

Generalizations of Krasnoselskii’s fixed point theorem in cones

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Abstract. We give some generalizations of Krasnoselskii’s fixed point theorem in cones.

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1. Introduction

Firstly we will present the definition of a cone.

Definition 1.1. Let X be a normed linear space. A nonempty closed, convex set $P \subset X$ is called a cone if it satisfies the following two conditions:

- (i) $x \in P, \lambda \geq 0$ implies $\lambda x \in P$;
- (ii) $x \in P, -x \in P$ implies $x = 0$.

After the well known paper of Legget and Williams(see [6]), many authors have given generalizations of the following Krasnoselskii’s fixed point theorem:

Theorem 1.2. (Krasnoselskii) Let $(X, | \cdot |)$ be a normed linear space, $K \subset X$ a cone and \succsim the order relation induced by K . Let be $r, R \in R_+, 0 < r < R, K_{r,R} := \{u \in K : r \leq |u| \leq R\}$ and let $N : K_{r,R} \rightarrow K$ be a completely continuous map. Assume that one of the following conditions is satisfied:

- (i) $|Nu| \geq |u|$ if $|u| = r$ and $|Nu| \leq |u|$ if $|u| = R$
- (ii) $|Nu| \leq |u|$ if $|u| = r$ and $|Nu| \geq |u|$ if $|u| = R$.

Then N has a fixed point u^* in K with $r \leq |u^*| \leq R$.

For example, in [8], the author gives the following result. Before to state it, we introduce a few notations. We shall consider two wedges K_1, K_2 of X and the corresponding wedge $K := K_1 \times K_2$ of $X^2 := X \times X$. For

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$r, R \in \mathbb{R}_+^2$, $r = (r_1, r_2)$, $R = (R_1, R_2)$, we write $0 < r < R$ if $0 < r_1 < R_1$ and $0 < r_2 < R_2$, and we use the notations:

$$(K_i)_{r_i R_i} := \{u \in K_i : r_i \leq |u| \leq R_i\} \quad (i = 1, 2)$$

$$K_{rR} := \{u \in K : r_i \leq |u_i| \leq R_i \text{ for } i = 1, 2\}.$$

Clearly, $K_{rR} = (K_1)_{r_1 R_1} \times (K_2)_{r_2 R_2}$.

Theorem 1.3. ([8]) *Let $(X, |\cdot|)$ be a normed linear space; $K_1, K_2 \subset X$ two wedges; $K := K_1 \times K_2$; $\alpha_i, \beta_i > 0$ with $\alpha_i \neq \beta_i$ for $i = 1, 2$, and let $r_i = \min\{\alpha_i, \beta_i\}$, $R_i = \max\{\alpha_i, \beta_i\}$ for $i = 1, 2$. Assume that $N : K_{rR} \rightarrow K$, $N = (N_1, N_2)$, is a compact map and there exist $h_i \in K_i \setminus \{0\}$, $i = 1, 2$ such that for each $i \in \{1, 2\}$ the following condition is satisfied in K_{rR} :*

$$N_i u \neq \lambda u_i \text{ for } |u_i| = \alpha_i \text{ and } \lambda > 1;$$

$$N_i u + \mu h_i \neq u_i \text{ for } |u_i| = \beta_i \text{ and } \mu > 0.$$

Then N has a fixed point $u = (u_1, u_2)$ in K with $r_i \leq |u_i| \leq R_i$ for $i = 1, 2$.

Also, in [9], the author gives the following result (Here $(E, |\cdot|)$ is a normed linear space and $\|\cdot\|$ is another norm on E , $C \subset E$ is a nonempty convex, not necessarily closed set with $0 \notin C$ and $\lambda C \subset C$ for all $\lambda > 0$), assuming that there exist constants $c_1, c_2 > 0$ such that the norms $|\cdot|$ and $\|\cdot\|$ are topologically equivalent, which is

$$c_1 |x| \leq \|x\| \leq c_2 |x| \text{ for all } x \in C.$$

Also assume that $\|\cdot\|$ is increasing with respect to C , that is $\|x+y\| > \|x\|$ for all $x, y \in C$.

Theorem 1.4. ([9]) *Assume $0 < c_2 \rho < R$, $\|\cdot\|$ is increasing with respect to C , and the map $N : D = \{x \in C : \|x\| \leq R\} \rightarrow C$ is compact. In addition assume that the following conditions are satisfied:*

$$(H1) \|N(x)\| \geq \|x\| \text{ for all } x \in C \text{ with } |x| = \rho,$$

$$(H2) |N(x)| < |x| \text{ for all } x \in C \text{ with } \|x\| = R.$$

Then N has at least one fixed point $x \in C$ with $\rho \leq |x|$ and $\|x\| < R$.

