*)$$

where  $d\rho$  is a nonnegative, nonatomic measure.

<sup>\*</sup> University of Cluj-Napoca, Faculty of Mathematics, 3400 Cluj-Napoca, Romania

56 D. L. JOHNSON

A generalized monospline can also be defined in the case where the generating kernel K(x, y) on (\*) is only totally positive. If the kernal satisfies certain cone conditions, a fundamental theorem of algebra can be obtained (see Section II). The most studied kernel of this type is the polynomial spline kernel

$$K_n(x, t) = (x - t)_+^{n-1} \text{ where } x_+^r = \begin{cases} x^r & x \ge 0 \\ 0 & x < 0. \end{cases}$$

In this case we define the "Generalized Polynomial Monospline"  $M_n$  for  $n-\omega_i\geqslant 1$ 

by 
$$M_n(x) = \int_{t}^{d} (x-t)_+^{n-1} \sigma_{t,\omega+1}(t) d\rho(t) - \sum_{i=0}^{m} \sum_{j=1}^{\omega_i} a_{ij}(x-t_i)_+^{n-j}$$
. (1)

Then  $M_n(x)$  is a polynominal of degree n on each of the intervals  $(t_i, t_{i+1})$ ,  $i = 0, \ldots, m-1$ , and  $M \in C^{n-\omega_i-1}$  in a neighborhood of  $t_i$ 

It is necessary to study the zeros of such monosplines and as a result obtain a bound on the coefficients of generalized polynomial monosplines with a full set of zeros.

Throughout the following we will count multiplicities in the manner of Micchelli [13].

The following theorem which arises from the theory of generalized signs, will be of use in the following:

Theorem A [9]. If the number of sign changes of a monospline of the form (\*) is given by Z, then  $Z \leq N$ . Moreover, if Z = N, then if the generalized sign vector is of the form  $(S_1, S_2, \ldots, S_{N+1})$ , then

$$S_i = sgn \ M(x_i), \ j = 1, 2, \ldots, N + 1.$$

Here  $a < x_1 < x_2 < \ldots < x_{N+1} < b$  define the sign changes of M(x).

II. The Zero Structure of Generalized Polynomial. Monosplines.

**LEMMA** 1: Let  $M_n(x)$  be as defined in (1). Then  $M_n$  has at most

$$\sum_{i=0}^{m} \omega_i + m \text{ zeros, counting multiplications.}$$

Proof. We first consider the case n=1. Then  $\sigma(t)=(-1)^{i+1}=+1$  and so this reduces to lemma 2.2 of Karlin and Schumacher [11] which states that  $M_1$  has at most 2m+1 zeros, noting that  $\omega_i=1$  for all i.  $\omega_i = 1$ 

As a monospline of the above type is of class  $C^{n-2}(-\infty, \infty)$ , for  $n \ge 2$  we may use the theory of generalized signs. Therefore, using Theorem A the result is shown.

LEMMA 2: Let M<sub>n</sub> be a monospline of the form (1) which vanishes at

$$x_1 < x_2 < \ldots < x_N$$
 where  $N = \sum_{i=0}^m (\omega_i + 1) - 1$ . If  $\omega_i < n$  then  $x_{k(i)} < t_i < n$ 

$$\langle x_{n+k(i-1)+1} \text{ where } k(i) = \sum_{j=1}^{i} (\omega_j + 1). \text{ In the case that } \omega_i = n \text{ then } t_i = x_{k(i)}$$

Proof. Suppose  $\omega_i < n$  and  $t_i \leqslant x_{k(i)}$ . Define  $M_+$  to be the monospline which agrees with  $M_+$  to the right of  $t_i$  and has no knots to the left. Then  $M_+$  has at least  $\omega_0 + \sum_{j=i+1}^m (\omega_i + 1) + 1$  zeros since  $M_n$  is continuous at  $t_i$ , but  $M_+$  has only m-i knots. By lemma 1,  $M_+$  can haveatmost  $\omega_0 + \sum_{j=i+1}^m (\omega_j + 1)$  zeros, so the first inequality must hold. The reamining assertions follow in a similar manner.

PROPOSITION 1: Given any K>0 there exists a  $\lambda>0$  such that whenever M(x) is of the form (1)

and M has 
$$\sum_{i=0}^{m} \omega_i + m$$
 distinct zeros in  $(-K, K)$  then  $|a_{ij}| \leq \lambda$ . for  $i = 0, \ldots, m, j = 0, \omega_i - 1$ .

*Proof.* The proof follows that of M icchelli [13, pg. 426]. It proceeds by simultaneous induction on n and m. The case m = 0,  $n \ge 1$  is obvious. If n = 1 and  $m \ge 1$  then  $\omega_i = 1$ ,  $i = 1, \ldots, r$  and this case is handled by Karlin and Schumacher [11].

Now suppose the proposition is true for all generalized monosplines of the form (1) with degree n and m-1 knots. Let M be a monospline of form (1) of degree n with m knots. Consider first the case  $\omega_i < n$ ,  $i = 1, \ldots, m$ .

Define 
$$D_+M(x)=\lim_{h\to 0^+}\frac{M(x+h)-M(x)}{h}$$
. Then  $D_+M$  is of the form

(1) and by Rolle's theorem and lemma 1,  $D_+M$  has  $\sum_{i=0}^m \omega_i + m-1$  distinct zeros. Therefore the induction hypothesis implies that all coefficients of  $D_+M$  are bounded. Hence the same is true for M except possibly for the constant term  $\lambda_0$ . Since M has certainly one zero and all of its knots are in (-K, K), we see that  $\lambda_0$  is also bounded.

In the case of m=n for some i, we can appeal to lemma 2 to conclude that the two monosplines  $M_+$  and  $M_-$ , as defined above, both have a maximum number of zeros in (-K, K). Applying the induction hypothesis to  $M_+$  and  $M_-$  we again conclude that M has bounded coefficients.

We now include the possibility of multiple zeros, using a limiting procedure similar to that of Karlin and Schumacher [11].

FROPOSITION 2: Given any K > 0 there exists a  $\lambda > 0$  such that whenever M is of form (1) with  $\sum_{i=0}^{m} \omega_i + m$  zeros up to order n in (-K, K) then  $|a_{ij}| \leq \lambda$  for  $i = 0, \ldots, m$  and  $j = 0, \ldots, \omega_i - 1$ .

Proof. Let  $v_i$  be the multiplicity of the zero  $x_i$ , where  $\sum_{i=1}^{n} v_i = N = \sum_{i=0}^{m} \omega_i + m$ . We then "spread apart" the multiple zero  $x_i$  by defining  $S_{m_i+j}(l) = x_i + j\varepsilon/2^l$  for  $j=0,\ 1,\ \ldots,\ v_i-1$  and  $m_i = \sum_{j=1}^{i-1} v_j + 1$ , where  $\varepsilon$  is a sufficiently small positive number to insure that  $-\infty < S_1 < S_2 < \ldots < S_N < \infty$ .

By proposition 1, given any K>0 there exists a  $\lambda>0$  such that whenever M is of the form (1) with zeros  $S_i(l)$  in (-K, K) then the corresponding coefficients  $a^l_{ij}$  satisfy  $|a^l_{ij}| \leq \lambda$  for  $i=0, 1, \ldots, m, j=0, 1, \ldots, \omega, -1$ . Noting that  $\lambda$  is independent of l, there must be a subsequence of coefficients converging as  $l\to\infty$ , where, in the limit,  $|a_{ij}| \leq \lambda$  for  $i=0,1,\ldots,m,j=0,1,\ldots,\omega_i-1$ . By Rolle's theorem the resulting monospline M(x) has zeros at the  $x_i$  with the desired multiplicities  $v_i$ .

III. Generalized Gaussian Quadrature Formulas with Multiple Nodes for Weak Chebysev Systems. In this section we discuss multiple node Gaussian quadrature formulas for weak Chebysev systems where the integral contains a sign function as in the previous section. This will later be used to obtain a fundamental theorem of algebra for totally positive kernels.

An N-dimensional space of functions is called a weak Chebysev space if  $u \in U$  implies that u has at most N-1 sign changes.

Let  $\{u_i\}_{i=1}^N$  be a basis for U, where the domain of U is  $[-\delta, 1+\delta]$  for some  $\delta > 0$ . Given a set of positive integers  $\{\omega_i\}_{i=1}^m$  and two non-negative integers  $\omega_0$  and  $\omega_{m+1}$ , we have the following two relationships:

(a) 
$$N = \sum_{i=0}^{m+1} \omega_i + m$$

and (b) U is a subspace of  $C^{*}[-\delta, 1 + \delta]$ , where

$$k \geq \max \{ \max_{1 \leq i \leq m} \omega_i, \max_{i=0, m+1} (\omega_i - 1) \}.$$

Notice that if  $\omega_0 \le 1$  and  $\omega_{m+1} \le 1$ , we can set  $\delta = 0$ .

Define the convexity cone K(U) by

$$K(U) = \left\{ f \in C^k[-\delta, 1+\delta] : 0 < t_1 < \ldots < t_{N+1} \Rightarrow U\begin{pmatrix} 1, \ldots, Nf \\ t, \ldots, t_{N+1} \end{pmatrix} > 0 \right\}.$$

We then have the following assumption on the cone:

For each set  $0 < t_1 < t_2 < \ldots < t_m < 1$ ,

$$U[t_{1}, \ldots, t_{m}] = \{(f(0), \ldots, f(0), f(t_{1}), \ldots, f(t_{1}), f(t_{2}), \ldots, f(t_{2}), \ldots, f(t_{m}), f(t_{m}), \ldots, f(t_{m}), f(t_{1}), \ldots, f(t_{1}), \ldots, f(t_{m}), f(t_{1}), \ldots, f(t_{1}), \ldots, f(t_{1}), \ldots, f(t_{m}), f(t_{1}), \ldots, f(t_{1$$

Consider now a measure d $\alpha$  which has the property: For each subspace  $U_f$  generated by the functions  $\{u_1,\ldots,u_N,f\}$  where  $f\in K(U)$ , d $\alpha$  is a positive measure. By this we mean that for every nontrivial nonnega-

tive  $u \in U_f, \int_0^1 u d\alpha > 0$ . Let  $\sigma(t)$  be defined on  $[-\delta, 1 + \delta]$  as in Section I.

A quadrature formula of the form

652 52

$$Q(u) = \sum_{i=0}^{m+1} \sum_{j=0}^{\omega_i-1}$$
,  $a_{i_j} u^j(t_i)$  where  $0 = t_0 < t_1 < \ldots < t_m < t_{m-1} = 1$ , such that

$$Q(u) = \int_{0}^{1} u(t) \sigma_{t,\omega+1}(t) d\alpha(t) \text{ for all } u \in U$$

will lead us to a fundamental theorem of algebra as desired.

Consider, therefore, the Gaussian transform of  $u_i(x)$ , defined by

$$u_i(x; \varepsilon) = \frac{1}{|\varepsilon|\sqrt{2\pi i}} \int_{-\delta}^{1+\delta} \exp\left(-\frac{1}{2} \left(\frac{y-x}{\varepsilon}\right)^2\right) u_i(y) dy$$

for each  $\varepsilon \neq 0$  and i = 1, ..., N. For each  $\varepsilon \neq 0$ , it is well known that  $\{u(x; \varepsilon) : i = 1, 2, ..., N\}$  forms an N-dimensional extended Chebyshev system. A result of Dyn [8]) tells us that for each  $\varepsilon \neq 0$  there is a unique quadrature formula of the type

$$Q_{\varepsilon}(f) = \sum_{i=0}^{m+1} \sum_{j=0}^{\omega_{i}-1} a_{ij}(\varepsilon) f(t_{i})$$
so that  $Q_{\varepsilon}(u_{i}(\cdot; \varepsilon)) = \int_{0}^{1} u_{i}(x; \varepsilon) \sigma(x) d\alpha(x)$ 
for  $i = 1, 2, \dots, N$ .

where 
$$0 = t_0(\varepsilon) < t_1(\varepsilon) < \ldots < t_m(\varepsilon) < t_{m+1}(\varepsilon) = 1$$
.

By going to an appropriate subsequence we can assume that as  $\varepsilon \downarrow 0$ ,

$$t_i(\varepsilon) \to t_i$$
, where  $0 = t_0 \leqslant t_1 \leqslant t_2 \leqslant \ldots \leqslant t_m \leqslant t_{m+1} = 1$ .

Actually, for these limit points it is true that:

LEMMA 3: The limit points satisfy  $0 = t_0 < t_1 < \ldots < t_m < t_{m+1} = t_0 < t_1 < \ldots < t_m < t_{m+1} = t_0 < t_1 < \ldots < t_m < t_{m+1} = t_0 < t_1 < \ldots < t_m < t_{m+1} = t_0 < t_1 < \ldots < t_m < t_{m+1} = t_0 < t_1 < \ldots < t_m < t_{m+1} = t_0 < t_1 < \ldots < t_m < t_{m+1} = t_0 < t_1 < \ldots < t_m < t_{m+1} = t_0 < t_1 < \ldots < t_m < t_{m+1} = t_0 < t_1 < \ldots < t_m < t_{m+1} = t_0 < t_1 < \ldots < t_m < t_{m+1} = t_0 < t_1 < \ldots < t_m < t_{m+1} = t_0 < t_1 < \ldots < t_m < t_{m+1} < t_1 < \ldots < t_m < t_{m+1} < t_1 < t_1 < \ldots < t_m < t_1 < t_1 < t_1 < t_2 < t_2 < t_2 < t_3 < t_3 < t_3 < t_4 < t_3 < t_4 < t_4 < t_5 < t_5 < t_5 < t_6 < t_6 < t_6 < t_6 < t_7 < t_7 < t_8 <$ 

*Proof.* Assume, for example, that  $0 = t_0 < t_1 = t_2 < t_3 < \ldots < t_m < t_m$ 

A sequence of functions  $\{u_{\epsilon}\}$  will be constructed where  $u_{\epsilon}$  in the spoof  $\{u_{i}(\cdot; \epsilon)\}_{i=1}^{N}$  is such that  $Q_{\epsilon}(u) = 0$  for each  $\epsilon > 0$ . Further, as  $\epsilon \downarrow$ 

$$u \to u_{\varepsilon}$$
 uniformly where  $\int u(t) \sigma_{t,\omega+1}(t) d\alpha \neq 0$ ,

a contradiction.

To accomplish this, select a u which satisfies

$$u_{\mathbf{z}}^{(j)}(0) = 0 \quad j = 0, \ldots, \omega_0 - 1$$

$$u_{\mathbf{z}}(\varepsilon) = 0, \ u_{\mathbf{z}}'(\varepsilon) > 0, \ ||u_{\mathbf{z}}|| = \max_{\mathbf{z} \in [0,1]} |u_{\mathbf{z}}(x)| = 1$$

$$u_{\mathbf{z}}^{(j)}(t_i(\varepsilon)) = 0 \quad j = 0, \ldots, \omega_i - 1 \quad i = 1, 2$$

$$u_{\mathbf{z}}^{(j)}(t_i(\varepsilon)) = 0 \quad j = 0, \ldots, \omega_i \quad i = 3, 4, \ldots, m$$

$$u_{\mathbf{z}}^{(j)}(1) = 0 \quad j = 0, \ldots, \omega_{m+1} - 1.$$

Recall that  $\sigma(t)$  is normalized so that  $\sigma(t) = +1$  for  $0 < t < t_1(\varepsilon)$ .

Notice that  $u_{\bullet}$  has  $\sum_{i=0}^{2} \omega_{i} + \sum_{i=3}^{m} (\omega + 1) + \omega_{m+1} + 1 = N - 1$  zeros, allowing the certainty that u has no further sign changes.

By going to a subsequence it can be assumed that  $u_{\varepsilon} \to u \in U$  u formly, where ||u|| = 1 and  $u(t)\sigma$   $(t) \ge 0$ . Clearly  $Q_{\varepsilon}(u_{\varepsilon}) = 0$  for each  $\varepsilon$  but  $\int u\sigma d\alpha > 0$ , which is the desired contradiction.

LEMMA 4: For these limit knots,  $0 < t_1 < t_2 < \ldots < t_m < 1$ , the det minant  $D([t_1, \ldots, t_m])$  of

$$\begin{pmatrix} u_1(t_0)u_1'(t_0) & \dots & u_1 & (t_0)u_1(t_1) & \dots & u_1 & (t_1), \\ \vdots & & & & & & (\omega_0-1) \\ u_N(t_0)u_N'(t_0) & \dots & u_N & (t_0)u_N(t_1) & \dots & u_N & (t_1) \\ \end{pmatrix}$$

$$u_1(t_2) & \dots & u_1(t_m) & \dots & u_1 & (t_m)u_1(t_{m+1}) & \dots & u & (t_m) \\ \vdots & & & & & & (\omega_m) & & (\omega_{m+1}-1) \\ \vdots & & & & & & (\omega_m) & & (\omega_{m+1}-1) \\ \vdots & & & & & & (\omega_m) & & (\omega_{m+1}-1) \\ u_N(t_2) & \dots & u_N(t_m) & \dots & u_N & (t_m)u_N(t_{m+1} & \dots & u_N & (t_{m+1}) \end{pmatrix}$$

is positive, where  $t_0 = 0$  and  $t_{m+1} = 1$ .

*Proof.* Assume that the conclusion is not valid. Then there is a set  $\{c_i, c_{ij}, d_i\}$  of elements not all zero such that

$$F(u_l) = \sum_{i=0}^{\omega_0 - 1} c_i u_i^{(i)}(0) + \sum_{i=1}^m \sum_{j=0}^{\omega_i} c_{ij} u_i^{(j)}(t_i) + \sum_{i=0}^{\omega_{m+1} - 1} d_i u_i^{(i)}(t_{m+1}) = 0$$
 (2)  
for  $l = 1, 2, \dots, N$ .