For other generalizations and applications of Krasnoselskii’s fixed point theorem in cone the reader may see the papers [7] and [1]-[4].

In this paper we are interested to give some new abstract results and we use conditions of type

$$\varphi(u) \geq \varphi(Nu) \text{ if } |u| = r$$

instead of condition

$$|u| \geq |Nu| \text{ if } |u| = r$$

which is assumed in Krasnoselskii’s fixed point theorem in cone.

2. The main results

Throughout this paper we consider $(X, | \cdot |)$ be a normed linear space, $K \subset X$ a positive cone, " \preceq " the order relation induced by K and " \prec " the strict order relation induced by K .

Theorem 2.1. *Let be $K_{r,R} = \{x \in K : r \leq |x| \leq R\}$, where $r, R \in R_+$, $r < R$. We assume that $N : K_{r,R} \rightarrow K$ is a completely continuous operator and $\varphi : K \rightarrow R_+, \psi : K \rightarrow R$. Also, assume that the following conditions are satisfied:*

- (i.1) $\begin{cases} \varphi(0) = 0 \text{ and there exists } h \in K - \{0\} \text{ such that} \\ \varphi(\lambda h) > 0, \text{ for all } \lambda \in (0, 1], \\ \varphi(x + y) \geq \varphi(x) + \varphi(y) \text{ for all } x, y \in K, \end{cases}$
- (i.2) $\psi(\alpha x) > \psi(x)$ for all $\alpha > 1$ and for all $x \in K$ with $|x| = R$,
- (i.3) $\begin{cases} \varphi(u) \leq \varphi(Nu) \text{ if } |u| = r \\ \psi(u) \geq \psi(Nu) \text{ if } |u| = R. \end{cases}$

Then N has a fixed point in $K_{r,R}$

Proof. Let $N^* : K \rightarrow K$ be given by

$$N^*(u) = \begin{cases} h, & \text{if } u = 0, \\ \left(1 - \frac{|u|}{r}\right)h + \frac{|u|}{r}N\left(\frac{r}{|u|}u\right), & \text{if } 0 < |u| < r, \\ Nu, & \text{if } r \leq |u| \leq R, \\ N\left(\frac{R}{|u|}u\right), & \text{if } |u| \geq R. \end{cases}$$

N is completely continuous, so N^* is completely continuous too. From our hypothesis we have that $N^*(K) \subset K$ is a convex and relatively compact set, so from Schauder's fixed point theorem it follows that there exists $u^* \in K$ with $N^*(u^*) = u^*$. We have to consider three cases.

Case 1. Suppose that $u^* = 0$. We have $0 = N^*(0) = h$, a contradiction with $h \in K \setminus \{0\}$.

Case 2. Suppose that $0 < |u^*| < r$. We obtain

$$\begin{aligned} \left(1 - \frac{|u^*|}{r}\right)h + \frac{|u^*|}{r}N\left(\frac{r}{|u^*|}u^*\right) &= u^*, \\ \left(\frac{r}{|u^*|} - 1\right)h + N\left(\frac{r}{|u^*|}u^*\right) &= \frac{r}{|u^*|}u^*. \end{aligned}$$

Let $\lambda := \frac{r}{|u^*|} - 1$ and $u_0 := \frac{r}{|u^*|}u^*$. Since $|u^*| < r$ we have that $\frac{r}{|u^*|} > 1$, so $\lambda > 0$. Also, $|u_0| = \left|\frac{r}{|u^*|}u^*\right| = \frac{r}{|u^*|}|u^*| = r$, so $|u_0| = r$. We obtain

$$\lambda h + N(u_0) = u_0 \tag{2.1}$$

For $\lambda > 0$, from (i1) we obtain that

$$\varphi(N(u_0) + \lambda h) \geq \varphi(N(u_0)) + \varphi(\lambda h) > \varphi(N(u_0)).$$

Then, from (2.1) we obtain $\varphi(u_0) > \varphi(N(u_0))$, a contradiction with (i3).