Since we have assumed that  $U[t_1,\ldots,t_m]$  contains a basis for  $\mathbf{R}^N$ , there is an  $f_0 \in K(U)$  such that  $F(f_0) \neq 0$ . Define  $f_0(x;\mathfrak{e})$  to be the Gaussian transform of  $f_0$  and define

$$\widehat{U}(\varepsilon) \equiv \left\{ u(x; \varepsilon) = \sum_{i=1}^{N} \alpha_{i} u_{i}(x; \varepsilon) : \sum_{i=1}^{N} \alpha_{i}^{2} \leqslant 1 \right\},$$

$$\widehat{U}(f_{0}, \varepsilon) \equiv \left\{ v(x; \varepsilon) = \alpha_{0} f_{0}(x; \varepsilon) + \sum_{i=1}^{N} \alpha_{i} u_{i}(x; \varepsilon) : \sum_{i=0}^{N} \alpha_{i} = 1 \right\}$$

and

$$F_{\bullet}(g) = \sum_{i=0}^{\omega_i-1} c_i g^{(i)}(0) + \sum_{i=1}^{m} \sum_{j=0}^{\omega_i} c_{ij} g(t_i(\varepsilon)) + \sum_{i=0}^{\omega_{m+1}-1} d_i g^{(i)}(1).$$

As we have assumed that F(u) = 0 for  $u \in U$ , letting  $t_i(\varepsilon) \to t_i$  we can secure for each  $\eta > 0$  and  $\varepsilon(\eta) > 0$  with the property  $\varepsilon < \varepsilon(\eta)$  and  $u(\cdot; \varepsilon) \in \hat{U}(\varepsilon) \Rightarrow |F_{\varepsilon}(u(\cdot; \varepsilon))| < \eta$ . (3)

Also, as  $F(f_0) \neq 0$ , for small  $\epsilon > 0$ ,  $F_{\epsilon}(f_0(\cdot; \epsilon))$  is bounded away from zero. Thus, for small  $\epsilon > 0$  we can find a  $C_{\epsilon}$  which is uniformly bounded so that

$$\int_{f_{\mathbb{C}}(x; \epsilon) \sigma}^{0} f_{\mathbb{C}}(x; \epsilon) \sigma_{\mathfrak{s}(\epsilon), \omega+1}(x) d\alpha(x) = Q_{\epsilon}(f_{\mathbb{C}}(\cdot; \epsilon)) + C_{\epsilon} F_{\epsilon}[f_{\mathbb{C}}(\cdot; \epsilon)]. \tag{4}$$

On the other hand, (3) and the properties of  $Q_{\bullet}$  and F imply

$$\int_{0}^{1} u_{\varepsilon} \sigma r dx = Q_{\varepsilon}(u_{\varepsilon}) = Q_{\varepsilon}(u_{\varepsilon}) + C_{\varepsilon} F_{\varepsilon}(u_{\varepsilon}) + o(1)$$
 (5)

for all  $u_{\varepsilon} \in \hat{U}(\varepsilon)$  as  $\varepsilon \downarrow 0$ .

Now for each  $\varepsilon > 0$ , choose a  $v_{\varepsilon}$  in  $\mathcal{U}(f_0, \varepsilon)$  which satisfies  $v_{\varepsilon}^{(j)}(0) = 0$   $j = 0, \ldots, \omega_0 - 1$   $v_{\varepsilon}^{(j)}(t_i(\varepsilon)) = 0$   $j = 0, 1, \ldots, \omega_i, i = 1, \ldots, m$   $v_{\varepsilon}^{(j)}(1) = 0$   $j = 0, 1, \ldots, \omega_{m+1} - 1$  for  $x \in (0, t(\varepsilon))$ .

Hence, as  $v_{\epsilon}$  is a function in the span of  $\{u_i(\cdot; \epsilon)\}_{i=1}^N$  and  $f_0(\cdot; \epsilon)$ , we can use equations (4) and (5) and the fact that  $Q_{\epsilon}(v_{\epsilon}) = F_{\epsilon}(v_{\epsilon}) = 0$  by the construction of  $v_z$  to conclude that

$$\int_{0}^{1} v_{\varepsilon} \, \sigma_{t(\varepsilon),\omega+1} \, d\alpha = O(1) \tag{6}$$

On the other hand, by going to a subsequence we can find a function v in  $U(f_0, 0)$  which is the uniform limit of  $\{v_e\}$ . Moreover, v has sign +1

on 
$$(0, t_1)$$
 and sign  $(-1)^{\sum_{j=1}^{i}} \omega_i$  for  $x \in (t_i, t_{i+1})$ .

Thus

₹3

35.1**13**.13.13.13

$$\int_{0}^{1} v(x) \, \sigma(x) \, d\alpha(x) = \lim_{\epsilon \to 0} \int_{0}^{t_{1}(\epsilon)} |v_{\epsilon}(x)| \, (+1) \, (+1) \, d\alpha(x) +$$

$$+ \lim_{\epsilon \to 0} \int_{t_{1}(\epsilon)}^{t_{n}(\epsilon)} |v_{\epsilon}(x)| (-1)^{\omega_{1}+1} \, (-1)^{\omega_{1}+1} \, d\alpha(x) + \dots$$

$$+\lim_{\varepsilon\to 0}\int_{s_{m}(\varepsilon)}^{1}|v_{\varepsilon}(x)|\left(-1\right)^{\sum_{i=1}^{m}\omega_{i}+m}\left(-1\right)^{\sum_{i=1}^{m}\omega_{i}+m}d\alpha(x)$$

which is strictly positive, contradicting (6).

We now prove a general existence theorem for generalized Gaussian quadrature formulas with respect to weak Chebyshev systems.

THEOREM 1. There exists a generalized Gaussian quadrature formula of the form

$$Q(u) = \sum_{i=0}^{m+1} \sum_{j=0}^{\omega_i - 1} a_{i,j} u^{(j)}(t_i) \text{ such that}$$
 (7)

$$Q(u) = \int_{0}^{1} u(t) \sigma(t) d\alpha(t) \text{ for all } u \in U,$$

where  $0 = t_0 < t_1 < \ldots < t_m < t_{m+1} = 1$ .

Proof. By the result of Dyn, for each  $\varepsilon \to 0$  there is a unique quadrature formula  $Q_{\bullet}$  with the property

$$Q_{\bullet}[u_{i}(\cdot;\varepsilon)] = \int_{0}^{1} u_{i}(t;\varepsilon) \, \sigma(t) \, d\alpha(t) \qquad i = 1, \ldots, N.$$

Letting  $\varepsilon \downarrow 0$ , the coefficients associated with  $Q_{\varepsilon}$  must be bounded uniformly, for otherwise one could construct a set of coefficients  $\{c_i, c_i, d_i\}$ not all zero such that the corresponding F [see (2)] Fu = 0 for all  $u \in U$ . To construct such a set of coefficients, assume that exists a coefficient  $a_i \in \{c_i, c_{i_i}, d_i\}$  which is unbounded. Upon dividing by this coefficient, in the limit one obtains a non-zero F for which Fu=0 for all  $u \in U$ .

As such a relationship contradicts lemma 4, the coefficients of  $\{Q_{\mathbf{s}}\}$ are bounded as  $\varepsilon \to 0$ . Therefore, by compactness, there exists a limit for the coefficients of  $Q = \lim_{\epsilon \to 0} Q_{\epsilon}$ . Hence we have the existence of the desired quadrature formula.

LEMMA 5. If 
$$Q(u) = \sum_{i=0}^{m+1} \sum_{j=0}^{\omega_i - 1} a_{ij} u^{(j)}(t_i)$$
 is such that 
$$Q(u) = \int_0^1 u(t) \int_{t_i, \omega_i + 1}^{\infty} d\alpha(t) \text{ for all } u \in U,$$
 where 
$$0 \le t_1 \le \dots \le t_m \le 1, \ t_0 = 0, \ t_{m+1} = 1,$$
 then (a) 
$$0 = t_0 < t_1 < t_2 < \dots < t_m < t_{m+1} = 1 \quad \text{and}$$
 (b) 
$$\operatorname{sgn} a_{i, \omega_i - 1} = (-1)^{\sum_{j=1}^{i} (\omega_j + 1)}$$

(b)

Proof. Assume that (a) is not correct. Then a contraction can be reached as in lemma 3.

To prove (b), for each  $1 \le k \le m$  and  $\varepsilon > 0$  one can find a function  $u(\cdot; \epsilon)$  in the span of  $\{(u_i(\cdot; \epsilon)\}_{i=1}^N \text{ which satisfies}\}$ 

$$||u(\cdot; \varepsilon)|| = \max_{x \in [0,1]} |u(x; \varepsilon)| = 1$$

$$u^{(j)}(0; \varepsilon) = 0 \qquad j = 0 \dots, \omega_0 - 1$$

$$u(\varepsilon; \varepsilon) = 0$$

$$u^{(j)}(t_i; \varepsilon) = 0 \qquad j = 0, 1, \dots, \omega_i, \quad i = 1, \dots, k - 1, \quad k + 1, \dots m$$

$$u^{(j)}(t_k; \varepsilon) = 0 \qquad j = 0, \dots, \omega_k - 2$$

$$\sum_{i=1}^{k} (\omega_i + 1) \atop (-1)^{i=1} \qquad u^{(\omega_i + 1)}(t_k; \varepsilon) > 0$$

$$u^{(j)}(1; \varepsilon) = 0 \qquad j = 0, 1, \dots, \omega_{m+1}$$

By letting  $\varepsilon \downarrow 0$  we can secure a uniform limit function on [0, 1],  $\varepsilon U$ . As t, is a gard of multiplication.  $u_i \in U$ . As  $t_i$  is a zero of multiplicity  $\omega_i + 1$  for  $i \neq k$ ,  $i = 1, \ldots, m$ , the sign of the integrand  $u_h(t) \sigma(t)$  remains constant over [0, 1]. As  $u^{(\omega_h-1)}(t_h; \varepsilon) > 0$ ,  $u_h \sigma(t)$  is a nonnegative product. Consider, then, the result of the fact that

$$Q(u) = \int_{0}^{1} u(t) \underset{t, \omega+1}{\sigma}(t) d\alpha(t) \text{ for all } u \in U;$$

$$0 < \int_{0}^{1} u_{h}(t) \underset{(t,\omega+1)}{\sigma(t)} d\alpha(t) = Q(u_{h}) = a_{h,\omega_{h}-1} u_{h}^{(\omega_{h}-1)}(t_{h})$$

implies that

$$\operatorname{sgn} a_{k_i,\omega_{k}-1} = \operatorname{sgn} u_k^{(\omega_k-1)}(t_i) = (-1)^{\sum_{i=1}^{k} (\omega_i+1)}.$$

THEOREM 2. There is a unique quadrature formula of the form (7) such that

$$Q(u) = \int u \, \sigma(t) \, d\alpha \qquad (8)$$

for all  $u \in U$ . [Note that by Lemma 3 all the  $t_i$  are distinct and lie in (0, 1).]

*Proof.* By Theorem 1 there exists a formula  $Q^*$  of the form (7) which satisfies (8). Let

$$A^* = [a_{00}^*, \ldots, a_{0,\omega_{\bullet}-1}^*, a_{10}^*, \ldots, a_{1,\omega_{1}-1}^*, a_{20}^*, \ldots, a_{m,\omega_{m}-1}^*, a_{m+1,0}^*, \ldots, a_{m+1,\omega_{m+1}-1}^*, t_1^*, \ldots, t_m^*] \subset \mathbb{R}^N$$

be the set of values which define  $Q^*$ , and for  $|\epsilon| > 0$ , let  $u_i(\cdot; \epsilon)$  be the Gaussian transform of  $u_i(\cdot; 0) = u_i$ . Consider the nonlinear system of N equations

$$Q[u_i(\cdot; \varepsilon), A] = \int_0^1 u_i(t; \varepsilon) \sigma(t) d\alpha(t) \qquad (9)$$

with the vector of N unknowns:

$$A = [a_{00}, \ldots, a_{0,\omega_{n}-1}, a_{01}, \ldots, a_{1,\omega_{1}-1}, a_{20}, \ldots, a_{m,\omega_{m}-1}, a_{m+1,0}, \ldots, a_{i,+1,\omega_{m+1}-1}, t_{1}, \ldots, t_{m}]$$

associated with any quadrature of the form (7). For  $\varepsilon = 0$ , clearly  $Q^* = Q(\cdot; A^*)$  satisfies (9). We indicate his dependence by letting  $A^* = A^*(\varepsilon)$  for  $\varepsilon = 0$ . We would like to apply the implicit function theorem to (9) with the parameter  $\varepsilon$  near  $\varepsilon = 0$ . At  $A = A^*$  and  $\varepsilon = 0$ , the

Jacobian determinant at  $A = A^*$  and  $\varepsilon = 0$  is  $\pm \prod_{i=1}^m a_{i,\omega_i-1}^* D[t_1^*, t_2^*, ..., t_m^*]$  where  $D(t_1, \ldots, t_m)$  is as defined in lemma 4. By lemma 5,

$$\operatorname{sgn} a_{i,\omega_i-1} = (-1)^{\sum_{j=1}^{i} (\omega_j+1)} \qquad i = 1, \ldots, m,$$

hence  $a_{i,\omega_{i}-1} \neq 0$  for  $i=1,\ldots,m$ . Further, an argument fashioned after Lemma 4 shows that  $D(t_{1}^{*}, \ldots, t_{m}^{*}) > 0$ . By the implicit function theorem, for small  $|\varepsilon| > 0$  one can find a solution  $A^{*}(\varepsilon)$  of the nonlinear system (9) close to  $A^{*}$ .

Assume now that there is another solution to (9) at  $\varepsilon = 0$ , say  $\hat{A} = \hat{A}(0)$ . Then by the same reasoning one could find a solution  $\hat{A}(\varepsilon)$  of (9) close to  $\hat{A}$  for small  $|\varepsilon| > 0$ . For even smaller  $|\varepsilon| > 0$ ,  $\hat{A}(\varepsilon) \neq A^*(\varepsilon)$ . Thus far such  $\varepsilon$ , (9) has at least two solutions, contradicting the result of Dyn.

IV. Fundamental Theorem of Algebra for Generalized Polynomial Monosplines. Consider the polynomial spline kernel  $\Phi_p(x, t) = \frac{(x-t)^{\frac{1}{p}}-1}{(p-1)!}$ , where  $p \ge 3$ . We are given a nonnegative integer  $\omega_0 \le p$ , positive integers  $\{\omega_i\}_{i=1}^m$  and positive integers  $\{\nu_i\}_{i=1}^n$  which satisfy the relation ships

$$N = \sum_{i=0}^{m} \omega_i + m = \sum_{i=1}^{n} v_i,$$

(b) If 
$$M_1 = \max_{1 \le i \le m} \omega_i$$
 and  $M_2 = \max_{1 \le i \le m} v_i$ , then  $M_1 + M_2 \le p - 1$ .

THEOREM 3. For each set of n distinct numbers,  $0 < x_1 < x_2 < \dots < x_n < 1$ , there is a unique generalized monospline of the form

$$M(x) = \int_{0}^{1} \Phi_{p}[](x, t) \underset{t, \omega+1}{\sigma(t)} \sigma(t) d\alpha(t) - \sum_{j=0}^{\omega_{e}-1} a_{j} \Phi_{p}^{(j)}(x, 0) - \sum_{i=1}^{m} \sum_{j=0}^{\omega_{i}-1} a_{i_{j}} \Phi_{p}^{(j)}(x, t_{i})$$

such that  $M_p$  has a zero of order  $v_i$  at  $x_i$ , i = 1, ..., n.

Here

$$\Phi_p^{(j)}(x, t) = \frac{\partial^j}{\partial t_j} \Phi_p(x, t)$$
 and  $0 \le t_1 \le \ldots \le t_m \le 1$ .

Indeed, for this monospline,  $0 < t_1 < t_2 < \ldots < t_m < 1$  and

$$\operatorname{sgn} a_{i,\omega_{i}-1} = (-1)^{\sum_{j=1}^{i} (\omega_{j}+1)}, i = 1, ..., m.$$

We set 
$$S(k) = \sum_{j=0}^{k-1} v_j$$
,  $k = 1, ..., n$  where  $v_0 = 0$ , and define

$$u_{S(k)+l}(t) = \frac{\partial^{l-1}}{\partial x_k - 1} \Phi_p(x_k, t) \qquad l = 1, \ldots, \nu_k$$
  
$$k = 1, \ldots, n.$$

So  $M^{(l-1)}(x_k)$  translates into

$$\int_{0}^{1} u_{S(k)+l}(t) \sigma(t) d\alpha(t) = \sum_{j=0}^{\omega_{0}-1} a_{j} u_{S(k)+l}^{(j)}(0) + \sum_{i=1}^{m} \sum_{j=0}^{\omega_{i}-1} a_{i_{j}} u_{S(k)+l}^{(j)}(t_{i}) \quad l = 1, ..., n$$

$$k = 1, ..., n$$

From the fundamental theorem of determinants for polynomial splin [12], one can infer that

- (a)  $\{u_i(t)\}_{i=1}^N$  form a weak Chebyshev system. (b) For each  $x \in [0, 1]$  one of the functions  $f(t) = \pm \Phi_p(x, t)$  is the convex cone K(U) of  $\{u_i(t)\}_{i=1}^N$
- (c) For each sequence  $0 < t_1 < \ldots < t_m < 1$ , the set of N function  $\Phi_{b}(x, 0), \ldots, \Phi_{b}^{(\omega_{0}-1)}(x, 0), \Phi_{b}(x, t_{1}), \ldots, \Phi_{b}^{(\omega_{1})}(x, t),$

$$\Phi_{b}(x, t_{2}), \ldots, \Phi_{b}^{(\omega_{m-1})}(x, t_{m-1}), \Phi_{b}(x, t_{m}), \ldots, \Phi_{b}^{(\omega_{m})}(x, t_{m})$$

is independent.

The fact that  $\pm \Phi_{\rho}(x, t)$  is in the convexity cone of  $\{u_i(t)\}_{i=1}$  combined with the independence in (c) tells us that for each  $0 < t_1 < \ldots < t_m < 1$  $U[t_1, \ldots, t_m]$  contains a basis for  $\mathbb{R}^N$ .

Therefore, the result follows directly from theorem 2.