Case 3. Suppose that $|u^*| > R$. We have $N\left(\frac{R}{|u^*|}u^*\right) = u^*$. Let $u_1 := \frac{R}{|u^*|}u^*$ and $\beta := \frac{|u^*|}{R} > 1$. We have $|u_1| = R$ and $N(u_1) = u^* = u_1 \frac{|u^*|}{R}$,

so $N(u_1) = \beta u_1$. From (i.2) we obtain $\psi(N(u_1)) = \psi(\beta u_1) > \psi(u_1)$, a contradiction with (i.3). So $r \leq |u^*| \leq R$ and the conclusion follows. \square

Remark 2.2. (1) If $X := C[0, 1], \eta > 0, I \subset [0, 1], I \neq [0, 1], \|x\| := \max_{t \in [0, 1]} x(t)$ and $K := \{x \in C[0, 1] : x \geq 0 \text{ on } [0, 1], x(t) \geq \eta \|x\| \text{ for all } t \in I\}$ is a cone, a functional that satisfies (i1) is

$$\varphi(x) := \min_{t \in I} x(t).$$

Indeed, $\varphi(0) = 0$, there exists $h \in K - \{0\}$ such that $\varphi(\lambda h) > 0$, for all $\lambda \in (0, 1]$ and

$$\varphi(x + y) = \min_{t \in I} [x(t) + y(t)] \geq \min_{t \in I} x(t) + \min_{t \in I} y(t) = \varphi(x) + \varphi(y).$$

(2) The norm is an example of functional that satisfies (i2).

Theorem 2.3. Let $K_{r,R} = \{x \in K : r \leq |x| \leq R\}$, where $r, R \in \mathbb{R}_+, r < R$. We assume that $N : K_{r,R} \rightarrow K$ is a completely continuous operator and $\varphi, \psi : K \rightarrow \mathbb{R}$. Also, we assume that the following conditions are satisfied:

- (ii.1) φ is strictly decreasing,
 - (ii.2) $\psi(\alpha x) < \psi(x)$ for all $\alpha > 1$ and for all $x \in K$ with $|x| = R$,
 - (ii.3) $\begin{cases} \varphi(u) \geq \varphi(Nu) & \text{if } |u| = r, \\ \psi(u) \leq \psi(Nu) & \text{if } |u| = R. \end{cases}$
- Then N has a fixed point in $K_{r,R}$.

Proof. Let $h > 0$ and $N^* : K \rightarrow K$,

$$N^*(u) = \begin{cases} h, & \text{if } u = 0 \\ (1 - \frac{|u|}{r})h + \frac{|u|}{r}N(\frac{r}{|u|}u), & \text{if } 0 < |u| < r \\ Nu, & \text{if } r \leq |u| \leq R \\ N(\frac{R}{|u|}u), & \text{if } |u| \geq R. \end{cases}$$

Since N^* is completely continuous, we have, like in Theorem 2.1, that there exists $u^* \in K$ so that $N^*(u^*) = u^*$. We consider three cases.

Case 1. If $u^* = 0$ we obtain $0 = N^*(0) = h$, a contradiction with $h > 0$.

Case 2. If $0 < |u^*| < r$. We obtain (2.1) with $\lambda > 0$ and $|u_0| = r$, like in Theorem 2.1. From $\lambda h > 0$, we have that

$$N(u_0) + \lambda h > N(u_0),$$

so, from (ii.1), we have that

$$\varphi(N(u_0) + \lambda h) < \varphi(N(u_0))$$

and from (2.1) we obtain

$$\varphi(u_0) < \varphi(N(u_0)) \text{ for } |u_0| = r,$$

a contradiction with (ii.3).

Case 3. If $|u^*| > R$, we have that

$$N\left(\frac{R}{|u^*|}u^*\right) = u^*,$$

so

$$N\left(\frac{R}{|u^*|}u^*\right) = \left(\frac{R}{|u^*|}u^*\right) \frac{|u^*|}{R}.$$

Let be $u_1 := \frac{R}{|u^*|}u^*$, so $|u_1| = R$ and let be $\beta := \frac{|u^*|}{R} > 1$. We obtain $N(u_1) = \beta u_1$, so

$$\psi(N(u_1)) = \psi(\beta u_1) \tag{2.2}$$

From (ii.2) we obtain

$$\psi(\beta u_1) < \psi(u_1)$$

and from (2.2) we have

$$\psi(N(u_1)) < \psi(u_1) \text{ for } |u_1| = R,$$

a contradiction with (ii.3). So $r \leq |u^*| \leq R$ and the conclusion follows. \square

Remark 2.4. $\psi(x) := \frac{1}{|x|+1}$ is an example of functional that satisfies (ii.2). Indeed, for $\alpha > 1$ and $|x| = R$, we have that

$$\psi(\alpha x) = \frac{1}{\alpha|x|+1} < \frac{1}{|x|+1} = \psi(x).$$

Also, if $|\cdot|$ is strictly increasing, i.e., $x < y$ implies $|x| < |y|$, then $\varphi(x) := \frac{1}{|x|+1}$ is strictly decreasing, so it satisfies (ii.1).