One can also obtain similar results by including the right hand ent point  $t_{m+1}=1$ . We seek an expression of the type

$$Q(u) = \sum_{i=1}^{m} \sum_{j=0}^{\omega_{i}-1} a_{i_{j}} u^{(j)}(t_{i}) + \sum_{i=0}^{\omega_{m+1}-1} b_{i} u^{(i)}(1),$$
 (1)

where  $0 \le t_1 \le \ldots \le t_m \le 1$ , such that

$$Q(u) = \int_{0}^{1} u(t) \underset{t,\omega+1}{\sigma(t)} d\alpha(t)$$
 (II)

for all u in the N-dimensional subspace generated by  $\{u_i\}_{i=1}^N$ . The  $\{\omega_i\}$ ,  $\{v_i\}$  and  $\omega_{m+1}$  satisfy the same restraints as in the first application

Proceeding exactly as before, we can show:

THEOREM 4. There exists a unique Q of the form (10) which satisfies (11). Furthermore, for such a Q,  $0 < t_1 < \ldots < t_m < 1$  and  $a_{i, \omega_i-1}$  has

$$sign(-1)^{\sum_{j=1}^{i}(\omega_{j}+1)}$$
  $for i = 1, ..., m.$ 

For the cases p = 1 and p = 2 in the defining polynomial spline kernel

$$\Phi_{p}(x, t) = \frac{(x - t)_{+}^{p-1}}{(p - 1)!}$$

similar results can be obtained. In the case that p=1, the relationships (a) and (b) preceeding Theorem 3 reduce to the restriction that

(a)' 
$$N=2m+1$$
 where  $\omega_i=1$  for  $i=0,\ldots,m$ 

and

(b)' 
$$M_1 = M_2 = 1$$
.  $v_i = 1$  for  $i = 1, ..., n$ 

In this setting  $\sigma(t) = +1$  for all t and therefore the generalized monospline reduces to the Tchebycheffian  $(T^{-})$  monospline of degree 1 with m knots considered by Karlin and Schumacher [11]. The fundamental theorem of algebra for the case p=1 is found in Theorem 1.1 of that paper.

Consider the case p = 2, where

$$M(x) = \int_{c=t_{-}}^{d} (x-t)_{+,\omega+1}^{1} \sigma(t) dt - \sum_{i=0}^{m} \sum_{j=1}^{\omega_{i}} a_{i_{j}} (x-t)_{+}^{2-j}.$$
 (12)

We wish to show the existence and uniqueness of such a generalized monospline where  $\{x_i\}_{i=1}^N$  are given and we require that  $M(x_i) = 0$  for i = 1, 2, ..., N. We assume first that  $a < x_1 < x_2 < ... < x_n < b$ . Consider first the monospline  $M_1(x)$  which is the restriction of M to  $(t_0, t_1)$ . By lemma 4 Section III,  $x_{2i} < t_i < x_{2i+1}$  for i = 1, 2, ..., m.

By definition, therefore

$$M_1(x) = \frac{(x-c)^2}{2} - a_{01}(x-c) - a_{02}$$

In the case  $\omega_0 = 1$ ,  $M_1(x) = (x - c) \left( \frac{(x - c)}{2} - a_{01} \right)$  and so  $M_1(x_1) =$  $=M_1(x_2)=0$  implies that we must have  $x_1=c$  and  $a_{01}=\frac{x_2-c}{2}$ .

If  $\omega_0 = 2$ , then  $a_{01}$  and  $a_{02}$  are given by unique solutions to a linear system induced by the zero structure. The determinant is nonzero as a result of the fact that  $c < x_1 < x_2$ . The right hand side is nonzero for the same reason, giving a unique set of defining coefficients for M(x). 68 D. L. JOHNSON

In considering M(x) in the interval  $t_1 < x < t_2$ , as  $x_4 < t_2 < x_5$  by lemma? of Section I

$$M(x) = M_1(x) + \left[ (-1)^{\omega_1} + 1 \right] \left( \frac{(x-t_1)^2}{2} \right) - a_{11}(x-t_1) - a_{12}$$

We then use the fact that  $M(x_3) = M(x_4) = 0$  to determine the unknowns  $a_{11}$ ,  $a_{12}$  and  $t_1$ .

If  $\omega_1=1$  then we are in the classical case and Theorem 1 of Micchelli [13] gives the desired result.

If  $\omega_1=2$  then by lemma 4 of Section III,  $t_1=x_3$ . Thus we can solve for  $a_{11}$  and  $a_{12}$  using the equations  $M(x_3)=0=M_1(x_3)-a_{12}$  and  $M(x_4)=0=M_1(x_4)+(x_4-x_3)^2-a_{11}(x_4-x_3)-a_{12}$ . Therefore  $a_{12}=M_1(x_4)$  and  $a_{11}=M_1(x_3,x_4]+(x_4-x_3)$  where  $M_1[x_3,x_4]$  denotes the divided difference of  $M_1$  with respect  $x_3$  and  $x_4$  (see ref. [7], page 195). Note that M(x) is not identically zero for if it were  $M_1(x)$  would be identically equal to  $p_2(x)=-(x-x_3)^2+a_{11}(x-x_3)+a_{12}$ . Upon examining the roots of  $p_2(x)$  one finds the it has one real root to the right of  $x_3$ . Thus M has a third root and m must be identically zero, a contradiction.

The above process may be repeated to recursively determine the  $\{a_{k1}, a_{k2}, t_k\}_{k=1}^m$  and so follows the existence and uniquences of a generalize polynomial monospline when p=2 and we have simple  $\{x_i\}_{i=1}^N$ .

To allow the zeros to have multiplicity two when p = 2 we employ a limiting argument similar to that of Karlin and Schumacher ([II], page 267). In this case

$$N = \sum_{i=0}^{m} \omega_i + m = \sum_{i=1}^{r} n_i$$
 where if  $\omega = \max_{0 \le i \le m} \omega_i$  and

 $n = \max_{\substack{1 \le i \le r \\ x_i \text{ of order } n_i, i = 1, ..., r.}} n_i$ , then  $\omega + n \le 2$ , and we have prescribed zeros of M(x) and M(x) are M(x) is of the form (1).

 $x_i$  of order  $n_i$ ,  $i=1,\ldots,r$ . Here M(x) is of the form (1). For each  $l \ge 1$  consider a set of points  $\left\{y_i(l)\right\}_{i=1}^N$  formed from  $\left\{x_i\right\}_{i=1}^N$  by "spreading apart" the multiple zeros. Specifically, if  $x_{m-1} < x_m = x_{m+1} < x_{m+2}$  for some  $2 \le m \le r-1$ , then define  $y_{m+1}(l) = x_m + \frac{\varepsilon}{2l}$  where  $\varepsilon$  is a sufficiently small positive number to insure that  $y_i(l) < y_{i+1}(l)$  for  $i=1,\ldots,N-1$ . For each l there exists a generalized monospline of the form (12), call it  $M_l$ , with zeros  $\left\{y_i(l)\right\}_{i=1}^N$ . Suppose that  $M_l$  has the representation

$$M_{l}(x) = \int_{c}^{d} (x - t)_{+}^{n-1} \sigma(t) dt - \sum_{i=0}^{m} \sum_{j=1}^{\omega_{i}} a_{ij}^{l} (x - t_{i}^{l})_{+}^{n-j}.$$

The sequence of coefficients  $\left\{a_{ij}^l\right\}_{i=0}^{m}{}_{j=1}^{\omega_i}$  and the sequence of knots  $\{l_i^l\}_{i=0}^m$  depend continuously on the variable x and hence on l. By Proposition 1 of Section II the coefficients are uniformly bounded as  $l \to \infty$ . The knots  $\{t_i\}_{i=0}^m$  are trivially bounded as noted by lemma 2, Section II.

Thus there exists a subsequence  $\{l_k\}$  such that all coefficients and knots converge. By continuity and Rolle's theorem, the limit generalized monospline has the desired zeros  $\{x_i\}_{i=1}^r$ .

We can now state an extension to theorem 3:

THEOREM 5. The results of theorem 3 remain valid if p=1 or p=2.

#### REFERENCES

- 1. R. B. Barrar and H. Loeb, "On a Nonlinear Characterization Problem for Mono-
- splines", Journal of Approximation Theory 18, 220-240 (1976).
  2. "Multiple Zeros and Applications to Optimal Linear Functionals", Numer. Math. 25, 251, 262 (1976).
- 3. "Fundamental Theorem of Algebra for Monosplines and Related Results", SIAM J. Numerical Analysis, Vol. 17, No. 6, December 1980.
- 4. D. L. Barrow, "On Multiple Node Caussian Quadrature Formulae", Mathematics of Computation, Vol. 32, No. 142, 431-439, April 1978.
- 5. D. Braess and N. Dyn, "On the Uniqueness of Monosplines and Perfect Splines of Least L-1 and L-2 Norm", Journal D'Analyse Mathematique, Vol. 41 (1982).
- 6. H. Burchard, Interpolation and Approximation by Generalized Convex Functions, Ph. D. dissertation, Purdue University, West Layfayette, IB., 1968.
- 7. S. D. Conte and C. de Boor, Elementary Numerical Analysis, An Algorithmic Approach, McGraw Hill, New York, 1965.
- 8. N. Dyn, "Existence and Uniqueness of Canonical Points for best L-1 Approximation with Prescribed Multiplicites of Interpolation Conditions", to appear.
- 9. D. Johnson, "Fixed Point Evaluation in Caussian Quadrature and Generalized Monosplines of Minimal Norm", Ph. D. dissertation, University of Oregon, Eugene, 1983.
- 10. S. Karlin and A. Pinkus, "Caussian Quadrature Formulae with Multiple Nodes", Studies in Spline Funcions and Approximation Theory, Academic Press, New York, 1976.
- 11. S. Karlin and L. Schumaker, "The Fundamental Theorem of Algebra for Tchebycheffian Monosplines", J. Analyse Math., 20, 233-270 (1967).
- 12. S. Karlin and W. J. Studden, Tchebycheff Systems: With Applications in Analysis and Statistics, Interscience Publischers, New York, 1966.
- 13. C. A. Micchelli, ,, The Fundamental Theorem of Algebra for Monosplines with Multiplicites", Linear Operators and Approximation, Proc. Conf. in Oberwolfach, P. L. Butzer, J. P. Kahane and B. Sz. Nagy, eds., Burkhauser Verlag, Basel, Switzerland, 14-22, 1971.

### ON SOME CLASSES OF BI-UNIVALENT FUNCTIONS

#### D.A. BRANNAN\*, T.S. TAHA\*\*

Received: September 26, 1984

**ABSTRACT.** I Let the functions  $f(z) = z + a_2 z^2 + \ldots$  and its inverse  $f^{-1}$  be analytic and univalent in the unit disc. The authors obtain upper bounds for  $|a_2|$  and  $|a_3|$  under various additional hypotheses — namely, that f and  $f^{-1}$  are both (i) strongly starlike of order  $\alpha$ , (ii) starlike of order  $\beta$ , (iii) convex of order  $\beta$ .

1. Introduction. In this note we discuss several classes of function

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n, \qquad (1)$$

that are analytic and univalent in the unit disc  $U = \{z : |z| < 1\}$ . It class of all such functions we denote by S. We denote by  $\sigma$  the class all functions of the form (1.1) that are analytic and bi-univalent in tunit disc, that is  $f \in S$  and  $f^{-1}$  has a univalent analytic continuation  $\{|w| < 1\}$ . We also introduce the following classes:

- (i) The class  $S^{\bullet}_{\sigma}[\alpha]$  of strongly bi-starlike functions of order  $\alpha$ ,  $0 < \alpha \le$
- (ii) The class  $S_{\sigma}^{*}(\beta)$  of bi-starlike functions of order  $\beta$ ,  $0 \leq \beta < 1$ .
- (iii) The class  $C_{\sigma}(\beta)$  of bi-convex functions of order  $\beta$ ,  $0 \leq \beta < 1$ .

For the above classes we give bounds for  $|a_2|$ ,  $|a_3|$ ; also for the class  $C_{\sigma}(0)$  we give the bound for  $|a_n|$  and the extremal function.

The class  $\sigma$  was first investigated by L e w in [1]; the showed th  $|a_2| < 1.51$ . Later B r a n n a n [2, Problem 6.82] conjectured that  $|a_2| \le \sqrt{2}$ . The class  $S_{\sigma}^*[\alpha]$  and the class  $C_{\sigma}(0) \equiv C_{\sigma}$  were first introduced in [6]

# 2. The class $S_{\sigma}^*[\alpha]$

A function f(z) of the form (1.1) belongs to the class  $S^*_{\mathfrak{o}}[\alpha]$ ,  $0 < \alpha <$  if it satisfies the following set of conditions:

$$f \in \sigma$$
, (2.

$$\left|\arg\frac{zf'(z)}{f(z)}\right| < \frac{\alpha\pi}{2}, |z| < 1,$$
 (2.

$$\left|\arg \frac{wg'(w)}{g(w)}\right| < \frac{\alpha\pi}{2}$$
 ,  $|w| < 1$ , (2.

<sup>\*</sup> The Open University, Milton Keynes, U.K.

<sup>\*\*</sup> University of Kuwait, Kuwait

where

$$g(w) = w - a_2 w^2 + (2a_2^2 - a_3)w^3 + \ldots, (2.4)$$

is the extension of  $f^{-1}$  to the whole of |w| < 1.

THEOREM 2.1. Let

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n,$$

belong to  $S_{\sigma}^{\bullet}[\alpha]$ . Then

$$|a_2| \leqslant \frac{2\alpha}{\sqrt{1+\alpha}}$$
 and  $|a_3| \leqslant 2\alpha$ .

*Proof.* We are going to follow the notation used in [4]; namely, we denote by  $P_{\alpha}$ ,  $0 < \alpha \le 1$ , the class of functions

$$P(z) = 1 + \sum_{k=1}^{\infty} p_k z^k,$$

that are analytic in the unit disc U and subordinate to the function  $\left[\frac{1+z}{1-z}\right]^{\alpha}$ . Now,  $P(z) \in P_{\alpha}$  if an only if  $P(z) = [h(z)]^{\alpha}$ , where  $h(z) \in P_1$ ; and  $P_1$  is the class of functions of positive real part in U.

Conditions (2.2) and (2.3) can be written as

$$\frac{zf'(z)}{f(z)} = [Q(z)]^{\alpha} \tag{2.5}$$

and

$$\frac{wg'(w)}{g(w)} = [P(w)]^{\alpha}, \qquad (2.6)$$

respectively, where Q(z), P(w) belong to  $P_1$  and have the forms

$$Q(z) = 1 + c_1 z + c_2 z + \dots$$

and

$$P(z) = 1 + p_1 w + p_2 w^2 + \dots$$

If  $f(z) \in S_{\sigma}^{*}(\alpha)$ , then by (2.5)

$$\frac{zf'(z)}{f(z)} = [Q(z)]^{\alpha} = [1 + c_1 z + c_2 z^2 + \dots]^{\alpha}.$$

From this, it follows that

$$\begin{aligned} a_2 &= \alpha c_1 \\ 2a_3 &= a_2^2 + \alpha c_2 + \frac{\alpha(\alpha-1)}{2} c_1^2. \end{aligned}$$

Also by (2.6)

$$\frac{wg'(w)}{g(w)} = [p(w)]^{\alpha} = [1 + p_1w + p_2w^2 + \dots]^{\alpha}.$$

This gives

$$a_2 = -\alpha p_1$$
 
$$3a_2^2 = 2a_3 + \alpha p_2 + \frac{\alpha(\alpha - 1)}{2}p_1^2.$$

Combining the set of equations for  $a_2$ ,  $a_3$  we obtain

By a well known theorem due to Carathéodory [5, page 41],  $|p_n| \le 2$ ,  $|c_n| \le 2$ . Hence

$$|a_2| \leq \frac{2\alpha}{\sqrt{1+\alpha}}.$$

For  $a_3$  we have

$$4a_3 = \alpha(p_2 + 3c_2) + 2\alpha(\alpha - 1)c_1^2. \tag{2.7}$$

If  $\alpha = 1$ , then  $|a_3| \le 2$ . So we consider the case  $0 < \alpha < 1$ . By (2.7)

$$\Re_3(2.7)$$
 4 Re  $a_3 = \alpha \operatorname{Re} \{p_2 + 3c_2 - 2(1 - \alpha)c_1^2\}.$  (2.8)

For the functions Q(z), P(w), Herglotz's representation formula [5, page 40] states that

$$Q(z) = \int_{0}^{2\pi} \frac{1 + ze^{-it}}{1 - ze^{-it}} d\mu_{1}(t)$$

and

$$P(w) = \int_{0}^{2\pi} \frac{1 + we^{-it}}{1 - we^{-it}} d\mu_{2}(t),$$

where  $\mu_i(t)$  are increasing on  $[0, 2\pi]$  and  $\mu_i(2\pi) - \mu_i(0) = 1$ , i = 1, 2. We also have

$$c_n = 2 \int_{0}^{2\pi} e^{-int} d\mu_1(t), \quad n = 1, 2, \ldots,$$

and

$$p_n = 2 \int_0^{2\pi} e^{-int} d\mu_2(t), \quad n = 1, 2, \ldots$$

Now (2.8) becomes

$$4 \operatorname{Re} a_{3} = 2\alpha \int_{0}^{2\pi} \cos 2t \ d\mu_{2}(t) + 6\alpha \int_{0}^{2\pi} \cos 2t \ d\mu_{1}(t) - 8\alpha (1-\alpha) \left\{ \left[ \int_{0}^{2\pi} \cos t \ d\mu_{1}(t) \right]^{2} - \left[ \int_{0}^{2\pi} \sin t \ d\mu_{1}(t) \right]^{2} \right\},$$

$$\leq 2\alpha \int_{0}^{2\pi} \cos 2t \ d\mu_{2}(t) + 6\alpha \int_{0}^{2\pi} \cos 2t \ d\mu_{1}(t) + 8\alpha (1-\alpha) \left[ \int_{0}^{2\pi} \sin t \ d\mu_{1}(t) \right]^{2} =$$

$$= 2\alpha \left\{ 1 - 2 \int_{0}^{2\pi} \sin^{2} t \ d\mu_{2}(t) + 3 - 6 \int_{0}^{2\pi} \sin^{2} t \ d\mu_{1}(t) + 4(1-\alpha) \left[ \int_{0}^{2\pi} \sin t \ d\mu_{1}(t) \right]^{2} \right\}.$$

By Jensen's inequality [6, page 61], we have that

$$\left[\int_{0}^{2\pi} |\sin t| \ d\mu(t)\right]^{2} \leqslant \int_{0}^{2\pi} \sin^{2} t \ d\mu(t).$$

Hence

$$4 \operatorname{Re} a_3 \leq 2\alpha \left\{ 4 - 2 \int_0^{2\pi} \sin^2 t \ d\mu_2(t) - 2(1 + 2\alpha) \int_0^{2\pi} \sin^2 t \ d\mu_1(t) \right\}.$$

Therefore Re  $a_3 \leq 2\alpha$ , which implies that

$$|a_3| \leq 2\alpha$$

The effect of the bi-univalency condition can be easily seen by looking at the coefficients of the corresponding class  $S^*[\alpha]$  introduced in [4]; this is the class of functions f of the form (1.1) univalent in |z| < 1 and satisfying the condition (2.2). There the sharp coefficient bounds are

$$|a_2| \leqslant 2\alpha$$
,

and

if 
$$0 < \alpha < \frac{1}{3}$$
, then  $|a_3| \leq \alpha$ ,  
if  $\frac{1}{2} < \alpha \leq 1$ , then  $|a_3| \leq 3\alpha^2$ ,

and

if 
$$\alpha = \frac{1}{3}$$
, then  $|a_3| \leqslant \frac{1}{3}$ .