Theorem 2.5. Let $K_{r,R} := \{x \in K : r \leq |x| \leq R\}$, where $r, R \in R_+, r < R$. We assume that $N : K_{r,R} \rightarrow K$ is a completely continuous operator and $\varphi, \psi : K \rightarrow R_+$. Also, we assume that the following conditions are satisfied:

- (iii.1) $\begin{cases} \varphi(\alpha x) = \alpha\varphi(x), \text{ for all } \alpha > 0 \text{ and for all } x \in K, \\ \varphi(\alpha x) > \varphi(x), \text{ for all } \alpha > 1 \text{ and for all } x \in K \text{ with } |x| = R, \end{cases}$
- (iii.2) $\begin{cases} \psi(0) = 0 \text{ and there exists } h \in K \setminus \{0\} \text{ such that} \\ \psi(\lambda h) > 0 \text{ for all } \lambda \in (0, 1], \\ \psi(\alpha x) = \alpha\psi(x) \text{ for all } \alpha > 0 \text{ and for all } x \in K, \\ \psi(x + y) \geq \psi(x) + \psi(y) \text{ for all } x, y \in K, \end{cases}$
- (iii.3)

$$\begin{cases} \varphi(u) \geq \varphi(Nu) & \text{if } |u| = r, \\ \psi(u) \leq \psi(Nu) & \text{if } |u| = R. \end{cases}$$

Then N has a fixed point in $K_{r,R}$.

Proof. Define $N^* : K_{r,R} \rightarrow K$ by

$$N^*(u) := \left(\frac{R}{|u|} + \frac{r}{|u|} - 1\right)^{-1} N\left(\left(\frac{R}{|u|} + \frac{r}{|u|} - 1\right)u\right).$$

Since N is completely continuous, it follows that N^* is completely continuous too. Let

$$\alpha := \frac{R}{|u|} + \frac{r}{|u|} - 1$$

and

$$u_0 := \alpha u.$$

We have now,

$$\alpha N^*(u) = N(\alpha u).$$

If $|u| = r$, then

$$\alpha = \frac{R}{r} \text{ and } |u_0| = |\alpha u| = \frac{R}{r}r = R.$$

So, from (iii.2),

$$\psi(N(u_0)) = \psi(N(\alpha u)) = \psi(\alpha N^*(u)) = \alpha\psi(N^*(u)) \tag{2.3}$$

and from (iii.3),

$$\psi(N(u_0)) \geq \psi(u_0) = \psi(\alpha u) = \alpha\psi(u). \tag{2.4}$$

From (2.3) and (2.4) we obtain that

$$\psi(N^*(u)) \geq \psi(u) \text{ if } |u| = r. \tag{2.5}$$

If $|u| = R$, then

$$\alpha = \frac{r}{R} \text{ and } |u_0| = |\alpha u| = \frac{r}{R}R = r.$$

Using (iii.3) we obtain that

$$\varphi(\alpha u) = \varphi(u_0) \geq \varphi(N(u_0)) = \varphi(N(\alpha u)) = \varphi(\alpha N^*(u)) \tag{2.6}$$

and from (iii.1),

$$\varphi(\alpha u) = \alpha\varphi(u) \text{ and } \varphi(\alpha N^*(u)) = \alpha\varphi(N^*(u)). \tag{2.7}$$

From (2.6) and (2.7) we deduce that

$$\varphi(u) \geq \varphi(N^*(u)) \text{ if } |u| = R. \tag{2.8}$$

So, (2.5) and (2.8) imply that φ , ψ and N^* satisfy all the conditions of Theorem 2.1 (with φ and ψ changing their places and N^* instead of N). So N^* has a fixed point u^* in $K_{r,R}$. It follows that

$$N^*(u^*) = u^*, \text{ with } r \leq |u^*| \leq R,$$

so

$$\frac{1}{\alpha}N(\alpha u^*) = u^*.$$

Making the notation $u_1 := \alpha u^*$, where $\alpha = \frac{R}{|u^*|} + \frac{r}{|u^*|} - 1$, we obtain

$$N(u_1) = u_1 \tag{2.9}$$

and

$$|u_1| = \alpha |u^*| = R + r - |u^*|.$$

Since

$$\begin{aligned} R + r - |u^*| &\geq r, \text{ for } r \leq |u^*| \leq R, \\ R + r - |u^*| &\leq R, \text{ for } r \leq |u^*| \leq R, \end{aligned}$$

we have that

$$r \leq |u_1| \leq R, \text{ that is } u_1 \in K_{r,R}. \tag{2.10}$$

From (2.9) and (2.10) the conclusion follows. □

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