In each case the stated coefficient bound is sharp.

It would be of interest to know what the sharp bounds on the coefficients  $a_2$ ,  $a_3$  are in the class  $S_{\sigma}^{\bullet}[\alpha]$ .

## 3. The class $S_{\sigma}^{\bullet}[\beta]$

We define the class  $S^{\bullet}_{\sigma}(\beta)$ ,  $0 \leq \beta < 1$ , to be the class of functions of the form (1.1) satisfying the following conditions:

$$f \in \sigma$$
,
$$\operatorname{Re}\left\{\frac{zf'(z)}{f(z)}\right\} > \beta, \ |z| < 1, \qquad (3.1)$$

and

$$\operatorname{Re}\left\{\frac{wg'(w)}{g(w)}\right\} > \beta, \ |w| < 1,$$
 (3.2)

where g(w) is the same function as in (2.4). We call  $S_{\sigma}^{\bullet}(\beta)$  the class of bi-starlike functions of order  $\beta$ .

THEOREM 3.1. Let

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n$$

belong to  $S_{\sigma}^{*}(\beta)$ ,  $0 \leq \beta < 1$ . Then

$$|a_2| \leq \sqrt{2(1-\beta)} \text{ and } |a_3| \leq 2(1-\beta).$$

*Proof*: Let  $P(\beta)$  be the class of functions V(z) analytic in |z| < 1 with V(0) = 1, Re  $> (z) > \beta$  in |z| < 1.

In fact P(0) is just the class of functions

$$P(z) = 1 + p_1 z + p_2 z^2 + \dots$$

for which Re P(z) > 0.

Note that  $V(z) \in P(\beta)$  if and only if

$$P(z) = \frac{1}{1-\beta} (V(z) - \beta)$$
 belongs to  $P(0)$ .

Hence, it follows that there exists a unique  $P(z) \in P(0)$  such that

$$V(z) = \beta + (1 - \beta)p(z),$$
 (3.3)

for V(z) in  $P(\beta)$ .

Now conditions (3.1) and (3.2) are equivalent to

$$\frac{zf'(z)}{f(z)} = \beta + (1 - \beta)Q(z) \tag{3.4}$$

and

$$\frac{wg'(w)}{g(w)} = \beta + (1 - \beta)P(w), \tag{3.5}$$

respectively, where Q(z), P(w) belong to P(0) and have the forms

$$Q(z) = 1 + c_1 z + c_2 z^2 + \dots$$

and

$$P(z) = 1 + p_1 w + p_2 w^2 + \dots$$

Now, it follows from (3.4) that

$$a_2 = (1 - \beta)c_1 \tag{3.6}$$

and

$$2a_3 = a_2(1-\beta)c_1 + (1-\beta)c_2. \tag{3.7}$$

Also from (3.5) it follows that

$$a_2 = -(1 - \beta)p_1 \tag{3.8}$$

and

$$4a_2^2 = 2a_3 - a_2(1-\beta)p_1 + (1-\beta)p_2. \tag{3.9}$$

The four equations give

$$2a_2^2 = (1 - \beta)(c_2 + p_2).$$

Using the bounds for  $|c_2|$  and  $|p_2|$ , we obtain

$$|a_2| \leq \sqrt{2(1-\beta)}$$

and

$$|a_3| \leq 2(1-\beta).$$

In comparison, let  $S^*(\beta)$ ,  $0 < \beta \le 1$ , denote the class of functions starlike of order  $\beta$  in |z| < 1; this is the class of functions f of the form (1.1) univalent in |z| < 1 and satisfying the condition (3.1). It was shown in [7] that the sharp coefficient bounds for  $a_2$ ,  $a_3$  are

$$|a_2| \le 2(1-\beta),$$
  
 $|a_3| \le (1-\beta)(3-2\beta).$ 

It would be of interest to know what are the sharp bounds on the coefficients  $a_2$ ,  $a_3$  in the class  $S^{\bullet}_{\sigma}(\beta)$ .

4. The class  $C_{\sigma}(\beta)$ 

A function f(z) of the form (1.1) belongs to the class  $C_{\sigma}(\beta)$  it satisfies the following set of conditions:

$$f \in \sigma, \qquad (4.1)$$

$$\operatorname{Re}\left\{\frac{zf''(z)}{f'(z)}+1\right\} > \beta, \quad |z| > 1, \quad (4.2)$$

$$\operatorname{Re}\left\{\frac{wg''(w)}{g'(w)}+1\right\} > \beta, \ |w| > 1, \ (4.3)$$

where g(w) is the function defined in (2.4).

THEROREM 4.1. Let

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n,$$

belong to  $C_{\sigma}(\beta)$ . Then

$$|a_2| \leqslant \sqrt{1-\beta}$$
 and  $|a_3| \leqslant 1-\beta$ .  $\sharp(z) = \frac{x}{1-x}$ 

Moreover, for the class  $C_{\sigma}(0)$ , the extremal function is given by  $f(z) = \frac{z}{1-z}$  and its rotations.

Proof. Using the same notation as in Theorem 3.1, conditions (4.2), (4.3) give

$$\frac{zf''(z)}{f'(z)} + 1 = \beta + (1 - \beta)Q(z) \qquad (4.4)$$

and

$$\frac{wg''(w)}{g'(w)} + 1 = \beta + (1 - \beta)P(w), \quad (4.5)$$

where Q(z),  $P(w) \in P(0)$ .

Equation (4.4) gives us that

$$2a_2 = (1 - \beta)c_1 \qquad (4.6)$$

and

$$6a_3 = (1 - \beta)c_2 + 2a_2(1 - \beta)c_1. \quad (4.7)$$

from these two equations we obtain

$$6a_3 = 4a_2^2 + (1 - \beta)c_2. \qquad \{ \ \ \ \ \ \ \ \}$$
 (4.8)

Now, by (4.5) we obtain that

$$2a_2 = -(1-\beta)p_1 \qquad \left( l_1.9 \right) \tag{4.9}$$

and

$$12a_2^2 = 6a_3 + (1-\beta)p_2 - 2a_2(1-\beta)p_1. (4.10)$$

The two equations give

$$8a_2^2 = 6a_3 + (1 - \beta)p_2. \tag{4.11}$$

Combining (4.8) and (4.11) and using the bounds for  $|p_2|$  and  $|c_2|$ , we obtain that

$$|a_2| \leq \sqrt{1-\beta}$$

and

$$|a_3| \leq 1 - \beta.$$

In the case  $\beta=0$ , we have  $C_{\sigma}(0)\subset C$ , where C is the class of all  $\lambda$  normalised functions convex in the unit disc. This implies that

$$|a_n| \leq 1, \quad n = 2, 3, \ldots,$$

which is sharp as seen from the function

$$f(z) = \frac{z}{1-z}, \tag{4.12}$$

which is in  $C_{\sigma}(0)$ .

The question arises whether the class  $C_{\sigma}(0)$  and the class C are the same. The function

$$f(z) = \frac{1}{2\alpha} \left[ \left( \frac{1+z}{1-z} \right)^{\alpha} - 1 \right], \quad \frac{1}{2} < \alpha < 1,$$

belongs to C; since it is not bi-univalent, it is not in  $C_{\sigma}(0)$  — consequently  $C_{\sigma}(0)$  is a proper subclass of C.

We emphasize that it is *not* true that: A function f(z) is bi-convex in U if and only if zf'(z) is bi-starlike in U. This is clear from the function in (4.12) which is bi-convex; however for that function zf'(z) is the Koebe function which is not bi-starlike (since it is not bi-univalent).

#### REFERENCES

<sup>1.</sup> M. Lewin, On a coefficient problem for bi-univalent functions, Proc. Amer. Math. Soc. 18 (1967), 63-68. MR 34 # 6074.

D. A. Brannan and J. G. Clunie, Aspects of Contemporary Complex Analysis, Academic Press, 1980. MR 82 f: 30001.

<sup>3.</sup> T. S. Taha, Topics in Univalent Function Theory, Ph. D. Thesis, University of London, 1981.

<sup>4.</sup> D. A. Brannan, J. Clunie and W. E. Kirwan, Coefficient estimates for a class of star-like functions, Can. J. Math. 22 (1970), 476-485. MR 41 # 5614.

<sup>5.</sup> C. Pommerenke, Univalent Functions, Vandenhoek and Ruprecht, Gottingen, 1975. MR 58 # 22526.

<sup>6.</sup> W. Rudin, Real and Complex Analysis, McGraw-Hill, New York, 1966. MR 35 # \$1420.

<sup>7.</sup> M. S. Robertson, On the theory of univalent functions, Ann. of Math. 37 (1936), 374-408. Zbl. 14-165.

### RECENZII

Measure Theory and Its Applications. Proceedings, Sherbrooke, Canada 1982, Lectures Notes in Mathematics, vol. 1033, Springer-Verlag, Berlin Heidelberh New York, 1983, 317 pp.

These are the Proceedings, edited by J-M. Belley, J. Dubois and P. Morales, of the Workshop on Measure Theory and its Applications, held at the Université de Sherbrooke from June 7 to 18, 1982. The Workshop was attented by 87 mathematicians from 12 countries presenting new and significant results in Ergodic Theory, Choquet Representation Theory, Vector Measures and Topology. The book contains 29 contributions of the participants. Let us remark the survey papers by G. Choquet, "Represéntation integrale", p. 114-143 and by J. Diestel and J. J. Uhl, Jr., "Progress in Vector Measures" - 1977-1983, p. 144-192. There are also other valuable papers written by leading specialists in measure theory as M. Ackoglu, J. Batt, N. Dinculeanu, A. Bellow, J.K. Brooks, G.A. Edgar, P. Greim, J. Oxtoby, F. Topse. By presenting the State of the Art, new results and putting problems which open new ways of investigations, this book is a valuable contributions to measure thory and related fields.

S. COBZAŞ

Complex Analysis — Methods, Trends and Applications, Edited by E. Lanckau and W. Tutschke, Akademie Verlag, Berlin 1983, 398 pp.

This is the first book in a series initiated by the organizers of the conference on "Complex Analysis and Its Applications to Partial Differential Equations", regularly held at the Halle-Wittenberg-Martin Luther University. The aim of the series is to present surveys giving a comprehensive explanation of complex analysis.

The book is divided into two parts: I. Complex Analysis and Its, Relations to Other Spheres in Mathematics, and; II. Complex Methods in Partial Differential Equations and Other Applications of Complex Analysis, and contains twenty-two papers written by

eminent specialists in the field as W. Tuchke, E. Lanchau, B. Bojarski, J. Lawn nowicz, S. Prössdorff V.S. Vnogradov, L. Wolfersdorff et al. The papers present varice aspects of the holomorphy in the whole at of mathematics and its applications, emplications the new concepts of generalized and tic functions of I.N. Vekua, pseudo-analytic functions of L. Bers, (p. q)-analytic function of G.N. Položii, having deep and fruit applications to PDE. The book is a value of the contribution to the modern computation theory and its applications, we recommend it warmly to all people rested in this field.

S. COR

M. M. Rao, Probability Theory and Applications, Academic Press, New Value 1984, 495 pp.

The book is designed as a graduate on probability theory and its application. All the proofs are given in detail and as key results are given multiple proofs.

The author avoids excessive generalis ons (for instance Banach space valued and variables have not been included), the requisites being a knowledge of Lebs integral. The necessary results from analysis are reviewed in Chapter I and of them, usually not covered in stant courses, are given with proofs. The both very well and carefully written. The at explains the special character of the su the notions are gradually introduced the need of an abstract theory is very motivated on apparently simple realproblems. The book also contains very resting historical and philosophical comme on the evolution of ideas and concept: probability theory. Many classical probaare discussed in detail and others are p sented in the problems at the end of a chapter. Some of these problems are m tine but there are also some more diffic problems, usually provided with hints.

The result is a fine book on probable theory and we recommend it warmly to people interested in learning, applying teaching probability theory.

RECENZII 79

Hugo Steinhaus, Selected Papers, PWN Warszawa, 1985, 899 pp.

The book contains 84 from 255 papers of the eminent Polish mathematician Hugo Steinhaus (1887-1972), one of the founders (together with S. Banach, J. Schauder et al.) of functional analysis. The articles were chosen to cover the wide area of interests of H. Steinhaus, the fields he made fundamental achievements being: trigonometric and orthogonal series, functional analysis, probability theory, game theory, topology, applications of mathematics, popularization. The papers are arranged chronologically, in order to help the reader in following the developement of scientific ideas of H. Steinhaus. The book also contains an article on the life and work of H. Steinhaus written by E. Marczewski, a list of scientific publications of H. Steinhaus and some of his polemics, pamphlets and programmatic talks.

S. COBZAS

Conference on Applied Mathematics, Ljubljana, September 2-5, 1986, Edited by Z. Bohte, University of Ljubljana, Ljubljana, 1986

Prezenta carte cuprinde 27 de lucrări prezentate la a "V-a Conferință de matematici aplicate" ținută la Ljubljana în 2-5 septembrie 1986. La această conferință au participat 126 matematicieni din universități și centre de cercetare din Jugoslavia. Cele 27 de lucrări, menționate mai sus, tratează probleme actuale din următoarele domenii: Analiză numerică' Informatică, Ecuații diferențiale ordinare și Ecuații cu derivate parțiale. Recomandăm această carte tuturor cercetătorilor antrenați în aceste domenii.

I.A. RUS

Discrete Geometry and Convexity, Editors Jacob E. Goodman, Erwin Lutwak, Joseph Malkevitch, Richard Pollack, Annals of the New York Academy of Sciences, Vol. 440, The New York Academy of Sciences, New York, 1985. (NII+392 pages).

The amin of the volume is to collect under one cover some representative current work in the areas of geometry which could be subsummed under the heading Discrete Geometry and Convexity. These areas include

a rather wide spectrum of problems including purely combinatorial questions involving the geometry of finite sets of points on one extreme and integral geometry at the other. The contained 35 papers, signed by outstanding specialists of the field are distributed as follows:

- 1. Discrete Problems (8); 2. Quantitative Convexity (7);
- 3. Qualitative Convexity (5); 4. Polyhedral Geometry (5);
- 5. Tilling, Packing, Covering and Weaving (5); 6. Computational Aspects (5). Most of the papers have both expository and research paper characteristics. The reader can find in them an extended literature and an important amount of open problems as well. The volume ends with Index of Contributors, Author Index and Subject Index.

A. B. NÉMETH

D. P. Parent, Exercices in Number Theory, Springer — Verlag, New York, Berlin, Heidelberg, Tokyo, Problem Books in Mathematics, 1984, pp.

This problem book is a very good and attractive introduction to number theory. The book contains ten chapters in the following order: Prime Numbers; Arithmetic Functions; Selberg's Sine; Additive Theory; Rational Series; Algebraic Theory; Distribution Modulo 1; Transcendal Numbers; Congruences Mod p; Modular Forms; Quadratic Forms; Continued Fractions; p-Adic Analysis.

Each chapter is divided in three sections: introduction and basic results, problems, solutions. The solutions are complete and contain many remarks and bibliographycal comments.

The book is useful for all interested in number theory and related fields.

D. ANDRICA

A. Langenbach, Vorlesung zur höheren Analysis. Hochschulbücher für Mathematik. Band 84, VEB Deutscher Verlag der Wissenschaften Berlin 1984, 280 pages.

The book presents some fundamental methods of linear and nonlinear functional analysis, useful for those students and specialists, (mathematicians, physicists etc.), who use analytic methods in their research domain as the theory of differential and partial

80 RECENZII

differential equations, maximum and minimum problems, optimization and control theory, approximation and numerical methods etc.

To read the book one needs relatively few previous knowledge, a very clear way of presentation is chosen, too general results are not discussed. The book is written with very much pedagogical sense, so it is available to the students of mathematics, physics and engineering of lower years.

The titles of the chapters and appendices are: Metric and Normed Linerar Spaces,

Topological Spaces, Functionals and Missimum problems, Hilbert Spaces, Construction Methods for Minimum Problems and Equations, Application of Prolongation and Compition Methods, Classification of Partial Differential Equations, Theory of Elliptic Equation Linear Parabolic and Hyperbolic Equation Theory of Evolution Equations, The Skin Weierstrass Theorem, Measure-theoretical Basis of Integration of Continuous Function

P. SZILÁGYI





Revista științifică a Universității din Cluj-Napoca, STUDIA UNIVERSITATIS BABEȘ-BOLYAI, apare începînd cu anul 1986 în următoarele condiții:

```
matematică — trimestrial
fizică — semestrial
chimie — semestrial
geologie-geografie — semestrial pentru geologie și anual pentru geografie
biologie — semestrial
filozofie — semestrial
științe economice — semestrial
științe juridice — semestrial
istorie — semestrial
filologie — semestrial
```

STUDIA UNIVERSITATIS BABEŞ-BOLYAI, the scientific journal of the University of Cluj-Napoca, starting with 1986 in issued as follows:

mathematics: quarterly physics: biannually chemistry: biannually

geology-geography: biannually on geology and yearly on geography

biology: biannually philosophy: biannually

economic sciences: biannually juridical sciences: biannually

history: binnually philology: biannually

43 875

Abonamentele se fac la oficiile postale, prin factorii postali si prin difuzorii de presă, iar pentru străinătate prin "ROM-PRESFILATELIA", sectorul export-import presă, P.O. Box 12—201, telex 10 376 prsfir, București, Calea Griviței nr. 64—66.

Lei 35